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**ICB No: OSRP-CW- ICB-P04A1**

**[INTERNATIONAL COMPETITIVE BIDDING]**

***Construction for Widening & Strengthening of  
Existing Carriageway to 2-lane road from  
Jagatpur to Nischintakoili***

*(Km. 0/0 to Km. 25/0 of MDR)(Balance Works)*

**Project: Odisha State Roads Project**

**Annexure-I**

*(General Conditions & Particular Conditions)*

**Employer:** Works Department, Government of Odisha,  
Bhubaneswar, INDIA



# **CONDITIONS OF CONTRACT AND CONTRACT FORMS**



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## Section VII. General Conditions (GC)

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*[Name of Employer]*

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*[Name of Contract]*

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# General Conditions

## 1. General Provisions

### 1.1 Definitions

In the Conditions of Contract (“these Conditions”), which include Particular Conditions, Parts A and B, and these General Conditions, the following words and expressions shall have the meanings stated. Words indicating persons or parties include corporations and other legal entities, except where the context requires otherwise.

#### 1.1.1 The Contract

1.1.1.1 “Contract” means the Contract Agreement, the Letter of Acceptance, the Letter of Tender, these Conditions, the Specification, the Drawings, the Schedules, and the further documents (if any) which are listed in the Contract Agreement or in the Letter of Acceptance.

1.1.1.2 “Contract Agreement” means the contract agreement referred to in Sub-Clause 1.6 [Contract Agreement].

1.1.1.3 “Letter of Acceptance” means the letter of formal acceptance, signed by the Employer, of the Letter of Tender, including any annexed memoranda comprising agreements between and signed by both Parties. If there is no such letter of acceptance, the expression “Letter of Acceptance” means the Contract Agreement and the date of issuing or receiving the Letter of Acceptance means the date of signing the Contract Agreement.

1.1.1.4 “Letter of Tender” means the document entitled letter of tender or letter of bid, which was completed by the Contractor and includes the signed offer to the Employer for the Works.

1.1.1.5 “Specification” means the document entitled specification, as included in the Contract, and any additions and modifications to the specification in accordance with the Contract. Such document specifies the Works.

1.1.1.6 “Drawings” means the drawings of the Works, as included in the Contract, and any additional and modified drawings issued by (or on behalf of) the Employer in accordance with the Contract.

1.1.1.7 “Schedules” means the document(s) entitled schedules, completed by the Contractor and

submitted with the Letter of Tender, as included in the Contract. Such document may include the Bill of Quantities, data, lists, and schedules of rates and/or prices.

1.1.1.8 “Tender” means the Letter of Tender and all other documents which the Contractor submitted with the Letter of Tender, as included in the Contract.

1.1.1.9 “Bill of Quantities”, “Daywork Schedule” and “Schedule of Payment Currencies” mean the documents so named (if any) which are comprised in the Schedules.

1.1.1.10 “Contract Data” means the pages completed by the Employer entitled contract data which constitute Part A of the Particular Conditions.

## **1.1.2 Parties and Persons**

1.1.2.1 “Party” means the Employer or the Contractor, as the context requires.

1.1.2.2 “Employer” means the person named as employer in the Contract Data and the legal successors in title to this person.

1.1.2.3 “Contractor” means the person(s) named as contractor in the Letter of Tender accepted by the Employer and the legal successors in title to this person(s).

1.1.2.4 “Engineer” means the person appointed by the Employer to act as the Engineer for the purposes of the Contract and named in the Contract Data, or other person appointed from time to time by the Employer and notified to the Contractor under Sub-Clause 3.4 [Replacement of the Engineer].

1.1.2.5 “Contractor’s Representative” means the person named by the Contractor in the Contract or appointed from time to time by the Contractor under Sub-Clause 4.3 [Contractor’s Representative], who acts on behalf of the Contractor.

1.1.2.6 “Employer’s Personnel” means the Engineer, the assistants referred to in Sub-Clause 3.2 [Delegation by the Engineer] and all other staff, labour and other employees of the Engineer and of the Employer; and any other personnel notified to the Contractor, by the

Employer or the Engineer, as Employer's Personnel.

1.1.2.7 "Contractor's Personnel" means the Contractor's Representative and all personnel whom the Contractor utilises on Site, who may include the staff, labour and other employees of the Contractor and of each Subcontractor; and any other personnel assisting the Contractor in the execution of the Works.

1.1.2.8 "Subcontractor" means any person named in the Contract as a subcontractor, or any person appointed as a subcontractor, for a part of the Works; and the legal successors in title to each of these persons.

1.1.2.9 "DB" means the person or three persons appointed under Sub-Clause 20.2 [Appointment of the Dispute Board] or Sub-Clause 20.3 [Failure to Agree on the Composition of the Dispute Board]

1.1.2.10 "FIDIC" means the Fédération Internationale des Ingénieurs-Conseils, the international federation of consulting engineers.

1.1.2.11 "Bank" means the financing institution (if any) named in the Contract Data.

1.1.2.12 "Borrower" means the person (if any) named as the borrower in the Contract Data.

### **1.1.3 Dates, Tests, Periods and Completion**

1.1.3.1 "Base Date" means the date 28 days prior to the latest date for submission of the Tender.

1.1.3.2 "Commencement Date" means the date notified under Sub-Clause 8.1 [Commencement of Works].

1.1.3.3 "Time for Completion" means the time for completing the Works or a Section (as the case may be) under Sub-Clause 8.2 [Time for Completion], as stated in the Contract Data (with any extension under Sub-Clause 8.4 [Extension of Time for Completion]), calculated from the Commencement Date.

1.1.3.4 "Tests on Completion" means the tests which are specified in the Contract or agreed by both Parties or instructed as a Variation, and which are carried out under Clause 9 [Tests on Completion] before the Works or a Section (as the case may be) are taken over by the Employer.

- 1.1.3.5 “Taking-Over Certificate” means a certificate issued under Clause 10 [Employer’s Taking Over].
- 1.1.3.6 “Tests after Completion” means the tests (if any) which are specified in the Contract and which are carried out in accordance with the Specification after the Works or a Section (as the case may be) are taken over by the Employer.
- 1.1.3.7 “Defects Notification Period” means the period for notifying defects in the Works or a Section (as the case may be) under Sub-Clause 11.1 [Completion of Outstanding Work and Remedying Defects], which extends over twelve months except if otherwise stated in the Contract Data (with any extension under Sub-Clause 11.3 [Extension of Defects Notification Period]), calculated from the date on which the Works or Section is completed as certified under Sub-Clause 10.1 [Taking Over of the Works and Sections].
- 1.1.3.8 “Performance Certificate” means the certificate issued under Sub-Clause 11.9 [Performance Certificate].
- 1.1.3.9 “day” means a calendar day and “year” means 365 days.
- 1.1.4 Money and Payments**
- 1.1.4.1 “Accepted Contract Amount” means the amount accepted in the Letter of Acceptance for the execution and completion of the Works and the remedying of any defects.
- 1.1.4.2 “Contract Price” means the price defined in Sub-Clause 14.1 [The Contract Price], and includes adjustments in accordance with the Contract.
- 1.1.4.3 “Cost” means all expenditure reasonably incurred (or to be incurred) by the Contractor, whether on or off the Site, including overhead and similar charges, but does not include profit.
- 1.1.4.4 “Final Payment Certificate” means the payment certificate issued under Sub-Clause 14.13 [Issue of Final Payment Certificate].
- 1.1.4.5 “Final Statement” means the statement defined in Sub-Clause 14.11 [Application for Final Payment Certificate].



- 1.1.4.6 “Foreign Currency” means a currency in which part (or all) of the Contract Price is payable, but not the Local Currency.
- 1.1.4.7 “Interim Payment Certificate” means a payment certificate issued under Clause 14 [Contract Price and Payment], other than the Final Payment Certificate.
- 1.1.4.8 “Local Currency” means the currency of the Country.
- 1.1.4.9 “Payment Certificate” means a payment certificate issued under Clause 14 [Contract Price and Payment].
- 1.1.4.10 “Provisional Sum” means a sum (if any) which is specified in the Contract as a provisional sum, for the execution of any part of the Works or for the supply of Plant, Materials or services under Sub-Clause 13.5 [Provisional Sums].
- 1.1.4.11 “Retention Money” means the accumulated retention moneys which the Employer retains under Sub-Clause 14.3 [Application for Interim Payment Certificates] and pays under Sub-Clause 14.9 [Payment of Retention Money].
- 1.1.4.12 “Statement” means a statement submitted by the Contractor as part of an application, under Clause 14 [Contract Price and Payment], for a payment certificate.

### **1.1.5 Works and Goods**

- 1.1.5.1 “Contractor’s Equipment” means all apparatus, machinery, vehicles and other things required for the execution and completion of the Works and the remedying of any defects. However, Contractor’s Equipment excludes Temporary Works, Employer’s Equipment (if any), Plant, Materials and any other things intended to form or forming part of the Permanent Works.
- 1.1.5.2 “Goods” means Contractor’s Equipment, Materials, Plant and Temporary Works, or any of them as appropriate.
- 1.1.5.3 “Materials” means things of all kinds (other than Plant) intended to form or forming part of the Permanent Works, including the supply-only materials (if any) to be supplied by the Contractor under the Contract.
- 1.1.5.4 “Permanent Works” means the permanent works to be

executed by the Contractor under the Contract.

- 1.1.5.5 “Plant” means the apparatus, machinery and other equipment intended to form or forming part of the Permanent Works, including vehicles purchased for the Employer and relating to the construction or operation of the Works.
- 1.1.5.6 “Section” means a part of the Works specified in the Contract Data as a Section (if any).
- 1.1.5.7 “Temporary Works” means all temporary works of every kind (other than Contractor’s Equipment) required on Site for the execution and completion of the Permanent Works and the remedying of any defects.
- 1.1.5.8 “Works” mean the Permanent Works and the Temporary Works, or either of them as appropriate.

#### **1.1.6 Other Definitions**

- 1.1.6.1 “Contractor’s Documents” means the calculations, computer programs and other software, drawings, manuals, models and other documents of a technical nature (if any) supplied by the Contractor under the Contract.
- 1.1.6.2 “Country” means the country in which the Site (or most of it) is located, where the Permanent Works are to be executed.
- 1.1.6.3 “Employer’s Equipment” means the apparatus, machinery and vehicles (if any) made available by the Employer for the use of the Contractor in the execution of the Works, as stated in the Specification; but does not include Plant which has not been taken over by the Employer.
- 1.1.6.4 “Force Majeure” is defined in Clause 19 [Force Majeure].
- 1.1.6.5 “Laws” means all national (or state) legislation, statutes, ordinances and other laws, and regulations and by-laws of any legally constituted public authority.
- 1.1.6.6 “Performance Security” means the security (or securities, if any) under Sub-Clause 4.2 [Performance Security].
- 1.1.6.7 “Site” means the places where the Permanent Works are to be executed including storage and working

areas and to which Plant and Materials are to be delivered, and any other places as may be specified in the Contract as forming part of the Site.

1.1.6.8 “Unforeseeable” means not reasonably foreseeable by an experienced contractor by the Base Date.

1.1.6.9 “Variation” means any change to the Works, which is instructed or approved as a variation under Clause 13 [Variations and Adjustments].

## **1.2 Interpretation**

In the Contract, except where the context requires otherwise:

- (a) words indicating one gender include all genders;
- (b) words indicating the singular also include the plural and words indicating the plural also include the singular;
- (c) provisions including the word “agree,” “agreed” or “agreement” require the agreement to be record in writing;
- (d) “written” or “in writing” means hand-written, type-written, printed or electronically made, and resulting in a permanent record; and
- (e) the word “tender” is synonymous with “bid”, and “tenderer” with “bidder” and the words “tender documents” with “bidding documents”

The marginal words and other headings shall not be taken into consideration in the interpretation of these Conditions.

In these Conditions, provisions including the expression "Cost plus profit" require this profit to be one-twentieth (5%) of this Cost unless otherwise indicated in the Contract Data.

## **1.3 Communications**

Wherever these Conditions provide for the giving or issuing of approvals, certificates, consents, determinations, notices, requests and discharges, these communications shall be:

- (a) in writing and delivered by hand (against receipt), sent by mail or courier, or transmitted using any of the agreed systems of electronic transmission as stated in the Contract Data; and
- (b) delivered, sent or transmitted to the address for the recipient’s communications as stated in the Contract

Data. However:

- (i) if the recipient gives notice of another address, communications shall thereafter be delivered accordingly; and
- (ii) if the recipient has not stated otherwise when requesting an approval or consent, it may be sent to the address from which the request was issued.

Approvals, certificates, consents and determinations shall not be unreasonably withheld or delayed. When a certificate is issued to a Party, the certifier shall send a copy to the other Party. When a notice is issued to a Party, by the other Party or the Engineer, a copy shall be sent to the Engineer or the other Party, as the case may be.

**1.4 Law and Language** The Contract shall be governed by the law of the country or other jurisdiction stated in the Contract Data.

The ruling language of the Contract shall be that stated in the Contract Data.

The language for communications shall be that stated in the Contract Data. If no language is stated there, the language for communications shall be the ruling language of the Contract.

**1.5 Priority of Documents** The documents forming the Contract are to be taken as mutually explanatory of one another. For the purposes of interpretation, the priority of the documents shall be in accordance with the following sequence:

- (a) the Contract Agreement (if any),
- (b) the Letter of Acceptance,
- (c) the Tender,
- (d) the Particular Conditions – Part A,
- (e) the Particular Conditions – Part B
- (f) these General Conditions
- (g) the Specification,
- (h) the Drawings, and
- (i) the Schedules and any other documents forming part of the Contract.

If an ambiguity or discrepancy is found in the documents, the Engineer shall issue any necessary clarification or instruction.

**1.6 Contract Agreement**

The Parties shall enter into a Contract Agreement within 28 days after the Contractor receives the Letter of Acceptance, unless the Particular Conditions establish otherwise. The Contract Agreement shall be based upon the form annexed to the Particular Conditions. The costs of stamp duties and similar charges (if any) imposed by law in connection with entry into the Contract Agreement shall be borne by the Employer.

**1.7 Assignment**

Neither Party shall assign the whole or any part of the Contract or any benefit or interest in or under the Contract. However, either Party:

- (a) may assign the whole or any part with the prior agreement of the other Party, at the sole discretion of such other Party, and
- (b) may, as security in favour of a bank or financial institution, assign its right to any moneys due, or to become due, under the Contract.

**1.8 Care and Supply of Documents**

The Specification and Drawings shall be in the custody and care of the Employer. Unless otherwise stated in the Contract, two copies of the Contract and of each subsequent Drawing shall be supplied to the Contractor, who may make or request further copies at the cost of the Contractor.

Each of the Contractor's Documents shall be in the custody and care of the Contractor, unless and until taken over by the Employer. Unless otherwise stated in the Contract, the Contractor shall supply to the Engineer six copies of each of the Contractor's Documents.

The Contractor shall keep, on the Site, a copy of the Contract, publications named in the Specification, the Contractor's Documents (if any), the Drawings and Variations and other communications given under the Contract. The Employer's Personnel shall have the right of access to all these documents at all reasonable times.

If a Party becomes aware of an error or defect in a document which was prepared for use in executing the Works, the Party shall promptly give notice to the other Party of such error or defect.

**1.9 Delayed Drawings or Instructions**

The Contractor shall give notice to the Engineer whenever the Works are likely to be delayed or disrupted if any necessary drawing or instruction is not issued to the Contractor within a particular time, which shall be reasonable. The notice shall include details of the necessary drawing or instruction, details of why and by when it should be issued, and the nature and amount of the delay or disruption likely to be suffered if it is late.

If the Contractor suffers delay and/or incurs Cost as a result of a failure of the Engineer to issue the notified drawing or instruction within a time which is reasonable and is specified in the notice with supporting details, the Contractor shall give a further notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost plus profit, which shall be included in the Contract Price.

After receiving this further notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

However, if and to the extent that the Engineer's failure was caused by any error or delay by the Contractor, including an error in, or delay in the submission of, any of the Contractor's Documents, the Contractor shall not be entitled to such extension of time, Cost or profit

**1.10 Employer's Use of Contractor's Documents**

As between the Parties, the Contractor shall retain the copyright and other intellectual property rights in the Contractor's Documents and other design documents made by (or on behalf of) the Contractor.

The Contractor shall be deemed (by signing the Contract) to give to the Employer a non-terminable transferable non-exclusive royalty-free licence to copy, use and communicate the Contractor's Documents, including making and using modifications of them. This licence shall:

- (a) apply throughout the actual or intended working life (whichever is longer) of the relevant parts of the Works,
- (b) entitle any person in proper possession of the relevant

part of the Works to copy, use and communicate the Contractor's Documents for the purposes of completing, operating, maintaining, altering, adjusting, repairing and demolishing the Works, and

- (c) in the case of Contractor's Documents which are in the form of computer programs and other software, permit their use on any computer on the Site and other places as envisaged by the Contract, including replacements of any computers supplied by the Contractor.

The Contractor's Documents and other design documents made by (or on behalf of) the Contractor shall not, without the Contractor's consent, be used, copied or communicated to a third party by (or on behalf of) the Employer for purposes other than those permitted under this Sub-Clause.

**1.11 Contractor's Use of Employer's Documents**

As between the Parties, the Employer shall retain the copyright and other intellectual property rights in the Specification, the Drawings and other documents made by (or on behalf of) the Employer. The Contractor may, at his cost, copy, use, and obtain communication of these documents for the purposes of the Contract. They shall not, without the Employer's consent, be copied, used or communicated to a third party by the Contractor, except as necessary for the purposes of the Contract.

**1.12 Confidential Details**

The Contractor's and the Employer's Personnel shall disclose all such confidential and other information as may be reasonably required in order to verify the Contractor's compliance with the Contract and allow its proper implementation.

Each of them shall treat the details of the Contract as private and confidential, except to the extent necessary to carry out their respective obligations under the Contract or to comply with applicable Laws. Each of them shall not publish or disclose any particulars of the Works prepared by the other Party without the previous agreement of the other Party. However, the Contractor shall be permitted to disclose any publicly available information, or information otherwise required to establish his qualifications to compete for other projects.

**1.13 Compliance with Laws**

The Contractor shall, in performing the Contract, comply with applicable Laws. Unless otherwise stated in the Particular Conditions:

- (a) the Employer shall have obtained (or shall obtain) the planning, zoning, building permit or similar permission for the Permanent Works, and any other permissions described in the Specification as having been (or to be) obtained by the Employer; and the Employer shall indemnify and hold the Contractor harmless against and from the consequences of any failure to do so; and
- (b) the Contractor shall give all notices, pay all taxes, duties and fees, and obtain all permits, licences and approvals, as required by the Laws in relation to the execution and completion of the Works and the remedying of any defects; and the Contractor shall indemnify and hold the Employer harmless against and from the consequences of any failure to do so, unless the Contractor is impeded to accomplish these actions and shows evidence of its diligence.

#### **1.14 Joint and Several Liability**

If the Contractor constitutes (under applicable Laws) a joint venture or other unincorporated grouping of two or more persons:

- (a) these persons shall be deemed to be jointly and severally liable to the Employer for the performance of the Contract;
- (b) these persons shall notify the Employer of their leader who shall have authority to bind the Contractor and each of these persons; and
- (c) the Contractor shall not alter its composition or legal status without the prior consent of the Employer.

#### **1.15 Inspections and Audit by the Bank**

The Contractor shall permit, and shall cause its Subcontractors and subconsultants to permit, the Bank and/or persons appointed by the Bank to inspect the Site and all accounts and records relating to the performance of the Contract and the submission of the bid, and to have such accounts and records audited by auditors appointed by the Bank if requested by the Bank. The Contractor's and its Subcontractors' and subconsultants' attention is drawn to Sub-Clause 15.6 [Corrupt or Fraudulent Practices] which provides, inter alia, that acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under Sub-Clause 1.15 constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility pursuant to the Bank's prevailing sanctions procedures).



## 2. The Employer

### 2.1 Right of Access to the Site

The Employer shall give the Contractor right of access to, and possession of, all parts of the Site within the time (or times) stated in the Contract Data. The right and possession may not be exclusive to the Contractor. If, under the Contract, the Employer is required to give (to the Contractor) possession of any foundation, structure, plant or means of access, the Employer shall do so in the time and manner stated in the Specification. However, the Employer may withhold any such right or possession until the Performance Security has been received.

If no such time is stated in the Contract Data, the Employer shall give the Contractor right of access to, and possession of, the Site within such times as required to enable the Contractor to proceed without disruption in accordance with the programme submitted under Sub-Clause 8.3 [Programme].

If the Contractor suffers delay and/or incurs Cost as a result of a failure by the Employer to give any such right or possession within such time, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost plus profit, which shall be included in the Contract Price.

After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

However, if and to the extent that the Employer's failure was caused by any error or delay by the Contractor, including an error in, or delay in the submission of, any of the Contractor's Documents, the Contractor shall not be entitled to such extension of time, Cost or profit.

### 2.2 Permits, Licences or Approvals

The Employer shall provide, at the request of the Contractor, such reasonable assistance as to allow the Contractor to obtain properly:

- (a) copies of the Laws of the Country which are relevant to the Contract but are not readily available, and
- (b) any permits, licences or approvals required by the Laws

of the Country:

- (i) which the Contractor is required to obtain under Sub-Clause 1.13 [Compliance with Laws],
- (ii) for the delivery of Goods, including clearance through customs, and
- (iii) for the export of Contractor's Equipment when it is removed from the Site.

### **2.3 Employer's Personnel**

The Employer shall be responsible for ensuring that the Employer's Personnel and the Employer's other contractors on the Site:

- (a) co-operate with the Contractor's efforts under Sub-Clause 4.6 [Co-operation], and
- (b) take actions similar to those which the Contractor is required to take under sub-paragraphs (a), (b) and (c) of Sub-Clause 4.8 [Safety Procedures] and under Sub-Clause 4.18 [Protection of the Environment].

### **2.4 Employer's Financial Arrangements**

The Employer shall submit, before the Commencement Date and thereafter within 28 days after receiving any request from the Contractor, reasonable evidence that financial arrangements have been made and are being maintained which will enable the Employer to pay the Contract Price punctually (as estimated at that time) in accordance with Clause 14 [Contract Price and Payment]. Before the Employer makes any material change to his financial arrangements, the Employer shall give notice to the Contractor with detailed particulars.

In addition, if the Bank has notified to the Borrower that the Bank has suspended disbursements under its loan, which finances in whole or in part the execution of the Works, the Employer shall give notice of such suspension to the Contractor with detailed particulars, including the date of such notification, with a copy to the Engineer, within 7 days of the Borrower having received the suspension notification from the Bank. If alternative funds will be available in appropriate currencies to the Employer to continue making payments to the Contractor beyond a date 60 days after the date of Bank notification of the suspension, the Employer shall provide reasonable evidence in such notice of the extent to which such funds will be available.

### **2.5 Employer's Claims**

If the Employer considers himself to be entitled to any payment under any Clause of these Conditions or otherwise in connection with the Contract, and/or to any extension of the

Defects Notification Period, the Employer or the Engineer shall give notice and particulars to the Contractor. However, notice is not required for payments due under Sub-Clause 4.19 [Electricity, Water and Gas], under Sub-Clause 4.20 [Employer's Equipment and Free-Issue Material], or for other services requested by the Contractor.

The notice shall be given as soon as practicable and no longer than 28 days after the Employer became aware, or should have become aware, of the event or circumstances giving rise to the claim. A notice relating to any extension of the Defects Notification Period shall be given before the expiry of such period.

The particulars shall specify the Clause or other basis of the claim, and shall include substantiation of the amount and/or extension to which the Employer considers himself to be entitled in connection with the Contract. The Engineer shall then proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine (i) the amount (if any) which the Employer is entitled to be paid by the Contractor, and/or (ii) the extension (if any) of the Defects Notification Period in accordance with Sub-Clause 11.3 [Extension of Defects Notification Period].

This amount may be included as a deduction in the Contract Price and Payment Certificates. The Employer shall only be entitled to set off against or make any deduction from an amount certified in a Payment Certificate, or to otherwise claim against the Contractor, in accordance with this Sub-Clause.

### **3. The Engineer**

#### **3.1 Engineer's Duties and Authority**

The Employer shall appoint the Engineer who shall carry out the duties assigned to him in the Contract. The Engineer's staff shall include suitably qualified engineers and other professionals who are competent to carry out these duties.

The Engineer shall have no authority to amend the Contract.

The Engineer may exercise the authority attributable to the Engineer as specified in or necessarily to be implied from the Contract. If the Engineer is required to obtain the approval of the Employer before exercising a specified authority, the requirements shall be as stated in the Particular Conditions. The Employer shall promptly inform the Contractor of any change to

the authority attributed to the Engineer.

However, whenever the Engineer exercises a specified authority for which the Employer's approval is required, then (for the purposes of the Contract) the Employer shall be deemed to have given approval.

Except as otherwise stated in these Conditions:

- (a) whenever carrying out duties or exercising authority, specified in or implied by the Contract, the Engineer shall be deemed to act for the Employer;
- (b) the Engineer has no authority to relieve either Party of any duties, obligations or responsibilities under the Contract; and
- (c) any approval, check, certificate, consent, examination, inspection, instruction, notice, proposal, request, test, or similar act by the Engineer (including absence of disapproval) shall not relieve the Contractor from any responsibility he has under the Contract, including responsibility for errors, omissions, discrepancies and non-compliances.
- (d) Any act by the Engineer in response to a Contractor's request except otherwise expressly specified shall be notified in writing to the Contractor within 28 days of receipt.

The following provisions shall apply:

The Engineer shall obtain the specific approval of the Employer before taking action under the-following Sub-Clauses of these Conditions:

- (a) Sub-Clause 4.12 [Unforeseeable Physical Conditions]: Agreeing or determining an extension of time and/or additional cost.
- (b) Sub-Clause 13.1 [Right to Vary]: Instructing a Variation, except;
  - (i) in an emergency situation as determined by the Engineer, or
  - (ii) if such a Variation would increase the Accepted Contract Amount by less than the percentage

specified in the Contract Data.

- (c) Sub-Clause 13.3 [Variation Procedure]: Approving a proposal for Variation submitted by the Contractor in accordance with Sub Clause 13.1 [Right to Vary] or 13.2 [Value Engineering].
- (d) Sub-Clause 13.4 [Payment in Applicable Currencies]: Specifying the amount payable in each of the applicable currencies

Notwithstanding the obligation, as set out above, to obtain approval, if, in the opinion of the Engineer, an emergency occurs affecting the safety of life or of the Works or of adjoining property, he may, without relieving the Contractor of any of his duties and responsibility under the Contract, instruct the Contractor to execute all such work or to do all such things as may, in the opinion of the Engineer, be necessary to abate or reduce the risk. The Contractor shall forthwith comply, despite the absence of approval of the Employer, with any such instruction of the Engineer. The Engineer shall determine an addition to the Contract Price, in respect of such instruction, in accordance with Clause 13 [Variations and Adjustments] and shall notify the Contractor accordingly, with a copy to the Employer.

### **3.2 Delegation by the Engineer**

The Engineer may from time to time assign duties and delegate authority to assistants, and may also revoke such assignment or delegation. These assistants may include a resident engineer, and/or independent inspectors appointed to inspect and/or test items of Plant and/or Materials. The assignment, delegation or revocation shall be in writing and shall not take effect until copies have been received by both Parties.

However, unless otherwise agreed by both Parties, the Engineer shall not delegate the authority to determine any matter in accordance with Sub-Clause 3.5 [Determinations].

Assistants shall be suitably qualified persons, who are competent to carry out these duties and exercise this authority, and who are fluent in the language for communications defined in Sub-Clause 1.4 [Law and Language].

Each assistant, to whom duties have been assigned or authority has been delegated, shall only be authorised to issue instructions to the Contractor to the extent defined by the delegation. Any approval, check, certificate, consent, examination, inspection, instruction, notice, proposal, request, test, or similar act by an

assistant, in accordance with the delegation, shall have the same effect as though the act had been an act of the Engineer. However:

- (a) any failure to disapprove any work, Plant or Materials shall not constitute approval, and shall therefore not prejudice the right of the Engineer to reject the work, Plant or Materials;
- (b) if the Contractor questions any determination or instruction of an assistant, the Contractor may refer the matter to the Engineer, who shall promptly confirm, reverse or vary the determination or instruction.

### **3.3 Instructions of the Engineer**

The Engineer may issue to the Contractor (at any time) instructions and additional or modified Drawings which may be necessary for the execution of the Works and the remedying of any defects, all in accordance with the Contract. The Contractor shall only take instructions from the Engineer, or from an assistant to whom the appropriate authority has been delegated under this Clause. If an instruction constitutes a Variation, Clause 13 [Variations and Adjustments] shall apply.

The Contractor shall comply with the instructions given by the Engineer or delegated assistant, on any matter related to the Contract. Whenever practicable, their instructions shall be given in writing. If the Engineer or a delegated assistant:

- (a) gives an oral instruction,
- (b) receives a written confirmation of the instruction, from (or on behalf of) the Contractor, within two working days after giving the instruction, and
- (c) does not reply by issuing a written rejection and/or instruction within two working days after receiving the confirmation,

then the confirmation shall constitute the written instruction of the Engineer or delegated assistant (as the case may be).

### **3.4 Replacement of the Engineer**

If the Employer intends to replace the Engineer, the Employer shall, not less than 21 days before the intended date of replacement, give notice to the Contractor of the name, address and relevant experience of the intended replacement Engineer. If the Contractor considers the intended replacement Engineer to be unsuitable, he has the right to raise objection against him by notice to the Employer, with supporting particulars, and the Employer shall give full and fair consideration to this objection.

**3.5 Determinations**

Whenever these Conditions provide that the Engineer shall proceed in accordance with this Sub-Clause 3.5 to agree or determine any matter, the Engineer shall consult with each Party in an endeavour to reach agreement. If agreement is not achieved, the Engineer shall make a fair determination in accordance with the Contract, taking due regard of all relevant circumstances.

The Engineer shall give notice to both Parties of each agreement or determination, with supporting particulars within 28 days from the receipt of the corresponding claim or request except when otherwise specified. Each Party shall give effect to each agreement or determination unless and until revised under Clause 20 [Claims, Disputes and Arbitration].

**4. The Contractor****4.1 Contractor's  
General  
Obligations**

The Contractor shall design (to the extent specified in the Contract), execute and complete the Works in accordance with the Contract and with the Engineer's instructions, and shall remedy any defects in the Works.

The Contractor shall provide the Plant and Contractor's Documents specified in the Contract, and all Contractor's Personnel, Goods, consumables and other things and services, whether of a temporary or permanent nature, required in and for this design, execution, completion and remedying of defects.

All equipment, material, and services to be incorporated in or required for the Works shall have their origin in any eligible source country as defined by the Bank.

The Contractor shall be responsible for the adequacy, stability and safety of all Site operations and of all methods of construction. Except to the extent specified in the Contract, the Contractor (i) shall be responsible for all Contractor's Documents, Temporary Works, and such design of each item of Plant and Materials as is required for the item to be in accordance with the Contract, and (ii) shall not otherwise be responsible for the design or specification of the Permanent Works.

The Contractor shall, whenever required by the Engineer, submit details of the arrangements and methods which the Contractor proposes to adopt for the execution of the Works. No significant alteration to these arrangements and methods shall be made without this having previously been notified to the Engineer.

If the Contract specifies that the Contractor shall design any part of the Permanent Works, then unless otherwise stated in the Particular Conditions:

- (a) the Contractor shall submit to the Engineer the Contractor's Documents for this part in accordance with the procedures specified in the Contract;
- (b) these Contractor's Documents shall be in accordance with the Specification and Drawings, shall be written in the language for communications defined in Sub-Clause 1.4 [Law and Language], and shall include additional information required by the Engineer to add to the Drawings for co-ordination of each Party's designs;
- (c) the Contractor shall be responsible for this part and it shall, when the Works are completed, be fit for such purposes for which the part is intended as are specified in the Contract; and
- (d) prior to the commencement of the Tests on Completion, the Contractor shall submit to the Engineer the "as-built" documents and, if applicable, operation and maintenance manuals in accordance with the Specification and in sufficient detail for the Employer to operate, maintain, dismantle, reassemble, adjust and repair this part of the Works. Such part shall not be considered to be completed for the purposes of taking-over under Sub-Clause 10.1 [Taking Over of the Works and Sections] until these documents and manuals have been submitted to the Engineer.



#### **4.2 Performance Security**

The Contractor shall obtain (at his cost) a Performance Security for proper performance, in the amount and currencies stated in the Contract Data. If an amount is not stated in the Contract Data, this Sub-Clause shall not apply.

The Contractor shall deliver the Performance Security to the Employer within 28 days after receiving the Letter of Acceptance, and shall send a copy to the Engineer. The Performance Security shall be issued by an entity and from within a country (or other jurisdiction) approved by the Employer, and shall be in the form annexed to the Particular Conditions or in another form approved by the Employer.

The Contractor shall ensure that the Performance Security is valid and enforceable until the Contractor has executed and completed the Works and remedied any defects. If the terms of the Performance Security specify its expiry date, and the Contractor has not become entitled to receive the Performance Certificate by the date 28 days prior to the expiry date, the Contractor shall extend the validity of the Performance Security until the Works have been completed and any defects have been remedied.

The Employer shall not make a claim under the Performance Security, except for amounts to which the Employer is entitled under the Contract.

The Employer shall indemnify and hold the Contractor harmless against and from all damages, losses and expenses (including legal fees and expenses) resulting from a claim under the Performance Security to the extent to which the Employer was not entitled to make the claim.

The Employer shall return the Performance Security to the Contractor within 21 days after receiving a copy of the Performance Certificate.

Without limitation to the provisions of the rest of this Sub-Clause, whenever the Engineer determines an addition or a reduction to the Contract Price as a result of a change in cost and/or legislation or as a result of a Variation amounting to more than 25 percent of the portion of the Contract Price payable in a specific currency, the Contractor shall at the Engineer's request promptly increase, or may decrease, as the case may be, the value of the Performance Security in that currency by an equal percentage.

**4.3 Contractor's Representative**

The Contractor shall appoint the Contractor's Representative and shall give him all authority necessary to act on the Contractor's behalf under the Contract.

Unless the Contractor's Representative is named in the Contract, the Contractor shall, prior to the Commencement Date, submit to the Engineer for consent the name and particulars of the person the Contractor proposes to appoint as Contractor's Representative. If consent is withheld or subsequently revoked in terms of Sub-Clause 6.9 [Contractor's Personnel], or if the appointed person fails to act as Contractor's Representative, the Contractor shall similarly submit the name and particulars of another suitable person for such appointment.

The Contractor shall not, without the prior consent of the Engineer, revoke the appointment of the Contractor's Representative or appoint a replacement.

The whole time of the Contractor's Representative shall be given to directing the Contractor's performance of the Contract. If the Contractor's Representative is to be temporarily absent from the Site during the execution of the Works, a suitable replacement person shall be appointed, subject to the Engineer's prior consent, and the Engineer shall be notified accordingly.

The Contractor's Representative shall, on behalf of the Contractor, receive instructions under Sub-Clause 3.3 [Instructions of the Engineer].

The Contractor's Representative may delegate any powers, functions and authority to any competent person, and may at any time revoke the delegation. Any delegation or revocation shall not take effect until the Engineer has received prior notice signed by the Contractor's Representative, naming the person and specifying the powers, functions and authority being delegated or revoked.

The Contractor's Representative shall be fluent in the language for communications defined in Sub-Clause 1.4 [Law and Language]. If the Contractor's Representative's delegates are not fluent in the said language, the Contractor shall make competent interpreters available during all working hours in a number deemed sufficient by the Engineer.

**4.4 Subcontractors**

The Contractor shall not subcontract the whole of the Works.

The Contractor shall be responsible for the acts or defaults of any Subcontractor, his agents or employees, as if they were the acts or defaults of the Contractor. Unless otherwise stated in the

**Particular Conditions:**

- (a) the Contractor shall not be required to obtain consent to suppliers solely of Materials, or to a subcontract for which the Subcontractor is named in the Contract;
- (b) the prior consent of the Engineer shall be obtained to other proposed Subcontractors;
- (c) the Contractor shall give the Engineer not less than 28 days' notice of the intended date of the commencement of each Subcontractor's work, and of the commencement of such work on the Site; and
- (d) each subcontract shall include provisions which would entitle the Employer to require the subcontract to be assigned to the Employer under Sub-Clause 4.5 [Assignment of Benefit of Subcontract] (if or when applicable) or in the event of termination under Sub-Clause 15.2 [Termination by Employer].

The Contractor shall ensure that the requirements imposed on the Contractor by Sub-Clause 1.12 [Confidential Details] apply equally to each Subcontractor.

Where practicable, the Contractor shall give fair and reasonable opportunity for contractors from the Country to be appointed as Subcontractors.

**4.5 Assignment of Benefit of Subcontract**

If a Subcontractor's obligations extend beyond the expiry date of the relevant Defects Notification Period and the Engineer, prior to this date, instructs the Contractor to assign the benefit of such obligations to the Employer, then the Contractor shall do so. Unless otherwise stated in the assignment, the Contractor shall have no liability to the Employer for the work carried out by the Subcontractor after the assignment takes effect.

**4.6 Co-operation**

The Contractor shall, as specified in the Contract or as instructed by the Engineer, allow appropriate opportunities for carrying out work to:

- (a) the Employer's Personnel,
- (b) any other contractors employed by the Employer, and
- (c) the personnel of any legally constituted public authorities,

who may be employed in the execution on or near the Site of any work not included in the Contract.

Any such instruction shall constitute a Variation if and to the

extent that it causes the Contractor to suffer delays and/or to incur Unforeseeable Cost. Services for these personnel and other contractors may include the use of Contractor's Equipment, Temporary Works or access arrangements which are the responsibility of the Contractor.

If, under the Contract, the Employer is required to give to the Contractor possession of any foundation, structure, plant or means of access in accordance with Contractor's Documents, the Contractor shall submit such documents to the Engineer in the time and manner stated in the Specification.

#### **4.7 Setting Out**

The Contractor shall set out the Works in relation to original points, lines and levels of reference specified in the Contract or notified by the Engineer. The Contractor shall be responsible for the correct positioning of all parts of the Works, and shall rectify any error in the positions, levels, dimensions or alignment of the Works.

The Employer shall be responsible for any errors in these specified or notified items of reference, but the Contractor shall use reasonable efforts to verify their accuracy before they are used.

If the Contractor suffers delay and/or incurs Cost from executing work which was necessitated by an error in these items of reference, and an experienced contractor could not reasonably have discovered such error and avoided this delay and/or Cost, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost plus profit, which shall be included in the Contract Price.

After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine (i) whether and (if so) to what extent the error could not reasonably have been discovered, and (ii) the matters described in sub-paragraphs (a) and (b) above related to this extent.

#### **4.8 Safety Procedures** The Contractor shall:

- (a) comply with all applicable safety regulations,
- (b) take care for the safety of all persons entitled to be on the Site,
- (c) use reasonable efforts to keep the Site and Works clear of unnecessary obstruction so as to avoid danger to these persons,
- (d) provide fencing, lighting, guarding and watching of the Works until completion and taking over under Clause 10 [Employer's Taking Over], and
- (e) provide any Temporary Works (including roadways, footways, guards and fences) which may be necessary, because of the execution of the Works, for the use and protection of the public and of owners and occupiers of adjacent land.

#### **4.9 Quality Assurance**

The Contractor shall institute a quality assurance system to demonstrate compliance with the requirements of the Contract. The system shall be in accordance with the details stated in the Contract. The Engineer shall be entitled to audit any aspect of the system.

Details of all procedures and compliance documents shall be submitted to the Engineer for information before each design and execution stage is commenced. When any document of a technical nature is issued to the Engineer, evidence of the prior approval by the Contractor himself shall be apparent on the document itself.

Compliance with the quality assurance system shall not relieve the Contractor of any of his duties, obligations or responsibilities under the Contract.

#### **4.10 Site Data**

The Employer shall have made available to the Contractor for his information, prior to the Base Date, all relevant data in the Employer's possession on sub-surface and hydrological conditions at the Site, including environmental aspects. The Employer shall similarly make available to the Contractor all such data which come into the Employer's possession after the Base Date. The Contractor shall be responsible for interpreting all such data.

To the extent which was practicable (taking account of cost and time), the Contractor shall be deemed to have obtained all necessary information as to risks, contingencies and other circumstances which may influence or affect the Tender or

Works. To the same extent, the Contractor shall be deemed to have inspected and examined the Site, its surroundings, the above data and other available information, and to have been satisfied before submitting the Tender as to all relevant matters, including (without limitation):

- (a) the form and nature of the Site, including sub-surface conditions,
- (b) the hydrological and climatic conditions,
- (c) the extent and nature of the work and Goods necessary for the execution and completion of the Works and the remedying of any defects,
- (d) the Laws, procedures and labour practices of the Country, and
- (e) the Contractor's requirements for access, accommodation, facilities, personnel, power, transport, water and other services.

**4.11 Sufficiency of the Accepted Contract Amount**

The Contractor shall be deemed to:

- (a) have satisfied himself as to the correctness and sufficiency of the Accepted Contract Amount, and
- (b) have based the Accepted Contract Amount on the data, interpretations, necessary information, inspections, examinations and satisfaction as to all relevant matters referred to in Sub-Clause 4.10 [Site Data].

Unless otherwise stated in the Contract, the Accepted Contract Amount covers all the Contractor's obligations under the Contract (including those under Provisional Sums, if any) and all things necessary for the proper execution and completion of the Works and the remedying of any defects.

**4.12 Unforeseeable Physical Conditions**

In this Sub-Clause, "physical conditions" means natural physical conditions and man-made and other physical obstructions and pollutants, which the Contractor encounters at the Site when executing the Works, including sub-surface and hydrological conditions but excluding climatic conditions.

If the Contractor encounters adverse physical conditions which he considers to have been Unforeseeable, the Contractor shall give notice to the Engineer as soon as practicable.

This notice shall describe the physical conditions, so that they can be inspected by the Engineer, and shall set out the reasons why the Contractor considers them to be Unforeseeable. The Contractor shall continue executing the Works, using such proper and reasonable measures as are appropriate for the physical conditions, and shall comply with any instructions which the Engineer may give. If an instruction constitutes a Variation, Clause 13 [Variations and Adjustments] shall apply.

If and to the extent that the Contractor encounters physical conditions which are Unforeseeable, gives such a notice, and suffers delay and/or incurs Cost due to these conditions, the Contractor shall be entitled subject to notice under Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost, which shall be included in the Contract Price.

Upon receiving such notice and inspecting and/or investigating these physical conditions, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine (i) whether and (if so) to what extent these physical conditions were Unforeseeable, and (ii) the matters described in sub-paragraphs (a) and (b) above related to this extent.

However, before additional Cost is finally agreed or determined under sub-paragraph (ii), the Engineer may also review whether other physical conditions in similar parts of the Works (if any) were more favourable than could reasonably have been foreseen when the Contractor submitted the Tender. If and to the extent that these more favourable conditions were encountered, the Engineer may proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine the reductions in Cost which were due to these conditions, which may be included (as deductions) in the Contract Price and Payment Certificates. However, the net effect of all adjustments under sub-paragraph (b) and all these reductions, for all the physical conditions encountered in similar parts of the Works, shall not result in a net reduction in the Contract Price.

The Engineer shall take account of any evidence of the physical conditions foreseen by the Contractor when submitting the Tender, which shall be made available by the Contractor, but shall not be bound by the Contractor's interpretation of any such

evidence.

#### **4.13 Rights of Way and Facilities**

Unless otherwise specified in the Contract the Employer shall provide access to and possession of the Site including special and/or temporary rights-of-way which are necessary for the Works. The Contractor shall obtain, at his risk and cost, any additional rights of way or facilities outside the Site which he may require for the purposes of the Works.

#### **4.14 Avoidance of Interference**

The Contractor shall not interfere unnecessarily or improperly with:

- (a) the convenience of the public, or
- (b) the access to and use and occupation of all roads and footpaths, irrespective of whether they are public or in the possession of the Employer or of others.

The Contractor shall indemnify and hold the Employer harmless against and from all damages, losses and expenses (including legal fees and expenses) resulting from any such unnecessary or improper interference.

#### **4.15 Access Route**

The Contractor shall be deemed to have been satisfied as to the suitability and availability of access routes to the Site at Base Date. The Contractor shall use reasonable efforts to prevent any road or bridge from being damaged by the Contractor's traffic or by the Contractor's Personnel. These efforts shall include the proper use of appropriate vehicles and routes.

Except as otherwise stated in these Conditions:

- (a) the Contractor shall (as between the Parties) be responsible for any maintenance which may be required for his use of access routes;
- (b) the Contractor shall provide all necessary signs or directions along access routes, and shall obtain any permission which may be required from the relevant authorities for his use of routes, signs and directions;
- (c) the Employer shall not be responsible for any claims which may arise from the use or otherwise of any access route;
- (d) the Employer does not guarantee the suitability or availability of particular access routes; and
- (e) Costs due to non-suitability or non-availability, for the use required by the Contractor, of access routes shall be borne



by the Contractor.

**4.16 Transport of Goods**

Unless otherwise stated in the Particular Conditions:

- (a) the Contractor shall give the Engineer not less than 21 days' notice of the date on which any Plant or a major item of other Goods will be delivered to the Site;
- (b) the Contractor shall be responsible for packing, loading, transporting, receiving, unloading, storing and protecting all Goods and other things required for the Works; and
- (c) the Contractor shall indemnify and hold the Employer harmless against and from all damages, losses and expenses (including legal fees and expenses) resulting from the transport of Goods, and shall negotiate and pay all claims arising from their transport.

**4.17 Contractor's Equipment**

The Contractor shall be responsible for all Contractor's Equipment. When brought on to the Site, Contractor's Equipment shall be deemed to be exclusively intended for the execution of the Works. The Contractor shall not remove from the Site any major items of Contractor's Equipment without the consent of the Engineer. However, consent shall not be required for vehicles transporting Goods or Contractor's Personnel off Site.

**4.18 Protection of the Environment**

The Contractor shall take all reasonable steps to protect the environment (both on and off the Site) and to limit damage and nuisance to people and property resulting from pollution, noise and other results of his operations.

The Contractor shall ensure that emissions, surface discharges and effluent from the Contractor's activities shall not exceed the values stated in the Specification or prescribed by applicable Laws.

**4.19 Electricity, Water and Gas**

The Contractor shall, except as stated below, be responsible for the provision of all power, water and other services he may require for his construction activities and to the extent defined in the Specifications, for the tests.

The Contractor shall be entitled to use for the purposes of the Works such supplies of electricity, water, gas and other services as may be available on the Site and of which details and prices are given in the Specification. The Contractor shall, at his risk and cost, provide any apparatus necessary for his use of these

services and for measuring the quantities consumed.

The quantities consumed and the amounts due (at these prices) for such services shall be agreed or determined by the Engineer in accordance with Sub-Clause 2.5 [Employer's Claims] and Sub-Clause 3.5 [Determinations]. The Contractor shall pay these amounts to the Employer.

**4.20 Employer's Equipment and Free-Issue Materials**

The Employer shall make the Employer's Equipment (if any) available for the use of the Contractor in the execution of the Works in accordance with the details, arrangements and prices stated in the Specification. Unless otherwise stated in the Specification:

- (a) the Employer shall be responsible for the Employer's Equipment, except that
- (b) the Contractor shall be responsible for each item of Employer's Equipment whilst any of the Contractor's Personnel is operating it, driving it, directing it or in possession or control of it.

The appropriate quantities and the amounts due (at such stated prices) for the use of Employer's Equipment shall be agreed or determined by the Engineer in accordance with Sub-Clause 2.5 [Employer's Claims] and Sub-Clause 3.5 [Determinations]. The Contractor shall pay these amounts to the Employer.

The Employer shall supply, free of charge, the "free-issue materials" (if any) in accordance with the details stated in the Specification. The Employer shall, at his risk and cost, provide these materials at the time and place specified in the Contract. The Contractor shall then visually inspect them, and shall promptly give notice to the Engineer of any shortage, defect or default in these materials. Unless otherwise agreed by both Parties, the Employer shall immediately rectify the notified shortage, defect or default.

After this visual inspection, the free-issue materials shall come under the care, custody and control of the Contractor. The Contractor's obligations of inspection, care, custody and control shall not relieve the Employer of liability for any shortage, defect or default not apparent from a visual inspection.

#### **4.21 Progress Reports**

Unless otherwise stated in the Particular Conditions, monthly progress reports shall be prepared by the Contractor and submitted to the Engineer in six copies. The first report shall cover the period up to the end of the first calendar month following the Commencement Date. Reports shall be submitted monthly thereafter, each within 7 days after the last day of the period to which it relates.

Reporting shall continue until the Contractor has completed all work which is known to be outstanding at the completion date stated in the Taking-Over Certificate for the Works.

Each report shall include:

- (a) charts and detailed descriptions of progress, including each stage of design (if any), Contractor's Documents, procurement, manufacture, delivery to Site, construction, erection and testing; and including these stages for work by each nominated Subcontractor (as defined in Clause 5 [Nominated Subcontractors]),
- (b) photographs showing the status of manufacture and of progress on the Site;
- (c) for the manufacture of each main item of Plant and Materials, the name of the manufacturer, manufacture location, percentage progress, and the actual or expected dates of:
  - (i) commencement of manufacture,
  - (ii) Contractor's inspections,
  - (iii) tests, and
  - (iv) shipment and arrival at the Site;
- (d) the details described in Sub-Clause 6.10 [Records of Contractor's Personnel and Equipment];
- (e) copies of quality assurance documents, test results and certificates of Materials;
- (f) list of notices given under Sub-Clause 2.5 [Employer's Claims] and notices given under Sub-Clause 20.1 [Contractor's Claims];
- (g) safety statistics, including details of any hazardous

incidents and activities relating to environmental aspects and public relations; and

- (h) comparisons of actual and planned progress, with details of any events or circumstances which may jeopardise the completion in accordance with the Contract, and the measures being (or to be) adopted to overcome delays.

#### **4.22 Security of the Site**

Unless otherwise stated in the Particular Conditions:

- (a) the Contractor shall be responsible for keeping unauthorised persons off the Site, and
- (b) authorised persons shall be limited to the Contractor's Personnel and the Employer's Personnel; and to any other personnel notified to the Contractor, by the Employer or the Engineer, as authorised personnel of the Employer's other contractors on the Site.

#### **4.23 Contractor's Operations on Site**

The Contractor shall confine his operations to the Site, and to any additional areas which may be obtained by the Contractor and agreed by the Engineer as additional working areas. The Contractor shall take all necessary precautions to keep Contractor's Equipment and Contractor's Personnel within the Site and these additional areas, and to keep them off adjacent land.

During the execution of the Works, the Contractor shall keep the Site free from all unnecessary obstruction, and shall store or dispose of any Contractor's Equipment or surplus materials. The Contractor shall clear away and remove from the Site any wreckage, rubbish and Temporary Works which are no longer required.

Upon the issue of a Taking-Over Certificate, the Contractor shall clear away and remove, from that part of the Site and Works to which the Taking-Over Certificate refers, all Contractor's Equipment, surplus material, wreckage, rubbish and Temporary Works. The Contractor shall leave that part of the Site and the Works in a clean and safe condition. However, the Contractor may retain on Site, during the Defects Notification Period, such Goods as are required for the Contractor to fulfil obligations under the Contract.

#### **4.24 Fossils**

All fossils, coins, articles of value or antiquity, and structures and other remains or items of geological or archaeological interest found on the Site shall be placed under the care and authority of the Employer. The Contractor shall take reasonable precautions to prevent Contractor's Personnel or other persons

from removing or damaging any of these findings.

The Contractor shall, upon discovery of any such finding, promptly give notice to the Engineer, who shall issue instructions for dealing with it. If the Contractor suffers delay and/or incurs Cost from complying with the instructions, the Contractor shall give a further notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost, which shall be included in the Contract Price.

After receiving this further notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

## **5. Nominated Subcontractors**

### **5.1 Definition of "nominated Subcontractor"**

In the Contract, "nominated Subcontractor" means a Subcontractor:

- (a) who is stated in the Contract as being a nominated Subcontractor, or
- (b) whom the Engineer, under Clause 13 [Variations and Adjustments], instructs the Contractor to employ as a Subcontractor subject to Sub-Clause 5.2 [Objection to Notification].

### **5.2 Objection to Nomination**

The Contractor shall not be under any obligation to employ a nominated Subcontractor against whom the Contractor raises reasonable objection by notice to the Engineer as soon as practicable, with supporting particulars. An objection shall be deemed reasonable if it arises from (among other things) any of the following matters, unless the Employer agrees in writing to indemnify the Contractor against and from the consequences of the matter:

- (a) there are reasons to believe that the Subcontractor does not have sufficient competence, resources or financial strength;
- (b) the nominated Subcontractor does not accept to indemnify the Contractor against and from any negligence or misuse of Goods by the nominated Subcontractor, his agents and

employees; or

- (c) the nominated Subcontractor does not accept to enter into a subcontract which specifies that, for the subcontracted work (including design, if any), the nominated Subcontractor shall:
  - (i) undertake to the Contractor such obligations and liabilities as will enable the Contractor to discharge his obligations and liabilities under the Contract;
  - (ii) indemnify the Contractor against and from all obligations and liabilities arising under or in connection with the Contract and from the consequences of any failure by the Subcontractor to perform these obligations or to fulfil these liabilities; and
  - (iii) be paid only if and when the Contractor has received from the Employer payments for sums due under the Subcontract referred to under Sub-Clause 5.3 [Payment to nominated Subcontractors].

**5.3 Payments to nominated Subcontractors**

The Contractor shall pay to the nominated Subcontractor the amounts shown on the nominated Subcontractor's invoices approved by the Contractor which the Engineer certifies to be due in accordance with the subcontract. These amounts plus other charges shall be included in the Contract Price in accordance with sub-paragraph (b) of Sub-Clause 13.5 [Provisional Sums], except as stated in Sub-Clause 5.4 [Evidence of Payments].

**5.4 Evidence of Payments**

Before issuing a Payment Certificate which includes an amount payable to a nominated Subcontractor, the Engineer may request the Contractor to supply reasonable evidence that the nominated Subcontractor has received all amounts due in accordance with previous Payment Certificates, less applicable deductions for retention or otherwise. Unless the Contractor:

- (a) submits this reasonable evidence to the Engineer, or
- (b)
  - (i) satisfies the Engineer in writing that the Contractor is reasonably entitled to withhold or refuse to pay these amounts, and
  - (ii) submits to the Engineer reasonable evidence that the nominated Subcontractor has been notified of the

Contractor's entitlement,

then the Employer may (at his sole discretion) pay, direct to the nominated Subcontractor, part or all of such amounts previously certified (less applicable deductions) as are due to the nominated Subcontractor and for which the Contractor has failed to submit the evidence described in sub-paragraphs (a) or (b) above. The Contractor shall then repay, to the Employer, the amount which the nominated Subcontractor was directly paid by the Employer.

## **6. Staff and Labour**

- 6.1 Engagement of Staff and Labour** Except as otherwise stated in the Specification, the Contractor shall make arrangements for the engagement of all staff and labour, local or otherwise, and for their payment, feeding, transport, and, when appropriate, housing.
- The Contractor is encouraged, to the extent practicable and reasonable, to employ staff and labour with appropriate qualifications and experience from sources within the Country.
- 6.2 Rates of Wages and Conditions of Labour** The Contractor shall pay rates of wages, and observe conditions of labour, which are not lower than those established for the trade or industry where the work is carried out. If no established rates or conditions are applicable, the Contractor shall pay rates of wages and observe conditions which are not lower than the general level of wages and conditions observed locally by employers whose trade or industry is similar to that of the Contractor.
- The Contractor shall inform the Contractor's Personnel about their liability to pay personal income taxes in the Country in respect of such of their salaries, wages, allowances and any benefits as are subject to tax under the Laws of the Country for the time being in force, and the Contractor shall perform such duties in regard to such deductions thereof as may be imposed on him by such Laws.
- 6.3 Persons in the Service of Employer** The Contractor shall not recruit, or attempt to recruit, staff and labour from amongst the Employer's Personnel.
- 6.4 Labour Laws** The Contractor shall comply with all the relevant labour Laws applicable to the Contractor's Personnel, including Laws relating to their employment, health, safety, welfare, immigration and emigration, and shall allow them all their legal rights.

The Contractor shall require his employees to obey all applicable Laws, including those concerning safety at work.

#### **6.5 Working Hours**

No work shall be carried out on the Site on locally recognised days of rest, or outside the normal working hours stated in the Contract Data, unless:

- (a) otherwise stated in the Contract,
- (b) the Engineer gives consent, or
- (c) the work is unavoidable, or necessary for the protection of life or property or for the safety of the Works, in which case the Contractor shall immediately advise the Engineer.

#### **6.6 Facilities for Staff and Labour**

Except as otherwise stated in the Specification, the Contractor shall provide and maintain all necessary accommodation and welfare facilities for the Contractor's Personnel. The Contractor shall also provide facilities for the Employer's Personnel as stated in the Specification.

The Contractor shall not permit any of the Contractor's Personnel to maintain any temporary or permanent living quarters within the structures forming part of the Permanent Works.

#### **6.7 Health and Safety**

The Contractor shall at all times take all reasonable precautions to maintain the health and safety of the Contractor's Personnel. In collaboration with local health authorities, the Contractor shall ensure that medical staff, first aid facilities, sick bay and ambulance service are available at all times at the Site and at any accommodation for Contractor's and Employer's Personnel, and that suitable arrangements are made for all necessary welfare and hygiene requirements and for the prevention of epidemics.

The Contractor shall appoint an accident prevention officer at the Site, responsible for maintaining safety and protection against accidents. This person shall be qualified for this responsibility, and shall have the authority to issue instructions and take protective measures to prevent accidents. Throughout the execution of the Works, the Contractor shall provide whatever is required by this person to exercise this responsibility and authority.

The Contractor shall send, to the Engineer, details of any accident as soon as practicable after its occurrence. The Contractor shall maintain records and make reports concerning



health, safety and welfare of persons, and damage to property, as the Engineer may reasonably require.

**HIV-AIDS Prevention.** The Contractor shall conduct an HIV-AIDS awareness programme via an approved service provider, and shall undertake such other measures as are specified in this Contract to reduce the risk of the transfer of the HIV virus between and among the Contractor's Personnel and the local community, to promote early diagnosis and to assist affected individuals.

The Contractor shall throughout the contract (including the Defects Notification Period): (i) conduct Information, Education and Consultation Communication (IEC) campaigns, at least every other month, addressed to all the Site staff and labour (including all the Contractor's employees, all Sub-Contractors and Consultants' employees, and all truck drivers and crew making deliveries to Site for construction activities) and to the immediate local communities, concerning the risks, dangers and impact, and appropriate avoidance behavior with respect to Sexually Transmitted Diseases (STD)—or Sexually Transmitted Infections (STI) in general and HIV/AIDS in particular; (ii) provide male or female condoms for all Site staff and labour as appropriate; and (iii) provide for STI and HIV/AIDS screening, diagnosis, counseling and referral to a dedicated national STI and HIV/AIDS program, (unless otherwise agreed) of all Site staff and labour.

The Contractor shall include in the program to be submitted for the execution of the Works under Sub-Clause 8.3 [Programme] an alleviation program for Site staff and labour and their families in respect of Sexually Transmitted Infections (STI) and Sexually Transmitted Diseases (STD) including HIV/AIDS. The STI, STD and HIV/AIDS alleviation program shall indicate when, how and at what cost the Contractor plans to satisfy the requirements of this Sub-Clause and the related specification. For each component, the program shall detail the resources to be provided or utilized and any related sub-contracting proposed. The program shall also include provision of a detailed cost estimate with supporting documentation. Payment to the Contractor for preparation and implementation this program shall not exceed the Provisional Sum dedicated for this purpose.

- 6.8 Contractor's Superintendence** Throughout the execution of the Works, and as long thereafter as is necessary to fulfil the Contractor's obligations, the Contractor shall provide all necessary superintendence to plan, arrange, direct, manage, inspect and test the work.
- Superintendence shall be given by a sufficient number of persons having adequate knowledge of the language for communications (defined in Sub-Clause 1.4 [Law and Language]) and of the operations to be carried out (including the methods and techniques required, the hazards likely to be encountered and methods of preventing accidents), for the satisfactory and safe execution of the Works.
- 6.9 Contractor's Personnel** The Contractor's Personnel shall be appropriately qualified, skilled and experienced in their respective trades or occupations. The Engineer may require the Contractor to remove (or cause to be removed) any person employed on the Site or Works, including the Contractor's Representative if applicable, who:
- (a) persists in any misconduct or lack of care,
  - (b) carries out duties incompetently or negligently,
  - (c) fails to conform with any provisions of the Contract, or
  - (d) persists in any conduct which is prejudicial to safety, health, or the protection of the environment.
- If appropriate, the Contractor shall then appoint (or cause to be appointed) a suitable replacement person.
- 6.10 Records of Contractor's Personnel and Equipment** The Contractor shall submit, to the Engineer, details showing the number of each class of Contractor's Personnel and of each type of Contractor's Equipment on the Site. Details shall be submitted each calendar month, in a form approved by the Engineer, until the Contractor has completed all work which is known to be outstanding at the completion date stated in the Taking-Over Certificate for the Works.
- 6.11 Disorderly Conduct** The Contractor shall at all times take all reasonable precautions to prevent any unlawful, riotous or disorderly conduct by or amongst the Contractor's Personnel, and to preserve peace and protection of persons and property on and near the Site.
- 6.12 Foreign Personnel** The Contractor may bring in to the Country any foreign personnel who are necessary for the execution of the Works to the extent allowed by the applicable Laws. The Contractor shall ensure that these personnel are provided with the required residence visas and work permits. The Employer will, if

requested by the Contractor, use his best endeavours in a timely and expeditious manner to assist the Contractor in obtaining any local, state, national, or government permission required for bringing in the Contractor's personnel.

The Contractor shall be responsible for the return of these personnel to the place where they were recruited or to their domicile. In the event of the death in the Country of any of these personnel or members of their families, the Contractor shall similarly be responsible for making the appropriate arrangements for their return or burial.

- 6.13 Supply of Foodstuffs** The Contractor shall arrange for the provision of a sufficient supply of suitable food as may be stated in the Specification at reasonable prices for the Contractor's Personnel for the purposes of or in connection with the Contract.
- 6.14 Supply of Water** The Contractor shall, having regard to local conditions, provide on the Site an adequate supply of drinking and other water for the use of the Contractor's Personnel.
- 6.15 Measures against Insect and Pest Nuisance** The Contractor shall at all times take the necessary precautions to protect the Contractor's Personnel employed on the Site from insect and pest nuisance, and to reduce their danger to health. The Contractor shall comply with all the regulations of the local health authorities, including use of appropriate insecticide.
- 6.16 Alcoholic Liquor or Drugs** The Contractor shall not, otherwise than in accordance with the Laws of the Country, import, sell, give barter or otherwise dispose of any alcoholic liquor or drugs, or permit or allow importation, sale, gift barter or disposal thereto by Contractor's Personnel.
- 6.17 Arms and Ammunition** The Contractor shall not give, barter, or otherwise dispose of, to any person, any arms or ammunition of any kind, or allow Contractor's Personnel to do so.
- 6.18 Festivals and Religious Customs** The Contractor shall respect the Country's recognized festivals, days of rest and religious or other customs.
- 6.19 Funeral Arrangements** The Contractor shall be responsible, to the extent required by local regulations, for making any funeral arrangements for any of his local employees who may die while engaged upon the Works.

- 6.20 Prohibition of Forced or Compulsory Labour** The contractor shall not employ "forced or compulsory labour" in any form. "Forced or compulsory labour" consists of all work or service, not voluntarily performed, that is extracted from an individual under threat of force or penalty.
- 6.21 Prohibition of Harmful Child Labour** The Contractor shall not employ any child to perform any work that is economically exploitative, or is likely to be hazardous to, or to interfere with, the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.
- 6.22 Employment Records of Workers** The Contractor shall keep complete and accurate records of the employment of labour at the Site. The records shall include the names, ages, genders, hours worked and wages paid to all workers. These records shall be summarized on a monthly basis and submitted to the Engineer, and these records shall be available for inspection by Auditors during normal working hours. These records shall be included in the details to be submitted by the Contractor under Sub-Clause 6.10 [Records of Contractor's Personnel and Equipment].
- 6.23 Workers' Organisations** In countries where the relevant labour laws recognise workers' rights to form and to join workers' organisations of their choosing without interference and to bargain collectively, the Contractor shall comply with such laws. Where the relevant labour laws substantially restrict workers' organisations, the Contractor shall enable alternative means for the Contractor's Personnel to express their grievances and protect their rights regarding working conditions and terms of employment. In either case described above, and where the relevant labour laws are silent, the Contractor shall not discourage the Contractor's Personnel from forming or joining workers' organisations of their choosing or from bargaining collectively, and shall not discriminate or retaliate against the Contractor's Personnel who participate, or seek to participate, in such organisations and bargain collectively. The Contractor shall engage with such workers' representatives. Workers' organisations are expected to fairly represent the workers in the workforce.

**6.24 Non-Discrimination and Equal Opportunity**

The Contractor shall not make employment decisions on the basis of personal characteristics unrelated to inherent job requirements. The Contractor shall base the employment relationship on the principle of equal opportunity and fair treatment, and shall not discriminate with respect to aspects of the employment relationship, including recruitment and hiring, compensation (including wages and benefits), working conditions and terms of employment, access to training, promotion, termination of employment or retirement, and discipline. In countries where the relevant labour laws provide for non discrimination in employment, the Contractor shall comply with such laws. When the relevant labour laws are silent on nondiscrimination in employment, the Contractor shall meet this Sub-Clause's requirements. Special measures of protection or assistance to remedy past discrimination or selection for a particular job based on the inherent requirements of the job shall not be deemed discrimination.

**7. Plant, Materials and Workmanship**

**7.1 Manner of Execution**

The Contractor shall carry out the manufacture of Plant, the production and manufacture of Materials, and all other execution of the Works:

- (a) in the manner (if any) specified in the Contract,
- (b) in a proper workmanlike and careful manner, in accordance with recognised good practice, and
- (c) with properly equipped facilities and non-hazardous Materials, except as otherwise specified in the Contract.

**7.2 Samples**

The Contractor shall submit the following samples of Materials, and relevant information, to the Engineer for consent prior to using the Materials in or for the Works:

- (a) manufacturer's standard samples of Materials and samples specified in the Contract, all at the Contractor's cost, and
- (b) additional samples instructed by the Engineer as a Variation.

Each sample shall be labelled as to origin and intended use in the Works.

**7.3 Inspection**

The Employer's Personnel shall at all reasonable times:

- (a) have full access to all parts of the Site and to all places from which natural Materials are being obtained, and
- (b) during production, manufacture and construction (at the

Site and elsewhere), be entitled to examine, inspect, measure and test the materials and workmanship, and to check the progress of manufacture of Plant and production and manufacture of Materials.

The Contractor shall give the Employer's Personnel full opportunity to carry out these activities, including providing access, facilities, permissions and safety equipment. No such activity shall relieve the Contractor from any obligation or responsibility.

The Contractor shall give notice to the Engineer whenever any work is ready and before it is covered up, put out of sight, or packaged for storage or transport. The Engineer shall then either carry out the examination, inspection, measurement or testing without unreasonable delay, or promptly give notice to the Contractor that the Engineer does not require to do so. If the Contractor fails to give the notice, he shall, if and when required by the Engineer, uncover the work and thereafter reinstate and make good, all at the Contractor's cost.

#### **7.4 Testing**

This Sub-Clause shall apply to all tests specified in the Contract, other than the Tests after Completion (if any).

Except as otherwise specified in the Contract, the Contractor shall provide all apparatus, assistance, documents and other information, electricity, equipment, fuel, consumables, instruments, labour, materials, and suitably qualified and experienced staff, as are necessary to carry out the specified tests efficiently. The Contractor shall agree, with the Engineer, the time and place for the specified testing of any Plant, Materials and other parts of the Works.

The Engineer may, under Clause 13 [Variations and Adjustments], vary the location or details of specified tests, or instruct the Contractor to carry out additional tests. If these varied or additional tests show that the tested Plant, Materials or workmanship is not in accordance with the Contract, the cost of carrying out this Variation shall be borne by the Contractor, notwithstanding other provisions of the Contract.

The Engineer shall give the Contractor not less than 24 hours' notice of the Engineer's intention to attend the tests. If the Engineer does not attend at the time and place agreed, the Contractor may proceed with the tests, unless otherwise instructed by the Engineer, and the tests shall then be deemed to have been made in the Engineer's presence.

If the Contractor suffers delay and/or incurs Cost from complying with these instructions or as a result of a delay for which the Employer is responsible, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost plus profit, which shall be included in the Contract Price.

After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

The Contractor shall promptly forward to the Engineer duly certified reports of the tests. When the specified tests have been passed, the Engineer shall endorse the Contractor's test certificate, or issue a certificate to him, to that effect. If the Engineer has not attended the tests, he shall be deemed to have accepted the readings as accurate.

## **7.5 Rejection**

If, as a result of an examination, inspection, measurement or testing, any Plant, Materials or workmanship is found to be defective or otherwise not in accordance with the Contract, the Engineer may reject the Plant, Materials or workmanship by giving notice to the Contractor, with reasons. The Contractor shall then promptly make good the defect and ensure that the rejected item complies with the Contract.

If the Engineer requires this Plant, Materials or workmanship to be retested, the tests shall be repeated under the same terms and conditions. If the rejection and retesting cause the Employer to incur additional costs, the Contractor shall subject to Sub-Clause 2.5 [Employer's Claims] pay these costs to the Employer.

## **7.6 Remedial Work**

Notwithstanding any previous test or certification, the Engineer may instruct the Contractor to:

- (a) remove from the Site and replace any Plant or Materials which is not in accordance with the Contract,
- (b) remove and re-execute any other work which is not in accordance with the Contract, and
- (c) execute any work which is urgently required for the safety

of the Works, whether because of an accident, unforeseeable event or otherwise.

The Contractor shall comply with the instruction within a reasonable time, which shall be the time (if any) specified in the instruction, or immediately if urgency is specified under subparagraph (c).

If the Contractor fails to comply with the instruction, the Employer shall be entitled to employ and pay other persons to carry out the work. Except to the extent that the Contractor would have been entitled to payment for the work, the Contractor shall subject to Sub-Clause 2.5 [Employer's Claims] pay to the Employer all costs arising from this failure.

**7.7 Ownership of Plant and Materials**

Except otherwise specified in the Contract, each item of Plant and Materials shall, to the extent consistent with the Laws of the Country, become the property of the Employer at whichever is the earlier of the following times, free from liens and other encumbrances:

- (a) when it is incorporated in the Works;
- (b) when the Contractor is paid the corresponding value of the Plant and Materials under Sub-Clause 8.10 [Payment for Plant and Materials in Event of Suspension].

**7.8 Royalties**

Unless otherwise stated in the Specification, the Contractor shall pay all royalties, rents and other payments for:

- (a) natural Materials obtained from outside the Site, and
- (b) the disposal of material from demolitions and excavations and of other surplus material (whether natural or man-made), except to the extent that disposal areas within the Site are specified in the Contract.

**8. Commencement, Delays and Suspension**

**8.1 Commencement of Works**

Except otherwise specified in the Particular Conditions, the Commencement Date shall be the date at which the following precedent conditions have all been fulfilled and the Engineer's instruction recording the agreement of both Parties on such fulfilment and instructing to commence the Works is received by the Contractor:

- (a) signature of the Contract Agreement by both Parties, and if required, approval of the Contract by relevant



authorities in the Country;

- (b) delivery to the Contractor of reasonable evidence of the Employer's Financial arrangements (under Sub-Clause 2.4 [Employer's Financial Arrangements])
- (c) except if otherwise specified in the Contract Data, possession of the Site given to the Contractor together with such permission(s) under (a) of Sub-Clause 1.13 [Compliance with Laws] as required for the commencement of the Works; and
- (d) receipt by the Contractor of the Advance Payment under Sub-Clause 14.2 [Advance Payment] provided that the corresponding bank guarantee has been delivered by the Contractor.
- (e) If the said Engineer's instruction is not received by the Contractor within 180 days from his receipt of the Letter of Acceptance, the Contractor shall be entitled to terminate the Contract under Sub-Clause 16.2 [Termination by Contractor].

The Contractor shall commence the execution of the Works as soon as is reasonably practicable after the Commencement Date, and shall then proceed with the Works with due expedition and without delay.

## **8.2 Time for Completion**

The Contractor shall complete the whole of the Works, and each Section (if any), within the Time for Completion for the Works or Section (as the case may be), including:

- (a) achieving the passing of the Tests on Completion, and
- (b) completing all work which is stated in the Contract as being required for the Works or Section to be considered to be completed for the purposes of taking-over under Sub-Clause 10.1 [Taking Over of the Works and Sections].

## **8.3 Programme**

The Contractor shall submit a detailed time programme to the Engineer within 28 days after receiving the notice under Sub-Clause 8.1 [Commencement of Works]. The Contractor shall also submit a revised programme whenever the previous programme is inconsistent with actual progress or with the Contractor's obligations. Each programme shall include:

- (a) the order in which the Contractor intends to carry out the Works, including the anticipated timing of each stage of

- design (if any), Contractor's Documents, procurement, manufacture of Plant, delivery to Site, construction, erection and testing,
- (b) each of these stages for work by each nominated Subcontractor (as defined in Clause 5 [Nominated Subcontractors]),
  - (c) the sequence and timing of inspections and tests specified in the Contract, and
  - (d) a supporting report which includes:
    - (i) a general description of the methods which the Contractor intends to adopt, and of the major stages, in the execution of the Works, and
    - (ii) details showing the Contractor's reasonable estimate of the number of each class of Contractor's Personnel and of each type of Contractor's Equipment, required on the Site for each major stage.

Unless the Engineer, within 21 days after receiving a programme, gives notice to the Contractor stating the extent to which it does not comply with the Contract, the Contractor shall proceed in accordance with the programme, subject to his other obligations under the Contract. The Employer's Personnel shall be entitled to rely upon the programme when planning their activities.

The Contractor shall promptly give notice to the Engineer of specific probable future events or circumstances which may adversely affect the work, increase the Contract Price, or delay the execution of the Works. The Engineer may require the Contractor to submit an estimate of the anticipated effect of the future event or circumstances, and/or a proposal under Sub-Clause 13.3 [Variation Procedure].

If, at any time, the Engineer gives notice to the Contractor that a programme fails (to the extent stated) to comply with the Contract or to be consistent with actual progress and the Contractor's stated intentions, the Contractor shall submit a revised programme to the Engineer in accordance with this Sub-Clause.

#### **8.4 Extension of Time for Completion**

The Contractor shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to an extension of the Time for Completion if and to the extent that completion for the purposes of Sub-Clause 10.1 [Taking-Over of the Works and Sections] is

or will be delayed by any of the following causes:

- (a) a Variation (unless an adjustment to the Time for Completion has been agreed under Sub-Clause 13.3 [Variation Procedure]) or other substantial change in the quantity of an item of work included in the Contract,
- (b) a cause of delay giving an entitlement to extension of time under a Sub-Clause of these Conditions,
- (c) exceptionally adverse climatic conditions,
- (d) Unforeseeable shortages in the availability of personnel or Goods caused by epidemic or governmental actions, or
- (e) any delay, impediment or prevention caused by or attributable to the Employer, the Employer's Personnel, or the Employer's other contractors.

If the Contractor considers himself to be entitled to an extension of the Time for Completion, the Contractor shall give notice to the Engineer in accordance with Sub-Clause 20.1 [Contractor's Claims]. When determining each extension of time under Sub-Clause 20.1, the Engineer shall review previous determinations and may increase, but shall not decrease, the total extension of time.

#### **8.5 Delays Caused by Authorities**

If the following conditions apply, namely:

- (a) the Contractor has diligently followed the procedures laid down by the relevant legally constituted public authorities in the Country,
- (b) these authorities delay or disrupt the Contractor's work, and
- (c) the delay or disruption was Unforeseeable,

then this delay or disruption will be considered as a cause of delay under sub-paragraph (b) of Sub-Clause 8.4 [Extension of Time for Completion].

#### **8.6 Rate of Progress**

If, at any time:

- (a) actual progress is too slow to complete within the Time for Completion, and/or
- (b) progress has fallen (or will fall) behind the current programme under Sub-Clause 8.3 [Programme],

other than as a result of a cause listed in Sub-Clause 8.4 [Extension of Time for Completion], then the Engineer may instruct the Contractor to submit, under Sub-Clause 8.3 [Programme], a revised programme and supporting report describing the revised methods which the Contractor proposes to adopt in order to expedite progress and complete within the Time for Completion.

Unless the Engineer notifies otherwise, the Contractor shall adopt these revised methods, which may require increases in the working hours and/or in the numbers of Contractor's Personnel and/or Goods, at the risk and cost of the Contractor. If these revised methods cause the Employer to incur additional costs, the Contractor shall subject to notice under Sub-Clause 2.5 [Employer's Claims] pay these costs to the Employer, in addition to delay damages (if any) under Sub-Clause 8.7 [Delay Damages] below.

Additional costs of revised methods, including acceleration measures, instructed by the Engineer to reduce delays resulting from causes listed under Sub-Clause 8.4 [Extension of Time for Completion] shall be paid by the Employer, without generating, however, any other additional payment benefit to the Contractor.

### **8.7 Delay Damages**

If the Contractor fails to comply with Sub-Clause 8.2 [Time for Completion], the Contractor shall be subject to notice under Sub-Clause 2.5 [Employer's Claims] pay delay damages to the Employer for this default. These delay damages shall be the sum stated in the Contract Data, which shall be paid for every day which shall elapse between the relevant Time for Completion and the date stated in the Taking-Over Certificate. However, the total amount due under this Sub-Clause shall not exceed the maximum amount of delay damages (if any) stated in the Contract Data.

These delay damages shall be the only damages due from the Contractor for such default, other than in the event of termination under Sub-Clause 15.2 [Termination by Employer] prior to completion of the Works. These damages shall not relieve the Contractor from his obligation to complete the Works, or from any other duties, obligations or responsibilities which he may have under the Contract.

### **8.8 Suspension of Work**

The Engineer may at any time instruct the Contractor to suspend progress of part or all of the Works. During such suspension, the Contractor shall protect, store and secure such part or the Works against any deterioration, loss or damage.

The Engineer may also notify the cause for the suspension. If and to the extent that the cause is notified and is the responsibility of the Contractor, the following Sub-Clauses 8.9 [Consequences of Suspension], 8.10 [Payment for Plant and Materials in Event of Suspension] and 8.11 [Prolonged Suspension] shall not apply.

**8.9 Consequences of Suspension**

If the Contractor suffers delay and/or incurs Cost from complying with the Engineer's instructions under Sub-Clause 8.8 [Suspension of Work] and/or from resuming the work, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost, which shall be included in the Contract Price.

After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

The Contractor shall not be entitled to an extension of time for, or to payment of the Cost incurred in, making good the consequences of the Contractor's faulty design, workmanship or materials, or of the Contractor's failure to protect, store or secure in accordance with Sub-Clause 8.8 [Suspension of Work].

**8.10 Payment for Plant and Materials in Event of Suspension**

The Contractor shall be entitled to payment of the value (as at the date of suspension) of Plant and/or Materials which have not been delivered to Site, if:

- (a) the work on Plant or delivery of Plant and/or Materials has been suspended for more than 28 days, and
- (b) the Contractor has marked the Plant and/or Materials as the Employer's property in accordance with the Engineer's instructions.

**8.11 Prolonged Suspension**

If the suspension under Sub-Clause 8.8 [Suspension of Work] has continued for more than 84 days, the Contractor may request the Engineer's permission to proceed. If the Engineer does not give permission within 28 days after being requested to do so, the Contractor may, by giving notice to the Engineer, treat the suspension as an omission under Clause 13 [Variations and

Adjustments] of the affected part of the Works. If the suspension affects the whole of the Works, the Contractor may give notice of termination under Sub-Clause 16.2 [Termination by Contractor].

### **8.12 Resumption of Work**

After the permission or instruction to proceed is given, the Contractor and the Engineer shall jointly examine the Works and the Plant and Materials affected by the suspension. The Contractor shall make good any deterioration or defect in or loss of the Works or Plant or Materials, which has occurred during the suspension after receiving from the Engineer an instruction to this effect under Clause 13 [Variations and Adjustments].

## **9. Tests on Completion**

### **9.1 Contractor's Obligations**

The Contractor shall carry out the Tests on Completion in accordance with this Clause and Sub-Clause 7.4 [Testing], after providing the documents in accordance with sub-paragraph (d) of Sub-Clause 4.1 [Contractor's General Obligations].

The Contractor shall give to the Engineer not less than 21 days' notice of the date after which the Contractor will be ready to carry out each of the Tests on Completion. Unless otherwise agreed, Tests on Completion shall be carried out within 14 days after this date, on such day or days as the Engineer shall instruct.

In considering the results of the Tests on Completion, the Engineer shall make allowances for the effect of any use of the Works by the Employer on the performance or other characteristics of the Works. As soon as the Works, or a Section, have passed any Tests on Completion, the Contractor shall submit a certified report of the results of these Tests to the Engineer.

### **9.2 Delayed Tests**

If the Tests on Completion are being unduly delayed by the Employer, Sub-Clause 7.4 [Testing] (fifth paragraph) and/or Sub-Clause 10.3 [Interference with Tests on Completion] shall be applicable.

If the Tests on Completion are being unduly delayed by the Contractor, the Engineer may by notice require the Contractor to carry out the Tests within 21 days after receiving the notice. The Contractor shall carry out the Tests on such day or days within that period as the Contractor may fix and of which he shall give notice to the Engineer.

If the Contractor fails to carry out the Tests on Completion within the period of 21 days, the Employer's Personnel may

proceed with the Tests at the risk and cost of the Contractor. The Tests on Completion shall then be deemed to have been carried out in the presence of the Contractor and the results of the Tests shall be accepted as accurate.

### **9.3 Retesting**

If the Works, or a Section, fail to pass the Tests on Completion, Sub-Clause 7.5 [Rejection] shall apply, and the Engineer or the Contractor may require the failed Tests, and Tests on Completion on any related work, to be repeated under the same terms and conditions.

### **9.4 Failure to Pass Tests on Completion**

If the Works, or a Section, fail to pass the Tests on Completion repeated under Sub-Clause 9.3 [Retesting], the Engineer shall be entitled to:

- (a) order further repetition of Tests on Completion under Sub-Clause 9.3;
- (b) if the failure deprives the Employer of substantially the whole benefit of the Works or Section, reject the Works or Section (as the case may be), in which event the Employer shall have the same remedies as are provided in sub-paragraph (c) of Sub-Clause 11.4 [Failure to Remedy Defects]; or
- (c) issue a Taking-Over Certificate, if the Employer so requests.

In the event of sub-paragraph (c), the Contractor shall proceed in accordance with all other obligations under the Contract, and the Contract Price shall be reduced by such amount as shall be appropriate to cover the reduced value to the Employer as a result of this failure. Unless the relevant reduction for this failure is stated (or its method of calculation is defined) in the Contract, the Employer may require the reduction to be (i) agreed by both Parties (in full satisfaction of this failure only) and paid before this Taking-Over Certificate is issued, or (ii) determined and paid under Sub-Clause 2.5 [Employer's Claims] and Sub-Clause 3.5 [Determinations].

## **10. Employer's Taking Over**

### **10.1 Taking Over of the Works and Sections**

Except as stated in Sub-Clause 9.4 [Failure to Pass Tests on Completion], the Works shall be taken over by the Employer when (i) the Works have been completed in accordance with the Contract, including the matters described in Sub-Clause 8.2 [Time for Completion] and except as allowed in sub-paragraph (a) below, and (ii) a Taking-Over Certificate for the Works has been issued, or is deemed to have been issued in accordance

with this Sub-Clause.

The Contractor may apply by notice to the Engineer for a Taking-Over Certificate not earlier than 14 days before the Works will, in the Contractor's opinion, be complete and ready for taking over. If the Works are divided into Sections, the Contractor may similarly apply for a Taking-Over Certificate for each Section.

The Engineer shall, within 28 days after receiving the Contractor's application:

- (a) issue the Taking-Over Certificate to the Contractor, stating the date on which the Works or Section were completed in accordance with the Contract, except for any minor outstanding work and defects which will not substantially affect the use of the Works or Section for their intended purpose (either until or whilst this work is completed and these defects are remedied); or
- (b) reject the application, giving reasons and specifying the work required to be done by the Contractor to enable the Taking-Over Certificate to be issued. The Contractor shall then complete this work before issuing a further notice under this Sub-Clause.

If the Engineer fails either to issue the Taking-Over Certificate or to reject the Contractor's application within the period of 28 days, and if the Works or Section (as the case may be) are substantially in accordance with the Contract, the Taking-Over Certificate shall be deemed to have been issued on the last day of that period.

## **10.2 Taking Over of Parts of the Works**

The Engineer may, at the sole discretion of the Employer, issue a Taking-Over Certificate for any part of the Permanent Works.

The Employer shall not use any part of the Works (other than as a temporary measure which is either specified in the Contract or agreed by both Parties) unless and until the Engineer has issued a Taking-Over Certificate for this part. However, if the Employer does use any part of the Works before the Taking-Over Certificate is issued:

- (a) the part which is used shall be deemed to have been taken over as from the date on which it is used,
- (b) the Contractor shall cease to be liable for the care of such part as from this date, when responsibility shall pass to the Employer, and



- (c) if requested by the Contractor, the Engineer shall issue a Taking-Over Certificate for this part.

After the Engineer has issued a Taking-Over Certificate for a part of the Works, the Contractor shall be given the earliest opportunity to take such steps as may be necessary to carry out any outstanding Tests on Completion. The Contractor shall carry out these Tests on Completion as soon as practicable before the expiry date of the relevant Defects Notification Period.

If the Contractor incurs Cost as a result of the Employer taking over and/or using a part of the Works, other than such use as is specified in the Contract or agreed by the Contractor, the Contractor shall (i) give notice to the Engineer and (ii) be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to payment of any such Cost plus profit, which shall be included in the Contract Price. After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine this Cost and profit.

If a Taking-Over Certificate has been issued for a part of the Works (other than a Section), the delay damages thereafter for completion of the remainder of the Works shall be reduced. Similarly, the delay damages for the remainder of the Section (if any) in which this part is included shall also be reduced. For any period of delay after the date stated in this Taking-Over Certificate, the proportional reduction in these delay damages shall be calculated as the proportion which the value of the part so certified bears to the value of the Works or Section (as the case may be) as a whole. The Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these proportions. The provisions of this paragraph shall only apply to the daily rate of delay damages under Sub-Clause 8.7 [Delay Damages], and shall not affect the maximum amount of these damages.

**10.3 Interference with Tests on Completion**

If the Contractor is prevented, for more than 14 days, from carrying out the Tests on Completion by a cause for which the Employer is responsible, the Employer shall be deemed to have taken over the Works or Section (as the case may be) on the date when the Tests on Completion would otherwise have been completed.

The Engineer shall then issue a Taking-Over Certificate accordingly, and the Contractor shall carry out the Tests on Completion as soon as practicable, before the expiry date of the Defects Notification Period. The Engineer shall require the

Tests on Completion to be carried out by giving 14 days' notice and in accordance with the relevant provisions of the Contract.

If the Contractor suffers delay and/or incurs Cost as a result of this delay in carrying out the Tests on Completion, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost plus profit, which shall be included in the Contract Price.

After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

#### **10.4 Surfaces Requiring Reinstatement**

Except as otherwise stated in a Taking-Over Certificate, a certificate for a Section or part of the Works shall not be deemed to certify completion of any ground or other surfaces requiring reinstatement.

### **11. Defects Liability**

#### **11.1 Completion of Outstanding Work and Remedying Defects**

In order that the Works and Contractor's Documents, and each Section, shall be in the condition required by the Contract (fair wear and tear excepted) by the expiry date of the relevant Defects Notification Period or as soon as practicable thereafter, the Contractor shall:

- (a) complete any work which is outstanding on the date stated in a Taking-Over Certificate, within such reasonable time as is instructed by the Engineer, and
- (b) execute all work required to remedy defects or damage, as may be notified by (or on behalf of) the Employer on or before the expiry date of the Defects Notification Period for the Works or Section (as the case may be).

If a defect appears or damage occurs, the Contractor shall be notified accordingly, by (or on behalf of) the Employer.

#### **11.2 Cost of Remedying Defects**

All work referred to in sub-paragraph (b) of Sub-Clause 11.1 [Completion of Outstanding Work and Remedying Defects] shall be executed at the risk and cost of the Contractor, if and to the extent that the work is attributable to:

- (a) any design for which the Contractor is responsible,
- (b) Plant, Materials or workmanship not being in accordance with the Contract, or
- (c) failure by the Contractor to comply with any other obligation.

If and to the extent that such work is attributable to any other cause, the Contractor shall be notified promptly by (or on behalf of) the Employer and Sub-Clause 13.3 [Variation Procedure] shall apply.

**11.3 Extension of Defects Notification Period**

The Employer shall be entitled subject to Sub-Clause 2.5 [Employer's Claims] to an extension of the Defects Notification Period for the Works or a Section if and to the extent that the Works, Section or a major item of Plant (as the case may be, and after taking over) cannot be used for the purposes for which they are intended by reason of a defect or by reason of a damage attributable to the Contractor. However, a Defects Notification Period shall not be extended by more than two years.

If delivery and/or erection of Plant and/or Materials was suspended under Sub-Clause 8.8 [Suspension of Work] or Sub-Clause 16.1 [Contractor's Entitlement to Suspend Work], the Contractor's obligations under this Clause shall not apply to any defects or damage occurring more than two years after the Defects Notification Period for the Plant and/or Materials would otherwise have expired.

**11.4 Failure to Remedy Defects**

If the Contractor fails to remedy any defect or damage within a reasonable time, a date may be fixed by (or on behalf of) the Employer, on or by which the defect or damage is to be remedied. The Contractor shall be given reasonable notice of this date.

If the Contractor fails to remedy the defect or damage by this notified date and this remedial work was to be executed at the cost of the Contractor under Sub-Clause 11.2 [Cost of Remedying Defects], the Employer may (at his option):

- (a) carry out the work himself or by others, in a reasonable manner and at the Contractor's cost, but the Contractor shall have no responsibility for this work; and the Contractor shall subject to Sub-Clause 2.5 [Employer's Claims] pay to the Employer the costs reasonably incurred by the Employer in remedying the defect or damage;
- (b) require the Engineer to agree or determine a reasonable

reduction in the Contract Price in accordance with Sub-Clause 3.5 [Determinations]; or

- (c) if the defect or damage deprives the Employer of substantially the whole benefit of the Works or any major part of the Works, terminate the Contract as a whole, or in respect of such major part which cannot be put to the intended use. Without prejudice to any other rights, under the Contract or otherwise, the Employer shall then be entitled to recover all sums paid for the Works or for such part (as the case may be), plus financing costs and the cost of dismantling the same, clearing the Site and returning Plant and Materials to the Contractor.

### **11.5 Removal of Defective Work**

If the defect or damage cannot be remedied expeditiously on the Site and the Employer gives consent, the Contractor may remove from the Site for the purposes of repair such items of Plant as are defective or damaged. This consent may require the Contractor to increase the amount of the Performance Security by the full replacement cost of these items, or to provide other appropriate security.

### **11.6 Further Tests**

If the work of remedying of any defect or damage may affect the performance of the Works, the Engineer may require the repetition of any of the tests described in the Contract. The requirement shall be made by notice within 28 days after the defect or damage is remedied.

These tests shall be carried out in accordance with the terms applicable to the previous tests, except that they shall be carried out at the risk and cost of the Party liable, under Sub-Clause 11.2 [Cost of Remedying Defects], for the cost of the remedial work.

### **11.7 Right of Access**

Until the Performance Certificate has been issued, the Contractor shall have such right of access to the Works as is reasonably required in order to comply with this Clause, except as may be inconsistent with the Employer's reasonable security restrictions.

### **11.8 Contractor to Search**

The Contractor shall, if required by the Engineer, search for the cause of any defect, under the direction of the Engineer. Unless the defect is to be remedied at the cost of the Contractor under Sub-Clause 11.2 [Cost of Remedying Defects], the Cost of the search plus profit shall be agreed or determined by the Engineer in accordance with Sub-Clause 3.5 [Determinations] and shall be included in the Contract Price.

### **11.9 Performance**

Performance of the Contractor's obligations shall not be

**Certificate**

considered to have been completed until the Engineer has issued the Performance Certificate to the Contractor, stating the date on which the Contractor completed his obligations under the Contract.

The Engineer shall issue the Performance Certificate within 28 days after the latest of the expiry dates of the Defects Notification Periods, or as soon thereafter as the Contractor has supplied all the Contractor's Documents and completed and tested all the Works, including remedying any defects. A copy of the Performance Certificate shall be issued to the Employer.

Only the Performance Certificate shall be deemed to constitute acceptance of the Works.

**11.10 Unfulfilled Obligations**

After the Performance Certificate has been issued, each Party shall remain liable for the fulfilment of any obligation which remains unperformed at that time. For the purposes of determining the nature and extent of unperformed obligations, the Contract shall be deemed to remain in force.

**11.11 Clearance of Site**

Upon receiving the Performance Certificate, the Contractor shall remove any remaining Contractor's Equipment, surplus material, wreckage, rubbish and Temporary Works from the Site.

If all these items have not been removed within 28 days after receipt by the Contractor of the Performance Certificate, the Employer may sell or otherwise dispose of any remaining items. The Employer shall be entitled to be paid the costs incurred in connection with, or attributable to, such sale or disposal and restoring the Site.

Any balance of the moneys from the sale shall be paid to the Contractor. If these moneys are less than the Employer's costs, the Contractor shall pay the outstanding balance to the Employer.

**12. Measurement and Evaluation****12.1 Works to be Measured**

The Works shall be measured, and valued for payment, in accordance with this Clause. The Contractor shall show in each application under Sub-Clauses 14.3 [Application for Interim Payment Certificates], 14.10 [Statement at Completion], and 14.11 [Application for Final Payment Certificate] the quantities and other particulars detailing the amounts which he considers to be entitled under the Contract.

Whenever the Engineer requires any part of the Works to be

measured, reasonable notice shall be given to the Contractor's Representative, who shall:

- (a) promptly either attend or send another qualified representative to assist the Engineer in making the measurement, and
- (b) supply any particulars requested by the Engineer.

If the Contractor fails to attend or send a representative, the measurement made by (or on behalf of) the Engineer shall be accepted as accurate.

Except as otherwise stated in the Contract, wherever any Permanent Works are to be measured from records, these shall be prepared by the Engineer. The Contractor shall, as and when requested, attend to examine and agree the records with the Engineer, and shall sign the same when agreed. If the Contractor does not attend, the records shall be accepted as accurate.

If the Contractor examines and disagrees the records, and/or does not sign them as agreed, then the Contractor shall give notice to the Engineer of the respects in which the records are asserted to be inaccurate. After receiving this notice, the Engineer shall review the records and either confirm or vary them and certify the payment of the undisputed part. If the Contractor does not so give notice to the Engineer within 14 days after being requested to examine the records, they shall be accepted as accurate.

## **12.2 Method of Measurement**

Except as otherwise stated in the Contract and notwithstanding local practice:

measurement shall be made of the net actual quantity of each item of the Permanent Works, and

the method of measurement shall be in accordance with the Bill of Quantities or other applicable Schedules.

## **12.3 Evaluation**

Except as otherwise stated in the Contract, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine the Contract Price by evaluating each item of work, applying the measurement agreed or determined in accordance with the above Sub-Clauses 12.1 [Works to be Measured] and 12.2 [Method of Measurement] and the appropriate rate or price for the item.

For each item of work, the appropriate rate or price for the item shall be the rate or price specified for such item in the Contract

or, if there is no such item, specified for similar work.

Any item of work included in the Bill of Quantities for which no rate or price was specified shall be considered as included in other rates and prices in the Bill of Quantities and will not be paid for separately.

However, a new rate or price shall be appropriate for an item of work if:

- (a)
  - (i) the measured quantity of the item is changed by more than 25% from the quantity of this item in the Bill of Quantities or other Schedule,
  - (ii) this change in quantity multiplied by such specified rate for this item exceeds 0.25% of the Accepted Contract Amount,
  - (iii) this change in quantity directly changes the Cost per unit quantity of this item by more than 1%, and
  - (iv) this item is not specified in the Contract as a “fixed rate item”;

or

- (b)
  - (i) the work is instructed under Clause 13 [Variations and Adjustments],
  - (ii) no rate or price is specified in the Contract for this item, and
  - (iii) no specified rate or price is appropriate because the item of work is not of similar character, or is not executed under similar conditions, as any item in the Contract.

Each new rate or price shall be derived from any relevant rates or prices in the Contract, with reasonable adjustments to take account of the matters described in sub-paragraph (a) and/or (b), as applicable. If no rates or prices are relevant for the derivation of a new rate or price, it shall be derived from the reasonable Cost of executing the work, together with profit, taking account of any other relevant matters.

Until such time as an appropriate rate or price is agreed or determined, the Engineer shall determine a provisional rate or price for the purposes of Interim Payment Certificates as soon as the concerned Works commences.

#### **12.4 Omissions**

Whenever the omission of any work forms part (or all) of a Variation, the value of which has not been agreed, if:

- (a) the Contractor will incur (or has incurred) cost which, if the work had not been omitted, would have been deemed to be covered by a sum forming part of the Accepted Contract Amount;
- (b) the omission of the work will result (or has resulted) in this sum not forming part of the Contract Price; and
- (c) this cost is not deemed to be included in the evaluation of any substituted work;

then the Contractor shall give notice to the Engineer accordingly, with supporting particulars. Upon receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine this cost, which shall be included in the Contract Price.

### **13. Variations and Adjustments**

#### **13.1 Right to Vary**

Variations may be initiated by the Engineer at any time prior to issuing the Taking-Over Certificate for the Works, either by an instruction or by a request for the Contractor to submit a proposal.

The Contractor shall execute and be bound by each Variation, unless the Contractor promptly gives notice to the Engineer stating (with supporting particulars) that (i) the Contractor cannot readily obtain the Goods required for the Variation, or (ii) such Variation triggers a substantial change in the sequence or progress of the Works. Upon receiving this notice, the Engineer shall cancel, confirm or vary the instruction.

Each Variation may include:

- (a) changes to the quantities of any item of work included in the Contract (however, such changes do not necessarily constitute a Variation),
- (b) changes to the quality and other characteristics of any item of work,
- (c) changes to the levels, positions and/or dimensions of any



part of the Works,

- (d) omission of any work unless it is to be carried out by others,
- (e) any additional work, Plant, Materials or services necessary for the Permanent Works, including any associated Tests on Completion, boreholes and other testing and exploratory work, or
- (f) changes to the sequence or timing of the execution of the Works.

The Contractor shall not make any alteration and/or modification of the Permanent Works, unless and until the Engineer instructs or approves a Variation.

### **13.2 Value Engineering**

The Contractor may, at any time, submit to the Engineer a written proposal which (in the Contractor's opinion) will, if adopted, (i) accelerate completion, (ii) reduce the cost to the Employer of executing, maintaining or operating the Works, (iii) improve the efficiency or value to the Employer of the completed Works, or (iv) otherwise be of benefit to the Employer.

The proposal shall be prepared at the cost of the Contractor and shall include the items listed in Sub-Clause 13.3 [Variation Procedure].

If a proposal, which is approved by the Engineer, includes a change in the design of part of the Permanent Works, then unless otherwise agreed by both Parties:

- (a) the Contractor shall design this part,
- (b) sub-paragraphs (a) to (d) of Sub-Clause 4.1 [Contractor's General Obligations] shall apply, and
- (c) if this change results in a reduction in the contract value of this part, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine a fee, which shall be included in the Contract Price. This fee shall be half (50%) of the difference between the following amounts:
  - (i) such reduction in contract value, resulting from the change, excluding adjustments under Sub-Clause 13.7 [Adjustments for Changes in Legislation] and Sub-Clause 13.8 [Adjustments for Changes in Cost],and

- (ii) the reduction (if any) in the value to the Employer of the varied works, taking account of any reductions in quality, anticipated life or operational efficiencies.

However, if amount (i) is less than amount (ii), there shall not be a fee.

### **13.3 Variation Procedure**

If the Engineer requests a proposal, prior to instructing a Variation, the Contractor shall respond in writing as soon as practicable, either by giving reasons why he cannot comply (if this is the case) or by submitting:

- (a) a description of the proposed work to be performed and a programme for its execution,
- (b) the Contractor's proposal for any necessary modifications to the programme according to Sub-Clause 8.3 [Programme] and to the Time for Completion, and
- (c) the Contractor's proposal for evaluation of the Variation.

The Engineer shall, as soon as practicable after receiving such proposal (under Sub-Clause 13.2 [Value Engineering] or otherwise), respond with approval, disapproval or comments. The Contractor shall not delay any work whilst awaiting a response.

Each instruction to execute a Variation, with any requirements for the recording of Costs, shall be issued by the Engineer to the Contractor, who shall acknowledge receipt.

Each Variation shall be evaluated in accordance with Clause 12 [Measurement and Evaluation], unless the Engineer instructs or approves otherwise in accordance with this Clause.

### **13.4 Payment in Applicable Currencies**

If the Contract provides for payment of the Contract Price in more than one currency, then whenever an adjustment is agreed, approved or determined as stated above, the amount payable in each of the applicable currencies shall be specified. For this purpose, reference shall be made to the actual or expected currency proportions of the Cost of the varied work, and to the proportions of various currencies specified for payment of the Contract Price.

### **13.5 Provisional Sums**

Each Provisional Sum shall only be used, in whole or in part, in accordance with the Engineer's instructions, and the Contract Price shall be adjusted accordingly. The total sum paid to the Contractor shall include only such amounts, for the work, supplies or services to which the Provisional Sum relates, as the

Engineer shall have instructed. For each Provisional Sum, the Engineer may instruct:

- (a) work to be executed (including Plant, Materials or services to be supplied) by the Contractor and valued under Sub-Clause 13.3 [Variation Procedure]; and/or
- (b) Plant, Materials or services to be purchased by the Contractor, from a nominated Subcontractor (as defined in Clause 5 [Nominated Subcontractors]) or otherwise; and for which there shall be included in the Contract Price:
  - (i) the actual amounts paid (or due to be paid) by the Contractor, and
  - (ii) a sum for overhead charges and profit, calculated as a percentage of these actual amounts by applying the relevant percentage rate (if any) stated in the appropriate Schedule. If there is no such rate, the percentage rate stated in the Contract Data shall be applied.

The Contractor shall, when required by the Engineer, produce quotations, invoices, vouchers and accounts or receipts in substantiation.

### **13.6 Daywork**

For work of a minor or incidental nature, the Engineer may instruct that a Variation shall be executed on a daywork basis. The work shall then be valued in accordance with the Daywork Schedule included in the Contract, and the following procedure shall apply. If a Daywork Schedule is not included in the Contract, this Sub-Clause shall not apply.

Before ordering Goods for the work, the Contractor shall submit quotations to the Engineer. When applying for payment, the Contractor shall submit invoices, vouchers and accounts or receipts for any Goods.

Except for any items for which the Daywork Schedule specifies that payment is not due, the Contractor shall deliver each day to the Engineer accurate statements in duplicate which shall include the following details of the resources used in executing the previous day's work:

- (a) the names, occupations and time of Contractor's Personnel,
- (b) the identification, type and time of Contractor's Equipment and Temporary Works, and

(c) the quantities and types of Plant and Materials used.

One copy of each statement will, if correct, or when agreed, be signed by the Engineer and returned to the Contractor. The Contractor shall then submit priced statements of these resources to the Engineer, prior to their inclusion in the next Statement under Sub-Clause 14.3 [Application for Interim Payment Certificates].

### **13.7 Adjustments for Changes in Legislation**

The Contract Price shall be adjusted to take account of any increase or decrease in Cost resulting from a change in the Laws of the Country (including the introduction of new Laws and the repeal or modification of existing Laws) or in the judicial or official governmental interpretation of such Laws, made after the Base Date, which affect the Contractor in the performance of obligations under the Contract.

If the Contractor suffers (or will suffer) delay and/or incurs (or will incur) additional Cost as a result of these changes in the Laws or in such interpretations, made after the Base Date, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost, which shall be included in the Contract Price.

After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

Notwithstanding the foregoing, the Contractor shall not be entitled to an extension of time if the relevant delay has already been taken into account in the determination of a previous extension of time and such Cost shall not be separately paid if the same shall already have been taken into account in the indexing of any inputs to the table of adjustment data in accordance with the provisions of Sub-Clause 13.8 [Adjustments for Changes in Cost] .

### **13.8 Adjustments for Changes in Cost**

In this Sub-Clause, "table of adjustment data" means the completed table of adjustment data for local and foreign currencies included in the Schedules. If there is no such table of adjustment data, this Sub-Clause shall not apply.

If this Sub-Clause applies, the amounts payable to the

Contractor shall be adjusted for rises or falls in the cost of labour, Goods and other inputs to the Works, by the addition or deduction of the amounts determined by the formulae prescribed in this Sub-Clause. To the extent that full compensation for any rise or fall in Costs is not covered by the provisions of this or other Clauses, the Accepted Contract Amount shall be deemed to have included amounts to cover the contingency of other rises and falls in costs.

The adjustment to be applied to the amount otherwise payable to the Contractor, as valued in accordance with the appropriate Schedule and certified in Payment Certificates, shall be determined from formulae for each of the currencies in which the Contract Price is payable. No adjustment is to be applied to work valued on the basis of Cost or current prices. The formulae shall be of the following general type:

$P_n = a + b L_n / L_o + c E_n / E_o + d M_n / M_o + \dots$  where:

“ $P_n$ ” is the adjustment multiplier to be applied to the estimated contract value in the relevant currency of the work carried out in period “ $n$ ”, this period being a month unless otherwise stated in the Contract Data ;

“ $a$ ” is a fixed coefficient, stated in the relevant table of adjustment data, representing the non-adjustable portion in contractual payments;

“ $b$ ”, “ $c$ ”, “ $d$ ”, ... are coefficients representing the estimated proportion of each cost element related to the execution of the Works, as stated in the relevant table of adjustment data; such tabulated cost elements may be indicative of resources such as labour, equipment and materials;

“ $L_n$ ”, “ $E_n$ ”, “ $M_n$ ”, ... are the current cost indices or reference prices for period “ $n$ ”, expressed in the relevant currency of payment, each of which is applicable to the relevant tabulated cost element on the date 49 days prior to the last day of the period (to which the particular Payment Certificate relates); and

“ $L_o$ ”, “ $E_o$ ”, “ $M_o$ ”, ... are the base cost indices or reference prices, expressed in the relevant currency of payment, each of which is applicable to the relevant tabulated cost element on the Base Date.

The cost indices or reference prices stated in the table of adjustment data shall be used. If their source is in doubt, it shall be determined by the Engineer. For this purpose, reference shall be made to the values of the indices at stated dates (quoted in

the fourth and fifth columns respectively of the table) for the purposes of clarification of the source; although these dates (and thus these values) may not correspond to the base cost indices.

In cases where the “currency of index” (stated in the table) is not the relevant currency of payment, each index shall be converted into the relevant currency of payment at the selling rate, established by the central bank of the Country, of this relevant currency on the above date for which the index is required to be applicable.

Until such time as each current cost index is available, the Engineer shall determine a provisional index for the issue of Interim Payment Certificates. When a current cost index is available, the adjustment shall be recalculated accordingly.

If the Contractor fails to complete the Works within the Time for Completion, adjustment of prices thereafter shall be made using either (i) each index or price applicable on the date 49 days prior to the expiry of the Time for Completion of the Works, or (ii) the current index or price: whichever is more favourable to the Employer.

The weightings (coefficients) for each of the factors of cost stated in the table(s) of adjustment data shall only be adjusted if they have been rendered unreasonable, unbalanced or inapplicable, as a result of Variations.

## **14. Contract Price and Payment**

### **14.1 The Contract Price**

Unless otherwise stated in the Particular Conditions:

- (a) the Contract Price shall be agreed or determined under Sub-Clause 12.3 [Evaluation] and be subject to adjustments in accordance with the Contract;
- (b) the Contractor shall pay all taxes, duties and fees required to be paid by him under the Contract, and the Contract Price shall not be adjusted for any of these costs except as stated in Sub-Clause 13.7 [Adjustments for Changes in Legislation];
- (c) any quantities which may be set out in the Bill of Quantities or other Schedule are estimated quantities and are not to be taken as the actual and correct quantities:
  - (i) of the Works which the Contractor is required to

execute, or

- (ii) for the purposes of Clause 12 [Measurement and Evaluation]; and
- (d) the Contractor shall submit to the Engineer, within 28 days after the Commencement Date, a proposed breakdown of each lump sum price in the Schedules. The Engineer may take account of the breakdown when preparing Payment Certificates, but shall not be bound by it.
- (e) Notwithstanding the provisions of subparagraph (b), Contractor's Equipment, including essential spare parts therefor, imported by the Contractor for the sole purpose of executing the Contract shall be exempt from the payment of import duties and taxes upon importation.

**14.2 Advance Payment** The Employer shall make an advance payment, as an interest-free loan for mobilisation and cash flow support, when the Contractor submits a guarantee in accordance with this Sub-Clause. The total advance payment, the number and timing of instalments (if more than one), and the applicable currencies and proportions, shall be as stated in the Contract Data.

Unless and until the Employer receives this guarantee, or if the total advance payment is not stated in the Contract Data, this Sub-Clause shall not apply.

The Engineer shall deliver to the Employer and to the Contractor an Interim Payment Certificate for the advance payment or its first instalment after receiving a Statement (under Sub-Clause 14.3 [Application for Interim Payment Certificates]) and after the Employer receives (i) the Performance Security in accordance with Sub-Clause 4.2 [Performance Security] and (ii) a guarantee in amounts and currencies equal to the advance payment. This guarantee shall be issued by an entity and from within a country (or other jurisdiction) approved by the Employer, and shall be in the form annexed to the Particular Conditions or in another form approved by the Employer.

The Contractor shall ensure that the guarantee is valid and enforceable until the advance payment has been repaid, but its amount shall be progressively reduced by the amount repaid by the Contractor as indicated in the Payment Certificates. If the terms of the guarantee specify its expiry date, and the advance payment has not been repaid by the date 28 days prior to the expiry date, the Contractor shall extend the validity of the

guarantee until the advance payment has been repaid.

Unless stated otherwise in the Contract Data, the advance payment shall be repaid through percentage deductions from the interim payments determined by the Engineer in accordance with Sub-Clause 14.6 [Issue of Interim Payment Certificates], as follows:.

- (a) deductions shall commence in the next interim Payment Certificate following that in which the total of all certified interim payments (excluding the advance payment and deductions and repayments of retention) exceeds 30 percent (30%) of the Accepted Contract Amount less Provisional Sums; and
- (b) deductions shall be made at the amortisation rate stated in the Contract Data of the amount of each Interim Payment Certificate (excluding the advance payment and deductions for its repayments as well as deductions for retention money) in the currencies and proportions of the advance payment until such time as the advance payment has been repaid; provided that the advance payment shall be completely repaid prior to the time when 90 per cent (90%) of the Accepted Contract Amount less Provisional Sums has been certified for payment.

If the advance payment has not been repaid prior to the issue of the Taking-Over Certificate for the Works or prior to termination under Clause 15 [Termination by Employer], Clause 16 [Suspension and Termination by Contractor] or Clause 19 [Force Majeure] (as the case may be), the whole of the balance then outstanding shall immediately become due and in case of termination under Clause 15 [Termination by Employer] and Sub-Clause 19.6 [Optional Termination, Payment and Release], payable by the Contractor to the Employer.

### **14.3 Application for Interim Payment Certificates**

The Contractor shall submit a Statement in six copies to the Engineer after the end of each month, in a form approved by the Engineer, showing in detail the amounts to which the Contractor considers himself to be entitled, together with supporting documents which shall include the report on the progress during this month in accordance with Sub-Clause 4.21 [Progress Reports].

The Statement shall include the following items, as applicable, which shall be expressed in the various currencies in which the Contract Price is payable, in the sequence listed:

- (a) the estimated contract value of the Works executed and the



Contractor's Documents produced up to the end of the month (including Variations but excluding items described in sub-paragraphs (b) to (g) below);

- (b) any amounts to be added and deducted for changes in legislation and changes in cost, in accordance with Sub-Clause 13.7 [Adjustments for Changes in Legislation] and Sub-Clause 13.8 [Adjustments for Changes in Cost];
- (c) any amount to be deducted for retention, calculated by applying the percentage of retention stated in the Contract Data to the total of the above amounts, until the amount so retained by the Employer reaches the limit of Retention Money (if any) stated in the Contract Data;
- (d) any amounts to be added for the advance payment and (if more than one instalment) and to be deducted for its repayments in accordance with Sub-Clause 14.2 [Advance Payment];
- (e) any amounts to be added and deducted for Plant and Materials in accordance with Sub-Clause 14.5 [Plant and Materials intended for the Works];
- (f) any other additions or deductions which may have become due under the Contract or otherwise, including those under Clause 20 [Claims, Disputes and Arbitration]; and
- (g) the deduction of amounts certified in all previous Payment Certificates.

#### **14.4 Schedule of Payments**

If the Contract includes a schedule of payments specifying the instalments in which the Contract Price will be paid, then unless otherwise stated in this schedule:

- (a) the instalments quoted in this schedule of payments shall be the estimated contract values for the purposes of sub-paragraph (a) of Sub-Clause 14.3 [Application for Interim Payment Certificates];
- (b) Sub-Clause 14.5 [Plant and Materials intended for the Works] shall not apply; and
- (c) if these instalments are not defined by reference to the actual progress achieved in executing the Works, and if actual progress is found to be less or more than that on which this schedule of payments was based, then the Engineer may proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine revised instalments, which shall take account of the extent to

which progress is less or more than that on which the instalments were previously based.

If the Contract does not include a schedule of payments, the Contractor shall submit non-binding estimates of the payments which he expects to become due during each quarterly period. The first estimate shall be submitted within 42 days after the Commencement Date. Revised estimates shall be submitted at quarterly intervals, until the Taking-Over Certificate has been issued for the Works.

**14.5 Plant and Materials intended for the Works**

If this Sub-Clause applies, Interim Payment Certificates shall include, under sub-paragraph (e) of Sub-Clause 14.3, (i) an amount for Plant and Materials which have been sent to the Site for incorporation in the Permanent Works, and (ii) a reduction when the contract value of such Plant and Materials is included as part of the Permanent Works under sub-paragraph (a) of Sub-Clause 14.3 [Application for Interim Payment Certificates].

If the lists referred to in sub-paragraphs (b)(i) or (c)(i) below are not included in the Schedules, this Sub-Clause shall not apply.

The Engineer shall determine and certify each addition if the following conditions are satisfied:

- (a) the Contractor has:
  - (i) kept satisfactory records (including the orders, receipts, Costs and use of Plant and Materials) which are available for inspection, and
  - (ii) submitted a statement of the Cost of acquiring and delivering the Plant and Materials to the Site, supported by satisfactory evidence;

and either:

- (b) the relevant Plant and Materials:
  - (i) are those listed in the Schedules for payment when shipped,
  - (ii) have been shipped to the Country, en route to the Site, in accordance with the Contract; and
  - (iii) are described in a clean shipped bill of lading or other evidence of shipment, which has been submitted to the Engineer together with evidence of payment of freight and insurance, any other documents reasonably required, and a bank guarantee in a form

and issued by an entity approved by the Employer in amounts and currencies equal to the amount due under this Sub-Clause: this guarantee may be in a similar form to the form referred to in Sub-Clause 14.2 [Advance Payment] and shall be valid until the Plant and Materials are properly stored on Site and protected against loss, damage or deterioration;

or

- (c) the relevant Plant and Materials:
- (i) are those listed in the Schedules for payment when delivered to the Site, and
  - (ii) have been delivered to and are properly stored on the Site, are protected against loss, damage or deterioration, and appear to be in accordance with the Contract.

The additional amount to be certified shall be the equivalent of eighty percent of the Engineer's determination of the cost of the Plant and Materials (including delivery to Site), taking account of the documents mentioned in this Sub-Clause and of the contract value of the Plant and Materials.

The currencies for this additional amount shall be the same as those in which payment will become due when the contract value is included under sub-paragraph (a) of Sub-Clause 14.3 [Application for Interim Payment Certificates]. At that time, the Payment Certificate shall include the applicable reduction which shall be equivalent to, and in the same currencies and proportions as, this additional amount for the relevant Plant and Materials.

#### **14.6 Issue of Interim Payment Certificates**

No amount will be certified or paid until the Employer has received and approved the Performance Security. Thereafter, the Engineer shall, within 28 days after receiving a Statement and supporting documents, deliver to the Employer and to the Contractor an Interim Payment Certificate which shall state the amount which the Engineer fairly determines to be due, with all supporting particulars for any reduction or withholding made by the Engineer on the Statement if any.

However, prior to issuing the Taking-Over Certificate for the Works, the Engineer shall not be bound to issue an Interim Payment Certificate in an amount which would (after retention and other deductions) be less than the minimum amount of Interim Payment Certificates (if any) stated in the Contract Data. In this event, the Engineer shall give notice to the

Contractor accordingly.

An Interim Payment Certificate shall not be withheld for any other reason, although:

- (a) if any thing supplied or work done by the Contractor is not in accordance with the Contract, the cost of rectification or replacement may be withheld until rectification or replacement has been completed; and/or
- (b) if the Contractor was or is failing to perform any work or obligation in accordance with the Contract, and had been so notified by the Engineer, the value of this work or obligation may be withheld until the work or obligation has been performed.

The Engineer may in any Payment Certificate make any correction or modification that should properly be made to any previous Payment Certificate. A Payment Certificate shall not be deemed to indicate the Engineer's acceptance, approval, consent or satisfaction.

#### **14.7 Payment**

The Employer shall pay to the Contractor:

- (a) the first instalment of the advance payment within 42 days after issuing the Letter of Acceptance or within 21 days after receiving the documents in accordance with Sub-Clause 4.2 [Performance Security] and Sub-Clause 14.2 [Advance Payment], whichever is later;
- (b) the amount certified in each Interim Payment Certificate within 56 days after the Engineer receives the Statement and supporting documents or, at a time when the Bank's loan or credit (from which part of the payments to the Contractor is being made) is suspended, the amount shown on any statement submitted by the Contractor, within 14 days after such statement is submitted, any discrepancy being rectified in the next payment to the Contractor; and
- (c) the amount certified in the Final Payment Certificate within 56 days after the Employer receives this Payment Certificate or, at a time when the Bank's loan or credit (from which part of the payments to the Contractor is being made) is suspended, the undisputed amount shown in the Final Statement, within 56 days after the date of notification of the suspension in accordance with Sub-Clause 16.2.

Payment of the amount due in each currency shall be made into the bank account, nominated by the Contractor, in the payment country (for this currency) specified in the Contract.

#### **14.8 Delayed Payment**

If the Contractor does not receive payment in accordance with Sub-Clause 14.7 [Payment], the Contractor shall be entitled to receive financing charges compounded monthly on the amount unpaid during the period of delay. This period shall be deemed to commence on the date for payment specified in Sub-Clause 14.7 [Payment], irrespective (in the case of its sub-paragraph (b)) of the date on which any Interim Payment Certificate is issued.

Unless otherwise stated in the Particular Conditions, these financing charges shall be calculated at the annual rate of three percentage points above the discount rate of the central bank in the country of the currency of payment, or if not available, the interbank offered rate, and shall be paid in such currency.

The Contractor shall be entitled to this payment without formal notice or certification, and without prejudice to any other right or remedy.

#### **14.9 Payment of Retention Money**

When the Taking-Over Certificate has been issued for the Works, the first half of the Retention Money shall be certified by the Engineer for payment to the Contractor. If a

Taking-Over Certificate is issued for a Section or part of the Works, a proportion of the Retention Money shall be certified and paid. This proportion shall be half (50%) of the proportion calculated by dividing the estimated contract value of the Section or part, by the estimated final Contract Price.

Promptly after the latest of the expiry dates of the Defects Notification Periods, the outstanding balance of the Retention Money shall be certified by the Engineer for payment to the Contractor. If a Taking-Over Certificate was issued for a Section, a proportion of the second half of the Retention Money shall be certified and paid promptly after the expiry date of the Defects Notification Period for the Section. This proportion shall be half (50%) of the proportion calculated by dividing the estimated contract value of the Section by the estimated final Contract Price.

However, if any work remains to be executed under Clause 11 [Defects Liability], the Engineer shall be entitled to withhold certification of the estimated cost of this work until it has been

executed.

When calculating these proportions, no account shall be taken of any adjustments under Sub-Clause 13.7 [Adjustments for Changes in Legislation] and Sub-Clause 13.8 [Adjustments for Changes in Cost].

Unless otherwise stated in the Particular Conditions, when the Taking-Over Certificate has been issued for the Works and the first half of the Retention Money has been certified for payment by the Engineer, the Contractor shall be entitled to substitute a guarantee, in the form annexed to the Particular Conditions or in another form approved by the Employer and provided by an entity approved by the Employer, for the second half of the Retention Money. The Contractor shall ensure that the guarantee is in the amounts and currencies of the second half of the Retention Money and is valid and enforceable until the Contractor has executed and completed the Works and remedied any defects, as specified for the Performance Security in Sub-Clause 4.2. On receipt by the Employer of the required guarantee, the Engineer shall certify and the Employer shall pay the second half of the Retention Money. The release of the second half of the Retention Money against a guarantee shall then be in lieu of the release under the second paragraph of this Sub-Clause. The Employer shall return the guarantee to the Contractor within 21 days after receiving a copy of the Performance Certificate.

If the Performance Security required under Sub-Clause 4.2 is in the form of a demand guarantee, and the amount guaranteed under it when the Taking-Over Certificate is issued is more than half of the Retention Money, then the Retention Money guarantee will not be required. If the amount guaranteed under the Performance Security when the Taking-Over Certificate is issued is less than half of the Retention Money, the Retention Money guarantee will only be required for the difference between half of the Retention Money and the amount guaranteed under the Performance Security.

#### **14.10 Statement at Completion**

Within 84 days after receiving the Taking-Over Certificate for the Works, the Contractor shall submit to the Engineer six copies of a Statement at completion with supporting documents, in accordance with Sub-Clause 14.3 [Application for Interim Payment Certificates], showing:

- (a) the value of all work done in accordance with the Contract up to the date stated in the Taking-Over Certificate for the

Works,

- (b) any further sums which the Contractor considers to be due, and
- (c) an estimate of any other amounts which the Contractor considers will become due to him under the Contract. Estimated amounts shall be shown separately in this Statement at completion.

The Engineer shall then certify in accordance with Sub-Clause 14.6 [Issue of Interim Payment Certificates].

#### **14.11 Application for Final Payment Certificate**

Within 56 days after receiving the Performance Certificate, the Contractor shall submit, to the Engineer, six copies of a draft final statement with supporting documents showing in detail in a form approved by the Engineer:

- (a) the value of all work done in accordance with the Contract, and
- (b) any further sums which the Contractor considers to be due to him under the Contract or otherwise.

If the Engineer disagrees with or cannot verify any part of the draft final statement, the Contractor shall submit such further information as the Engineer may reasonably require within 28 days from receipt of the said draft and shall make such changes in the draft as may be agreed between them. The Contractor shall then prepare and submit to the Engineer the final statement as agreed. This agreed statement is referred to in these Conditions as the "Final Statement".

However if, following discussions between the Engineer and the Contractor and any changes to the draft final statement which are agreed, it becomes evident that a dispute exists, the Engineer shall deliver to the Employer (with a copy to the Contractor) an Interim Payment Certificate for the agreed parts of the draft final statement. Thereafter, if the dispute is finally resolved under Sub-Clause 20.4 [Obtaining Dispute Board's Decision] or Sub-Clause 20.5 [Amicable Settlement], the Contractor shall then prepare and submit to the Employer (with a copy to the Engineer) a Final Statement.

#### **14.12 Discharge**

When submitting the Final Statement, the Contractor shall submit a discharge which confirms that the total of the Final Statement represents full and final settlement of all moneys due to the Contractor under or in connection with the Contract. This discharge may state that it becomes effective when the

Contractor has received the Performance Security and the outstanding balance of this total, in which event the discharge shall be effective on such date.

**14.13 Issue of Final Payment Certificate**

Within 28 days after receiving the Final Statement and discharge in accordance with Sub-Clause 14.11 [Application for Final Payment Certificate] and Sub-Clause 14.12 [Discharge], the Engineer shall deliver to the Employer and to the Contractor, the Final Payment Certificate which shall state:

- (a) the amount which he fairly determines is finally due, and
- (b) after giving credit to the Employer for all amounts previously paid by the Employer and for all sums to which the Employer is entitled, the balance (if any) due from the Employer to the Contractor or from the Contractor to the Employer, as the case may be.

If the Contractor has not applied for a Final Payment Certificate in accordance with Sub-Clause 14.11 [Application for Final Payment Certificate] and Sub-Clause 14.12 [Discharge], the Engineer shall request the Contractor to do so. If the Contractor fails to submit an application within a period of 28 days, the Engineer shall issue the Final Payment Certificate for such amount as he fairly determines to be due.

**14.14 Cessation of Employer's Liability**

The Employer shall not be liable to the Contractor for any matter or thing under or in connection with the Contract or execution of the Works, except to the extent that the Contractor shall have included an amount expressly for it:

- (a) in the Final Statement and also
- (b) (except for matters or things arising after the issue of the Taking-Over Certificate for the Works) in the Statement at completion described in Sub-Clause 14.10 [Statement at Completion].

However, this Sub-Clause shall not limit the Employer's liability under his indemnification obligations, or the Employer's liability in any case of fraud, deliberate default or reckless misconduct by the Employer.

**14.15 Currencies of Payment**

The Contract Price shall be paid in the currency or currencies named in the Schedule of Payment Currencies. If more than one currency is so named, payments shall be made as follows:

- (a) if the Accepted Contract Amount was expressed in Local Currency only:



- (i) the proportions or amounts of the Local and Foreign Currencies, and the fixed rates of exchange to be used for calculating the payments, shall be as stated in the Schedule of Payment Currencies, except as otherwise agreed by both Parties;
  - (ii) payments and deductions under Sub-Clause 13.5 [Provisional Sums] and Sub-Clause 13.7 [Adjustments for Changes in Legislation] shall be made in the applicable currencies and proportions; and
  - (iii) other payments and deductions under sub-paragraphs (a) to (d) of Sub-Clause 14.3 [Application for Interim Payment Certificates] shall be made in the currencies and proportions specified in sub-paragraph (a)(i) above;
- (b) payment of the damages specified in the Contract Data, shall be made in the currencies and proportions specified in the Schedule of Payment Currencies;
  - (c) other payments to the Employer by the Contractor shall be made in the currency in which the sum was expended by the Employer, or in such currency as may be agreed by both Parties;
  - (d) if any amount payable by the Contractor to the Employer in a particular currency exceeds the sum payable by the Employer to the Contractor in that currency, the Employer may recover the balance of this amount from the sums otherwise payable to the Contractor in other currencies; and
  - (e) if no rates of exchange are stated in the Schedule of Payment Currencies, they shall be those prevailing on the Base Date and determined by the central bank of the Country.

## **15. Termination by Employer**

### **15.1 Notice to Correct**

If the Contractor fails to carry out any obligation under the Contract, the Engineer may by notice require the Contractor to make good the failure and to remedy it within a specified reasonable time.

### **15.2 Termination by Employer**

The Employer shall be entitled to terminate the Contract if the Contractor:

- (a) fails to comply with Sub-Clause 4.2 [Performance Security] or with a notice under Sub-Clause 15.1 [Notice to Correct],
- (b) abandons the Works or otherwise plainly demonstrates the intention not to continue performance of his obligations under the Contract,
- (c) without reasonable excuse fails:
  - (i) to proceed with the Works in accordance with Clause 8 [Commencement, Delays and Suspension], or
  - (ii) to comply with a notice issued under Sub-Clause 7.5 [Rejection] or Sub-Clause 7.6 [Remedial Work], within 28 days after receiving it,
- (d) subcontracts the whole of the Works or assigns the Contract without the required agreement,
- (e) becomes bankrupt or insolvent, goes into liquidation, has a receiving or administration order made against him, compounds with his creditors, or carries on business under a receiver, trustee or manager for the benefit of his creditors, or if any act is done or event occurs which (under applicable Laws) has a similar effect to any of these acts or events, or
- (f) gives or offers to give (directly or indirectly) to any person any bribe, gift, gratuity, commission or other thing of value, as an inducement or reward:
  - (i) for doing or forbearing to do any action in relation to the Contract, or
  - (ii) for showing or forbearing to show favour or disfavour to any person in relation to the Contract,

or if any of the Contractor's Personnel, agents or Subcontractors gives or offers to give (directly or indirectly) to any person any such inducement or reward as is described in this sub-paragraph (f). However, lawful inducements and rewards to Contractor's Personnel shall not entitle termination.

In any of these events or circumstances, the Employer may, upon giving 14 days' notice to the Contractor, terminate the Contract and expel the Contractor from the Site. However, in the case of sub-paragraph (e) or (f), the Employer may by notice terminate the Contract immediately.

The Employer's election to terminate the Contract shall not prejudice any other rights of the Employer, under the Contract or otherwise.

The Contractor shall then leave the Site and deliver any required Goods, all Contractor's Documents, and other design documents made by or for him, to the Engineer. However, the Contractor shall use his best efforts to comply immediately with any reasonable instructions included in the notice (i) for the assignment of any subcontract, and (ii) for the protection of life or property or for the safety of the Works.

After termination, the Employer may complete the Works and/or arrange for any other entities to do so. The Employer and these entities may then use any Goods, Contractor's Documents and other design documents made by or on behalf of the Contractor.

The Employer shall then give notice that the Contractor's Equipment and Temporary Works will be released to the Contractor at or near the Site. The Contractor shall promptly arrange their removal, at the risk and cost of the Contractor. However, if by this time the Contractor has failed to make a payment due to the Employer, these items may be sold by the Employer in order to recover this payment. Any balance of the proceeds shall then be paid to the Contractor.

**15.3 Valuation at Date of Termination**

As soon as practicable after a notice of termination under Sub-Clause 15.2 [Termination by Employer] has taken effect, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine the value of the Works, Goods and Contractor's Documents, and any other sums due to the Contractor for work executed in accordance with the Contract.

**15.4 Payment after Termination**

After a notice of termination under Sub-Clause 15.2 [Termination by Employer] has taken effect, the Employer may:

- (a) proceed in accordance with Sub-Clause 2.5 [Employer's Claims],
- (b) withhold further payments to the Contractor until the costs of execution, completion and remedying of any defects, damages for delay in completion (if any), and all other costs incurred by the Employer, have been established, and/or
- (c) recover from the Contractor any losses and damages incurred by the Employer and any extra costs of completing the Works, after allowing for any sum due to

the Contractor under Sub-Clause 15.3 [Valuation at Date of Termination]. After recovering any such losses, damages and extra costs, the Employer shall pay any balance to the Contractor.

**15.5 Employer's Entitlement to Termination for Convenience**

The Employer shall be entitled to terminate the Contract, at any time for the Employer's convenience, by giving notice of such termination to the Contractor. The termination shall take effect 28 days after the later of the dates on which the Contractor receives this notice or the Employer returns the Performance Security. The Employer shall not terminate the Contract under this Sub-Clause in order to execute the Works himself or to arrange for the Works to be executed by another contractor or to avoid a termination of the Contract by the Contractor under Sub-Clause 16.2 [Termination by Contractor].

After this termination, the Contractor shall proceed in accordance with Sub-Clause 16.3 [Cessation of Work and Removal of Contractor's equipment] and shall be paid in accordance with Sub-Clause 16.4 [Payment on Termination].

**15.6 Corrupt or Fraudulent Practices**

If the Employer determines that the Contractor and/or any of its personnel, or its agents, or its Subcontractors, subconsultants, services providers, suppliers and/or their employees has engaged in corrupt, fraudulent, collusive coercive, or obstructive practices, in competing for or in executing the Contract, then the Employer may, after giving 14 days notice to the Contractor, terminate the Contractor's employment under the Contract and expel him from the Site, and the provisions of Clause 15 shall apply as if such expulsion had been made under Sub-Clause 15.2 [Termination by Employer].

Should any employee of the Contractor be determined to have engaged in corrupt, fraudulent, collusive, coercive, or obstructive practice during the execution of the Works, then that employee shall be removed in accordance with Sub-Clause 6.9 [Contractor's Personnel].

For the purposes of this Sub-Clause:

- (i) "corrupt practice" is the offering, giving, receiving or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party<sup>1</sup>;

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<sup>1</sup> "Another party" refers to a public official acting in relation to the procurement process or contract execution]. In this context, "public official" includes World Bank staff and employees of other organizations taking or reviewing procurement decisions.

- (ii) “fraudulent practice” is any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain a financial or other benefit or to avoid an obligation<sup>2</sup>;
- (iii) “collusive practice” is an arrangement between two or more parties<sup>3</sup> designed to achieve an improper purpose, including to influence improperly the actions of another party;
- (iv) “coercive practice” is impairing or harming, or threatening to impair or harm, directly or indirectly, any party<sup>4</sup> or the property of the party to influence improperly the actions of a party;
- (v) “obstructive practice” is
  - (aa) deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive or collusive practice; and/or threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation, or
  - (bb) acts intended to materially impede the exercise of the Bank’s inspection and audit rights provided for under Sub-Clause 1.15 [Inspections and Audits by the Bank].

## 16. Suspension and Termination by Contractor

### 16.1 Contractor’s Entitlement to Suspend Work

If the Engineer fails to certify in accordance with Sub-Clause 14.6 [Issue of Interim Payment Certificates] or the Employer fails to comply with Sub-Clause 2.4 [Employer’s Financial Arrangements] or Sub-Clause 14.7 [Payment], the Contractor may, after giving not less than 21 days’ notice to the Employer, suspend work (or reduce

<sup>2</sup> “Party” refers to a public official; the terms “benefit” and “obligation” relate to the procurement process or contract execution; and the “act or omission” is intended to influence the procurement process or contract execution.

<sup>3</sup> “Parties” refers to participants in the procurement process (including public officials) attempting to establish bid prices at artificial, non competitive levels.

<sup>4</sup> “Party” refers to a participant in the procurement process or contract execution.

the rate of work) unless and until the Contractor has received the Payment Certificate, reasonable evidence or payment, as the case may be and as described in the notice.

Notwithstanding the above, if the Bank has suspended disbursements under the loan or credit from which payments to the Contractor are being made, in whole or in part, for the execution of the Works, and no alternative funds are available as provided for in Sub-Clause 2.4 [Employer's Financial Arrangements], the Contractor may by notice suspend work or reduce the rate of work at any time, but not less than 7 days after the Borrower having received the suspension notification from the Bank.

The Contractor's action shall not prejudice his entitlements to financing charges under Sub-Clause 14.8 [Delayed Payment] and to termination under Sub-Clause 16.2 [Termination by Contractor].

If the Contractor subsequently receives such Payment Certificate, evidence or payment (as described in the relevant Sub-Clause and in the above notice) before giving a notice of termination, the Contractor shall resume normal working as soon as is reasonably practicable.

If the Contractor suffers delay and/or incurs Cost as a result of suspending work (or reducing the rate of work) in accordance with this Sub-Clause, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost plus profit, which shall be included in the Contract Price.

After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

## **16.2 Termination by Contractor**

The Contractor shall be entitled to terminate the Contract if:

- i) the Contractor does not receive the reasonable evidence within 42 days after giving notice under Sub-Clause 16.1 [Contractor's Entitlement to Suspend Work] in respect of a failure to comply with Sub-Clause 2.4 [Employer's

- Financial Arrangements],
- ii) the Engineer fails, within 56 days after receiving a Statement and supporting documents, to issue the relevant Payment Certificate,
  - iii) the Contractor does not receive the amount due under an Interim Payment Certificate within 42 days after the expiry of the time stated in Sub-Clause 14.7 [Payment] within which payment is to be made (except for deductions in accordance with Sub-Clause 2.5 [Employer's Claims]),
  - iv) the Employer substantially fails to perform his obligations under the Contract in such manner as to materially and adversely affect the economic balance of the Contract and/or the ability of the Contractor to perform the Contract,
  - v) the Employer fails to comply with Sub-Clause 1.6 [Contract Agreement] or Sub-Clause 1.7 [Assignment],
  - vi) a prolonged suspension affects the whole of the Works as described in Sub-Clause 8.11 [Prolonged Suspension], or
  - vii) the Employer becomes bankrupt or insolvent, goes into liquidation, has a receiving or administration order made against him, compounds with his creditors, or carries on business under a receiver, trustee or manager for the benefit of his creditors, or if any act is done or event occurs which (under applicable Laws) has a similar effect to any of these acts or events.
  - viii) In the event the Bank suspends the loan or credit from which part of the payments to the Contractor are being made, if the Contractor has not received the sums due to him upon expiration of the 14 days referred to in Sub-Clause 14.7 [Payment] for payments under Interim Payment certificates, the Contractor may, without prejudice to the Contractor's entitlement to financing charges under Sub-Clause 14.8 [Delayed Payment], take one of the following actions, namely (i) suspend work or reduce the rate of work, or (ii) terminate his employment under the Contract by giving notice to the Employer, with a copy to the Engineer, such termination to take effect 14 days after the giving of the notice.
  - ix) the Contractor does not receive the Engineer's instruction recording the agreement of both Parties on the fulfilment

of the conditions for the Commencement of Works under Sub-Clause 8.1 [Commencement of Works].

In any of these events or circumstances, the Contractor may, upon giving 14 days' notice to the Employer, terminate the Contract. However, in the case of sub-paragraph (f) or (g), the Contractor may by notice terminate the Contract immediately.

The Contractor's election to terminate the Contract shall not prejudice any other rights of the Contractor, under the Contract or otherwise.

### **16.3 Cessation of Work and Removal of Contractor's Equipment**

After a notice of termination under Sub-Clause 15.5 [Employer's Entitlement to Termination for Convenience], Sub-Clause 16.2 [Termination by Contractor] or Sub-Clause 19.6 [Optional Termination, Payment and Release] has taken effect, the Contractor shall promptly:

- (a) cease all further work, except for such work as may have been instructed by the Engineer for the protection of life or property or for the safety of the Works,
- (b) hand over Contractor's Documents, Plant, Materials and other work, for which the Contractor has received payment, and
- (c) remove all other Goods from the Site, except as necessary for safety, and leave the Site.

### **16.4 Payment on Termination**

After a notice of termination under Sub-Clause 16.2 [Termination by Contractor] has taken effect, the Employer shall promptly:

- (a) return the Performance Security to the Contractor,
- (b) pay the Contractor in accordance with Sub-Clause 19.6 [Optional Termination, Payment and Release], and
- (c) pay to the Contractor the amount of any loss or damage sustained by the Contractor as a result of this termination.

## **17. Risk and Responsibility**

### **17.1 Indemnities**

The Contractor shall indemnify and hold harmless the Employer, the Employer's Personnel, and their respective agents, against and from all claims, damages, losses and expenses (including legal fees and expenses) in respect of:

- (a) bodily injury, sickness, disease or death, of any person



whatsoever arising out of or in the course of or by reason of the Contractor's design (if any), the execution and completion of the Works and the remedying of any defects, unless attributable to any negligence, wilful act or breach of the Contract by the Employer, the Employer's Personnel, or any of their respective agents, and

- (b) damage to or loss of any property, real or personal (other than the Works), to the extent that such damage or loss arises out of or in the course of or by reason of the Contractor's design (if any), the execution and completion of the Works and the remedying of any defects, unless and to the extent that any such damage or loss is attributable to any negligence, wilful act or breach of the Contract by the Employer, the Employer's Personnel, their respective agents, or anyone directly or indirectly employed by any of them.

The Employer shall indemnify and hold harmless the Contractor, the Contractor's Personnel, and their respective agents, against and from all claims, damages, losses and expenses (including legal fees and expenses) in respect of (1) bodily injury, sickness, disease or death, which is attributable to any negligence, wilful act or breach of the Contract by the Employer, the Employer's Personnel, or any of their respective agents, and (2) the matters for which liability may be excluded from insurance cover, as described in sub-paragraphs (d)(i), (ii) and (iii) of Sub-Clause 18.3 [Insurance Against Injury to Persons and Damage to Property].

## **17.2 Contractor's Care of the Works**

The Contractor shall take full responsibility for the care of the Works and Goods from the Commencement Date until the Taking-Over Certificate is issued (or is deemed to be issued under Sub-Clause 10.1 [Taking Over of the Works and Sections]) for the Works, when responsibility for the care of the Works shall pass to the Employer. If a Taking-Over Certificate is issued (or is so deemed to be issued) for any Section or part of the Works, responsibility for the care of the Section or part shall then pass to the Employer.

After responsibility has accordingly passed to the Employer, the Contractor shall take responsibility for the care of any work which is outstanding on the date stated in a Taking-Over Certificate, until this outstanding work has been completed.

If any loss or damage happens to the Works, Goods or Contractor's Documents during the period when the Contractor is responsible

for their care, from any cause not listed in Sub-Clause 17.3 [Employer's Risks], the Contractor shall rectify the loss or damage at the Contractor's risk and cost, so that the Works, Goods and Contractor's Documents conform with the Contract.

The Contractor shall be liable for any loss or damage caused by any actions performed by the Contractor after a Taking-Over Certificate has been issued. The Contractor shall also be liable for any loss or damage which occurs after a Taking-Over Certificate has been issued and which arose from a previous event for which the Contractor was liable.

**17.3 Employer's Risks** The risks referred to in Sub-Clause 17.4 [Consequences of Employer's Risks] below, insofar as they directly affect the execution of the Works in the Country, are:

- (a) war, hostilities (whether war be declared or not), invasion, act of foreign enemies,
- (b) rebellion, terrorism, sabotage by persons other than the Contractor's Personnel, revolution, insurrection, military or usurped power, or civil war, within the Country,
- (c) riot, commotion or disorder within the Country by persons other than the Contractor's Personnel,
- (d) munitions of war, explosive materials, ionising radiation or contamination by radio-activity, within the Country, except as may be attributable to the Contractor's use of such munitions, explosives, radiation or radio-activity,
- (e) pressure waves caused by aircraft or other aerial devices travelling at sonic or supersonic speeds,
- (f) use or occupation by the Employer of any part of the Permanent Works, except as may be specified in the Contract,
- (g) design of any part of the Works by the Employer's Personnel or by others for whom the Employer is responsible, and
- (h) any operation of the forces of nature which is Unforeseeable or against which an experienced contractor could not reasonably have been expected to have taken adequate preventative precautions.

**17.4 Consequences of Employer's Risks** If and to the extent that any of the risks listed in Sub-Clause 17.3 above results in loss or damage to the Works, Goods or Contractor's Documents, the Contractor shall promptly give notice to the Engineer and shall rectify this loss or damage to

the extent required by the Engineer.

If the Contractor suffers delay and/or incurs Cost from rectifying this loss or damage, the Contractor shall give a further notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost, which shall be included in the Contract Price. In the case of sub-paragraphs (f) and (g) of Sub-Clause 17.3 [Employer's Risks], Cost plus profit shall be payable.

After receiving this further notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

### **17.5 Intellectual and Industrial Property Rights**

In this Sub-Clause, "infringement" means an infringement (or alleged infringement) of any patent, registered design, copyright, trade mark, trade name, trade secret or other intellectual or industrial property right relating to the Works; and "claim" means a claim (or proceedings pursuing a claim) alleging an infringement.

Whenever a Party does not give notice to the other Party of any claim within 28 days of receiving the claim, the first Party shall be deemed to have waived any right to indemnity under this Sub-Clause.

The Employer shall indemnify and hold the Contractor harmless against and from any claim alleging an infringement which is or was:

- (a) an unavoidable result of the Contractor's compliance with the Contract, or
- (b) a result of any Works being used by the Employer:
  - (i) for a purpose other than that indicated by, or reasonably to be inferred from, the Contract, or
  - (ii) in conjunction with any thing not supplied by the Contractor, unless such use was disclosed to the Contractor prior to the Base Date or is stated in the

### Contract.

The Contractor shall indemnify and hold the Employer harmless against and from any other claim which arises out of or in relation to (i) the manufacture, use, sale or import of any Goods, or (ii) any design for which the Contractor is responsible.

If a Party is entitled to be indemnified under this Sub-Clause, the indemnifying Party may (at its cost) conduct negotiations for the settlement of the claim, and any litigation or arbitration which may arise from it. The other Party shall, at the request and cost of the indemnifying Party, assist in contesting the claim. This other Party (and its Personnel) shall not make any admission which might be prejudicial to the indemnifying Party, unless the indemnifying Party failed to take over the conduct of any negotiations, litigation or arbitration upon being requested to do so by such other Party.

### **17.6 Limitation of Liability**

Neither Party shall be liable to the other Party for loss of use of any Works, loss of profit, loss of any contract or for any indirect or consequential loss or damage which may be suffered by the other Party in connection with the Contract, other than as specifically provided in Sub-Clause 8.7 [Delay Damages]; Sub-Clause 11.2 [Cost of Remedying Defects]; Sub-Clause 15.4 [Payment after Termination]; Sub-Clause 16.4 [Payment on Termination]; Sub-Clause 17.1 [Indemnities]; Sub-Clause 17.4 (b) [Consequences of Employer's Risks] and Sub-Clause 17.5 [Intellectual and Industrial Property Rights].

The total liability of the Contractor to the Employer, under or in connection with the Contract other than under Sub-Clause 4.19 [Electricity, Water and Gas], Sub-Clause 4.20 [Employer's Equipment and Free-Issue Material], Sub-Clause 17.1 [Indemnities] and Sub-Clause 17.5 [Intellectual and Industrial Property Rights], shall not exceed the sum resulting from the application of a multiplier (less or greater than one) to the Accepted Contract Amount, as stated in the Contract Data, or (if such multiplier or other sum is not so stated), the Accepted Contract Amount.

This Sub-Clause shall not limit liability in any case of fraud, deliberate default or reckless misconduct by the defaulting Party.

**17.7 Use of  
Employer's  
Accomoda-  
tion/Facilities**

The Contractor shall take full responsibility for the care of the Employer provided accommodation and facilities, if any, as detailed in the Specification, from the respective dates of hand-over to the Contractor until cessation of occupation (where hand-over or cessation of occupation may take place after the date stated in the Taking-Over Certificate for the Works).

If any loss or damage happens to any of the above items while the Contractor is responsible for their care arising from any cause whatsoever other than those for which the Employer is liable, the Contractor shall, at his own cost, rectify the loss or damage to the satisfaction of the Engineer.

## **18. Insurance**

**18.1 General  
Requirements for  
Insurances**

In this Clause, "insuring Party" means, for each type of insurance, the Party responsible for effecting and maintaining the insurance specified in the relevant Sub-Clause.

Wherever the Contractor is the insuring Party, each insurance shall be effected with insurers and in terms acceptable to the Employer. These terms shall be consistent with any terms agreed by both Parties before the date of the Letter of Acceptance. This agreement of terms shall take precedence over the provisions of this Clause.

Wherever the Employer is the insuring Party, each insurance shall be effected with insurers and in terms acceptable to the Contractor. These terms shall be consistent with any terms agreed by both Parties before the date of the Letter of Acceptance. This agreement of terms shall take precedence over the provisions of this Clause.

If a policy is required to indemnify joint insured, the cover shall apply separately to each insured as though a separate policy had been issued for each of the joint insured. If a policy indemnifies additional joint insured, namely in addition to the insured specified in this Clause, (i) the Contractor shall act under the policy on behalf of these additional joint insured except that the Employer shall act for Employer's Personnel, (ii) additional joint insured shall not be entitled to receive payments directly from the insurer or to have any other direct dealings with the insurer, and (iii) the insuring Party shall require all additional joint insured to comply with the conditions stipulated in the policy.

Each policy insuring against loss or damage shall provide for payments to be made in the currencies required to rectify the

loss or damage. Payments received from insurers shall be used for the rectification of the loss or damage.

The relevant insuring Party shall, within the respective periods stated in the Contract Data (calculated from the Commencement Date), submit to the other Party:

- (a) evidence that the insurances described in this Clause have been effected, and
- (b) copies of the policies for the insurances described in Sub-Clause 18.2 [Insurance for Works and Contractor's Equipment] and Sub-Clause 18.3 [Insurance against Injury to Persons and Damage to Property].

When each premium is paid, the insuring Party shall submit evidence of payment to the other Party. Whenever evidence or policies are submitted, the insuring Party shall also give notice to the Engineer.

Each Party shall comply with the conditions stipulated in each of the insurance policies. The insuring Party shall keep the insurers informed of any relevant changes to the execution of the Works and ensure that insurance is maintained in accordance with this Clause.

Neither Party shall make any material alteration to the terms of any insurance without the prior approval of the other Party. If an insurer makes (or attempts to make) any alteration, the Party first notified by the insurer shall promptly give notice to the other Party.

If the insuring Party fails to effect and keep in force any of the insurances it is required to effect and maintain under the Contract, or fails to provide satisfactory evidence and copies of policies in accordance with this Sub-Clause, the other Party may (at its option and without prejudice to any other right or remedy) effect insurance for the relevant coverage and pay the premiums due. The insuring Party shall pay the amount of these premiums to the other Party, and the Contract Price shall be adjusted accordingly.

Nothing in this Clause limits the obligations, liabilities or responsibilities of the Contractor or the Employer, under the other terms of the Contract or otherwise. Any amounts not insured or not recovered from the insurers shall be borne by the Contractor and/or the Employer in accordance with these obligations, liabilities or responsibilities. However, if the

insuring Party fails to effect and keep in force an insurance which is available and which it is required to effect and maintain under the Contract, and the other Party neither approves the omission nor effects insurance for the coverage relevant to this default, any moneys which should have been recoverable under this insurance shall be paid by the insuring Party.

Payments by one Party to the other Party shall be subject to Sub-Clause 2.5 [Employer's Claims] or Sub-Clause 20.1 [Contractor's Claims], as applicable.

The Contractor shall be entitled to place all insurance relating to the Contract (including, but not limited to the insurance referred to Clause 18) with insurers from any eligible source country.

## **18.2 Insurance for Works and Contractor's Equipment**

The insuring Party shall insure the Works, Plant, Materials and Contractor's Documents for not less than the full reinstatement cost including the costs of demolition, removal of debris and professional fees and profit. This insurance shall be effective from the date by which the evidence is to be submitted under sub-paragraph (a) of Sub-Clause 18.1 [General Requirements for Insurances], until the date of issue of the Taking-Over Certificate for the Works.

The insuring Party shall maintain this insurance to provide cover until the date of issue of the Performance Certificate, for loss or damage for which the Contractor is liable arising from a cause occurring prior to the issue of the Taking-Over Certificate, and for loss or damage caused by the Contractor in the course of any other operations (including those under Clause 11 [Defects Liability]).

The insuring Party shall insure the Contractor's Equipment for not less than the full replacement value, including delivery to Site. For each item of Contractor's Equipment, the insurance shall be effective while it is being transported to the Site and until it is no longer required as Contractor's Equipment.

Unless otherwise stated in the Particular Conditions, insurances under this Sub-Clause:

- (a) shall be effected and maintained by the Contractor as insuring Party,
- (b) shall be in the joint names of the Parties, who shall be jointly entitled to receive payments from the insurers, payments being held or allocated to the Party actually

bearing the costs of rectifying the loss or damage,

- (c) shall cover all loss and damage from any cause not listed in Sub-Clause 17.3 [Employer's Risks],
- (d) shall also cover, to the extent specifically required in the bidding documents of the Contract, loss or damage to a part of the Works which is attributable to the use or occupation by the Employer of another part of the Works, and loss or damage from the risks listed in sub-paragraphs (c), (g) and (h) of Sub-Clause 17.3 [Employer's Risks], excluding (in each case) risks which are not insurable at commercially reasonable terms, with deductibles per occurrence of not more than the amount stated in the Contract Data (if an amount is not so stated, this sub-paragraph (d) shall not apply), and
- (e) may however exclude loss of, damage to, and reinstatement of:
  - (i) a part of the Works which is in a defective condition due to a defect in its design, materials or workmanship (but cover shall include any other parts which are lost or damaged as a direct result of this defective condition and not as described in sub-paragraph (ii) below),
  - (ii) a part of the Works which is lost or damaged in order to reinstate any other part of the Works if this other part is in a defective condition due to a defect in its design, materials or workmanship,
  - (iii) a part of the Works which has been taken over by the Employer, except to the extent that the Contractor is liable for the loss or damage, and
  - (iv) Goods while they are not in the Country, subject to Sub-Clause 14.5 [Plant and Materials intended for the Works].

If, more than one year after the Base Date, the cover described in sub-paragraph (d) above ceases to be available at commercially reasonable terms, the Contractor shall (as insuring Party) give notice to the Employer, with supporting particulars. The Employer shall then (i) be entitled subject to Sub-Clause 2.5 [Employer's Claims] to payment of an amount equivalent to such commercially reasonable terms as the Contractor should have expected to have paid for such cover, and (ii) be deemed,



unless he obtains the cover at commercially reasonable terms, to have approved the omission under Sub-Clause 18.1 [General Requirements for Insurances].

**18.3 Insurance against Injury to Persons and Damage to Property**

The insuring Party shall insure against each Party's liability for any loss, damage, death or bodily injury which may occur to any physical property (except things insured under Sub-Clause 18.2 [Insurance for Works and Contractor's Equipment]) or to any person (except persons insured under Sub-Clause 18.4 [Insurance for Contractor's Personnel]), which may arise out of the Contractor's performance of the Contract and occurring before the issue of the Performance Certificate.

This insurance shall be for a limit per occurrence of not less than the amount stated in the Contract Data, with no limit on the number of occurrences. If an amount is not stated in the Contract Data, this Sub-Clause shall not apply.

Unless otherwise stated in the Particular Conditions, the insurances specified in this Sub-Clause:

- (a) shall be effected and maintained by the Contractor as insuring Party,
- (b) shall be in the joint names of the Parties,
- (c) shall be extended to cover liability for all loss and damage to the Employer's property (except things insured under Sub-Clause 18.2) arising out of the Contractor's performance of the Contract, and
- (d) may however exclude liability to the extent that it arises from:
  - (i) the Employer's right to have the Permanent Works executed on, over, under, in or through any land, and to occupy this land for the Permanent Works,
  - (ii) damage which is an unavoidable result of the Contractor's obligations to execute the Works and remedy any defects, and
  - (iii) a cause listed in Sub-Clause 17.3 [Employer's Risks], except to the extent that cover is available at commercially reasonable terms.

**18.4 Insurance for Contractor's**

The Contractor shall effect and maintain insurance against liability for claims, damages, losses and expenses (including

**Personnel**

legal fees and expenses) arising from injury, sickness, disease or death of any person employed by the Contractor or any other of the Contractor's Personnel.

The insurance shall cover the Employer and the Engineer against liability for claims, damages, losses and expenses (including legal fees and expenses) arising from injury, sickness, disease or death of any person employed by the Contractor or any other of the Contractor's Personnel, except that this insurance may exclude losses and claims to the extent that they arise from any act or neglect of the Employer or of the Employer's Personnel.

The insurance shall be maintained in full force and effect during the whole time that these personnel are assisting in the execution of the Works. For a Subcontractor's employees, the insurance may be effected by the Subcontractor, but the Contractor shall be responsible for compliance with this Clause.

**19. Force Majeure****19.1 Definition of Force Majeure**

In this Clause, "Force Majeure" means an exceptional event or circumstance:

- (a) which is beyond a Party's control,
- (b) which such Party could not reasonably have provided against before entering into the Contract,
- (c) which, having arisen, such Party could not reasonably have avoided or overcome, and
- (d) which is not substantially attributable to the other Party.

Force Majeure may include, but is not limited to, exceptional events or circumstances of the kind listed below, so long as conditions (a) to (d) above are satisfied:

- (i) war, hostilities (whether war be declared or not), invasion, act of foreign enemies,
- (ii) rebellion, terrorism, sabotage by persons other than the Contractor's Personnel, revolution, insurrection, military or usurped power, or civil war,
- (iii) riot, commotion, disorder, strike or lockout by persons other than the Contractor's Personnel,
- (iv) munitions of war, explosive materials, ionising radiation or contamination by radio-activity, except

as may be attributable to the Contractor's use of such munitions, explosives, radiation or radio-activity, and

- (v) natural catastrophes such as earthquake, hurricane, typhoon or volcanic activity.

**19.2 Notice of Force Majeure**

If a Party is or will be prevented from performing its substantial obligations under the Contract by Force Majeure, then it shall give notice to the other Party of the event or circumstances constituting the Force Majeure and shall specify the obligations, the performance of which is or will be prevented. The notice shall be given within 14 days after the Party became aware, or should have become aware, of the relevant event or circumstance constituting Force Majeure.

The Party shall, having given notice, be excused performance of its obligations for so long as such Force Majeure prevents it from performing them.

Notwithstanding any other provision of this Clause, Force Majeure shall not apply to obligations of either Party to make payments to the other Party under the Contract.

**19.3 Duty to Minimise Delay**

Each Party shall at all times use all reasonable endeavours to minimise any delay in the performance of the Contract as a result of Force Majeure.

A Party shall give notice to the other Party when it ceases to be affected by the Force Majeure.

**19.4 Consequences of Force Majeure**

If the Contractor is prevented from performing its substantial obligations under the Contract by Force Majeure of which notice has been given under Sub-Clause 19.2 [Notice of Force Majeure], and suffers delay and/or incurs Cost by reason of such Force Majeure, the Contractor shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) if the event or circumstance is of the kind described in sub-paragraphs (i) to (iv) of Sub-Clause 19.1 [Definition of Force Majeure] and, in the case of sub-paragraphs (ii) to (iv), occurs in the Country, payment of any such Cost, including the costs of rectifying or replacing the Works and/or Goods damaged or destroyed by Force Majeure, to the extent they are not indemnified through the insurance policy referred to in Sub-Clause 18.2 [Insurance for

Works and Contractor's Equipment].

After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

**19.5 Force Majeure Affecting Subcontractor**

If any Subcontractor is entitled under any contract or agreement relating to the Works to relief from force majeure on terms additional to or broader than those specified in this Clause, such additional or broader force majeure events or circumstances shall not excuse the Contractor's non-performance or entitle him to relief under this Clause.

**19.6 Optional Termination, Payment and Release**

If the execution of substantially all the Works in progress is prevented for a continuous period of 84 days by reason of Force Majeure of which notice has been given under Sub-Clause 19.2 [Notice of Force Majeure], or for multiple periods which total more than 140 days due to the same notified Force Majeure, then either Party may give to the other Party a notice of termination of the Contract. In this event, the termination shall take effect 7 days after the notice is given, and the Contractor shall proceed in accordance with Sub-Clause 16.3 [Cessation of Work and Removal of Contractor's Equipment].

Upon such termination, the Engineer shall determine the value of the work done and issue a Payment Certificate which shall include:

- (a) the amounts payable for any work carried out for which a price is stated in the Contract;
- (b) the Cost of Plant and Materials ordered for the Works which have been delivered to the Contractor, or of which the Contractor is liable to accept delivery: this Plant and Materials shall become the property of (and be at the risk of) the Employer when paid for by the Employer, and the Contractor shall place the same at the Employer's disposal;
- (c) other Costs or liabilities which in the circumstances were reasonably and necessarily incurred by the Contractor in the expectation of completing the Works;
- (d) the Cost of removal of Temporary Works and Contractor's Equipment from the Site and the return of these items to the Contractor's works in his country (or to any other destination at no greater cost); and

- (e) the Cost of repatriation of the Contractor's staff and labour employed wholly in connection with the Works at the date of termination.

### **19.7 Release from Performance**

Notwithstanding any other provision of this Clause, if any event or circumstance outside the control of the Parties (including, but not limited to, Force Majeure) arises which makes it impossible or unlawful for either or both Parties to fulfil its or their contractual obligations or which, under the law governing the Contract, entitles the Parties to be released from further performance of the Contract, then upon notice by either Party to the other Party of such event or circumstance:

- (a) the Parties shall be discharged from further performance, without prejudice to the rights of either Party in respect of any previous breach of the Contract, and
- (b) the sum payable by the Employer to the Contractor shall be the same as would have been payable under Sub-Clause 19.6 [Optional Termination, Payment and Release] if the Contract had been terminated under Sub-Clause 19.6.

## **20. Claims, Disputes and Arbitration**

### **20.1 Contractor's Claims**

If the Contractor considers himself to be entitled to any extension of the Time for Completion and/or any additional payment, under any Clause of these Conditions or otherwise in connection with the Contract, the Contractor shall give notice to the Engineer, describing the event or circumstance giving rise to the claim. The notice shall be given as soon as practicable, and not later than 28 days after the Contractor became aware, or should have become aware, of the event or circumstance.

If the Contractor fails to give notice of a claim within such period of 28 days, the Time for Completion shall not be extended, the Contractor shall not be entitled to additional payment, and the Employer shall be discharged from all liability in connection with the claim. Otherwise, the following provisions of this Sub-Clause shall apply.

The Contractor shall also submit any other notices which are required by the Contract, and supporting particulars for the claim, all as relevant to such event or circumstance.

The Contractor shall keep such contemporary records as may be necessary to substantiate any claim, either on the Site or at another location acceptable to the Engineer. Without admitting

the Employer's liability, the Engineer may, after receiving any notice under this Sub-Clause, monitor the record-keeping and/or instruct the Contractor to keep further contemporary records. The Contractor shall permit the Engineer to inspect all these records, and shall (if instructed) submit copies to the Engineer.

Within 42 days after the Contractor became aware (or should have become aware) of the event or circumstance giving rise to the claim, or within such other period as may be proposed by the Contractor and approved by the Engineer, the Contractor shall send to the Engineer a fully detailed claim which includes full supporting particulars of the basis of the claim and of the extension of time and/or additional payment claimed. If the event or circumstance giving rise to the claim has a continuing effect:

- (a) this fully detailed claim shall be considered as interim;
- (b) the Contractor shall send further interim claims at monthly intervals, giving the accumulated delay and/or amount claimed, and such further particulars as the Engineer may reasonably require; and
- (c) the Contractor shall send a final claim within 28 days after the end of the effects resulting from the event or circumstance, or within such other period as may be proposed by the Contractor and approved by the Engineer.

Within 42 days after receiving a claim or any further particulars supporting a previous claim, or within such other period as may be proposed by the Engineer and approved by the Contractor, the Engineer shall respond with approval, or with disapproval and detailed comments. He may also request any necessary further particulars, but shall nevertheless give his response on the principles of the claim within the above defined time period.

Within the above defined period of 42 days, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine (i) the extension (if any) of the Time for Completion (before or after its expiry) in accordance with Sub-Clause 8.4 [Extension of Time for Completion], and/or (ii) the additional payment (if any) to which the Contractor is entitled under the Contract.

Each Payment Certificate shall include such additional payment for any claim as have been reasonably substantiated as due under the relevant provision of the Contract. Unless and until

the particulars supplied are sufficient to substantiate the whole of the claim, the Contractor shall only be entitled to payment for such part of the claim as he has been able to substantiate.

If the Engineer does not respond within the timeframe defined in this Clause, either Party may consider that the claim is rejected by the Engineer and any of the Parties may refer it to the Dispute Board in accordance with Sub-Clause 20.4 [Obtaining Dispute Board's Decision].

The requirements of this Sub-Clause are in addition to those of any other Sub-Clause which may apply to a claim. If the Contractor fails to comply with this or another Sub-Clause in relation to any claim, any extension of time and/or additional payment shall take account of the extent (if any) to which the failure has prevented or prejudiced proper investigation of the claim, unless the claim is excluded under the second paragraph of this Sub-Clause.

## **20.2 Appointment of the Dispute Board**

Disputes shall be referred to a DB for decision in accordance with Sub-Clause 20.4 [Obtaining Dispute Board's Decision]. The Parties shall appoint a DB by the date stated in the Contract Data.

The DB shall comprise, as stated in the Contract Data, either one or three suitably qualified persons ("the members"), each of whom shall be fluent in the language for communication defined in the Contract and shall be a professional experienced in the type of construction involved in the Works and with the interpretation of contractual documents. If the number is not so stated and the Parties do not agree otherwise, the DB shall comprise three persons.

If the Parties have not jointly appointed the DB 21 days before the date stated in the Contract Data and the DB is to comprise three persons, each Party shall nominate one member for the approval of the other Party. The first two members shall recommend and the Parties shall agree upon the third member, who shall act as chairman.

However, if a list of potential members has been agreed by the Parties and is included in the Contract, the members shall be selected from those on the list, other than anyone who is unable or unwilling to accept appointment to the DB.

The agreement between the Parties and either the sole member or each of the three members shall incorporate by reference the

General Conditions of Dispute Board Agreement contained in the Appendix to these General Conditions, with such amendments as are agreed between them.

The terms of the remuneration of either the sole member or each of the three members, including the remuneration of any expert whom the DB consults, shall be mutually agreed upon by the Parties when agreeing the terms of appointment. Each Party shall be responsible for paying one-half of this remuneration.

If at any time the Parties so agree, they may jointly refer a matter to the DB for it to give its opinion. Neither Party shall consult the DB on any matter without the agreement of the other Party.

If a member declines to act or is unable to act as a result of death, disability, resignation or termination of appointment, a replacement shall be appointed in the same manner as the replaced person was required to have been nominated or agreed upon, as described in this Sub-Clause.

The appointment of any member may be terminated by mutual agreement of both Parties, but not by the Employer or the Contractor acting alone. Unless otherwise agreed by both Parties, the appointment of the DB (including each member) shall expire when the discharge referred to in Sub-Clause 14.12 [Discharge] shall have become effective.

**20.3 Failure to Agree on the Composition of the Dispute Board**

If any of the following conditions apply, namely:

- (a) the Parties fail to agree upon the appointment of the sole member of the DB by the date stated in the first paragraph of Sub-Clause 20.2, [Appointment of the Dispute Board],
- (b) either Party fails to nominate a member (for approval by the other Party), or fails to approve a member nominated by the other Party, of a DB of three persons by such date,
- (c) the Parties fail to agree upon the appointment of the third member (to act as chairman) of the DB by such date, or
- (d) the Parties fail to agree upon the appointment of a replacement person within 42 days after the date on which the sole member or one of the three members declines to act or is unable to act as a result of death, disability, resignation or termination of appointment,

then the appointing entity or official named in the Contract Data shall, upon the request of either or both of the Parties and after



due consultation with both Parties, appoint this member of the DB. This appointment shall be final and conclusive. Each Party shall be responsible for paying one-half of the remuneration of the appointing entity or official.

#### **20.4 Obtaining Dispute Board's Decision**

If a dispute (of any kind whatsoever) arises between the Parties in connection with, or arising out of, the Contract or the execution of the Works, including any dispute as to any certificate, determination, instruction, opinion or valuation of the Engineer, either Party may refer the dispute in writing to the DB for its decision, with copies to the other Party and the Engineer. Such reference shall state that it is given under this Sub-Clause.

For a DB of three persons, the DB shall be deemed to have received such reference on the date when it is received by the chairman of the DB.

Both Parties shall promptly make available to the DB all such additional information, further access to the Site, and appropriate facilities, as the DB may require for the purposes of making a decision on such dispute. The DB shall be deemed to be not acting as arbitrator(s).

Within 84 days after receiving such reference, or within such other period as may be proposed by the DB and approved by both Parties, the DB shall give its decision, which shall be reasoned and shall state that it is given under this Sub-Clause. The decision shall be binding on both Parties, who shall promptly give effect to it unless and until it shall be revised in an amicable settlement or an arbitral award as described below. Unless the Contract has already been abandoned, repudiated or terminated, the Contractor shall continue to proceed with the Works in accordance with the Contract.

If either Party is dissatisfied with the DB's decision, then either Party may, within 28 days after receiving the decision, give notice to the other Party of its dissatisfaction and intention to commence arbitration. If the DB fails to give its decision within the period of 84 days (or as otherwise approved) after receiving such reference, then either Party may, within 28 days after this period has expired, give notice to the other Party of its dissatisfaction and intention to commence arbitration.

In either event, this notice of dissatisfaction shall state that it is given under this Sub-Clause, and shall set out the matter in dispute and the reason(s) for dissatisfaction. Except as stated in Sub-Clause 20.7 [Failure to Comply with Dispute Board's Decision] and Sub-Clause 20.8 [Expiry of Dispute Board's

Appointment], neither Party shall be entitled to commence arbitration of a dispute unless a notice of dissatisfaction has been given in accordance with this Sub-Clause.

If the DB has given its decision as to a matter in dispute to both Parties, and no notice of dissatisfaction has been given by either Party within 28 days after it received the DB's decision, then the decision shall become final and binding upon both Parties.

## **20.5 Amicable Settlement**

Where notice of dissatisfaction has been given under Sub-Clause 20.4 above, both Parties shall attempt to settle the dispute amicably before the commencement of arbitration. However, unless both Parties agree otherwise, arbitration may be commenced on or after the fifty-sixth day after the day on which a notice of dissatisfaction and intention to commence arbitration was given, even if no attempt at amicable settlement has been made.

## **20.6 Arbitration**

Unless indicated otherwise in the Particular Conditions, any dispute not settled amicably and in respect of which the DB's decision (if any) has not become final and binding shall be finally settled by arbitration. Unless otherwise agreed by both Parties:

- (a) For contracts with foreign contractors, international arbitration with proceedings administered by the institution appointed in the Contract Data, conducted in accordance with the rules of arbitration of the appointed institution, if any, or in accordance with UNCITRAL arbitration rules, at the choice of the appointed institution,
- (b) the place of arbitration shall be the city where the headquarters of the appointed arbitration institution is located,
- (c) the arbitration shall be conducted in the language for communications defined in Sub-Clause 1.4 [Law and Language], and
- (d) For contracts with domestic contractors, arbitration with proceedings conducted in accordance with the laws of the Employer's country.

The arbitrators shall have full power to open up, review and revise any certificate, determination, instruction, opinion or valuation of the Engineer, and any decision of the DB, relevant to the dispute. Nothing shall disqualify representatives of the Parties and the Engineer from being called as a witness and

giving evidence before the arbitrators on any matter whatsoever relevant to the dispute.

Neither Party shall be limited in the proceedings before the arbitrators to the evidence or arguments previously put before the DB to obtain its decision, or to the reasons for dissatisfaction given in its notice of dissatisfaction. Any decision of the DB shall be admissible in evidence in the arbitration.

Arbitration may be commenced prior to or after completion of the Works. The obligations of the Parties, the Engineer and the DB shall not be altered by reason of any arbitration being conducted during the progress of the Works.

**20.7 Failure to Comply with Dispute Board's Decision**

In the event that a Party fails to comply with a final and binding DB decision, then the other Party may, without prejudice to any other rights it may have, refer the failure itself to arbitration under Sub-Clause 20.6 [Arbitration]. Sub-Clause 20.4 [Obtaining Dispute Board's Decision] and Sub-Clause 20.5 [Amicable Settlement] shall not apply to this reference.

**20.8 Expiry of Dispute Board's Appointment**

If a dispute arises between the Parties in connection with, or arising out of, the Contract or the execution of the Works and there is no DB in place, whether by reason of the expiry of the DB's appointment or otherwise:

- (a) Sub-Clause 20.4 [Obtaining Dispute Board's Decision] and Sub-Clause 20.5 [Amicable Settlement] shall not apply, and
- (b) the dispute may be referred directly to arbitration under Sub-Clause 20.6 [Arbitration].

## APPENDIX

### A General Conditions of Dispute Board Agreement

- 1. Definitions** Each “Dispute Board Agreement” is a tripartite agreement by and between:
- (a) the “Employer”;
  - (b) the “Contractor”; and
  - (c) the “Member” who is defined in the Dispute Board Agreement as being:
    - (i) the sole member of the "DB" and, where this is the case, all references to the “Other Members” do not apply, or
    - (ii) one of the three persons who are jointly called the “DB” (or “Dispute Board”) and, where this is the case, the other two persons are called the “Other Members.”

The Employer and the Contractor have entered (or intend to enter) into a contract, which is called the "Contract" and is defined in the Dispute Board Agreement, which incorporates this Appendix. In the Dispute Board Agreement, words and expressions which are not otherwise defined shall have the meanings assigned to them in the Contract.

- 2. General Provisions** Unless otherwise stated in the Dispute Board Agreement, it shall take effect on the latest of the following dates:
- (a) the Commencement Date defined in the Contract,
  - (b) when the Employer, the Contractor and the Member have each signed the Dispute Board Agreement, or
  - (c) when the Employer, the Contractor and each of the Other Members (if any) have respectively each signed a dispute board agreement.

This employment of the Member is a personal appointment. At any time, the Member may give not less than 70 days’ notice of resignation to the Employer and to the Contractor, and the Dispute Agreement shall terminate upon the expiry of this period.

- 3. Warranties** The Member warrants and agrees that he/she is and shall be impartial and independent of the Employer, the Contractor and the Engineer. The Member shall promptly disclose, to each of them and to the Other Members (if any), any fact or circumstance which might appear inconsistent with his/her warranty and agreement of

impartiality and independence.

When appointing the Member, the Employer and the Contractor relied upon the Member's representations that he/she is:

- (a) experienced in the work which the Contractor is to carry out under the Contract,
- (b) experienced in the interpretation of contract documentation, and
- (c) fluent in the language for communications defined in the Contract.

**4. General  
Obligations of the  
Member**

The Member shall:

- (a) have no interest financial or otherwise in the Employer, the Contractor or Engineer, nor any financial interest in the Contract except for payment under the Dispute Board Agreement;
- (b) not previously have been employed as a consultant or otherwise by the Employer, the Contractor or the Engineer, except in such circumstances as were disclosed in writing to the Employer and the Contractor before they signed the Dispute Board Agreement;
- (c) have disclosed in writing to the Employer, the Contractor and the Other Members (if any), before entering into the Dispute Board Agreement and to his/her best knowledge and recollection, any professional or personal relationships with any director, officer or employee of the Employer, the Contractor or the Engineer, and any previous involvement in the overall project of which the Contract forms part;
- (d) not, for the duration of the Dispute Board Agreement, be employed as a consultant or otherwise by the Employer, the Contractor or the Engineer, except as may be agreed in writing by the Employer, the Contractor and the Other Members (if any);
- (e) comply with the annexed procedural rules and with Sub-Clause 20.4 of the Conditions of Contract;
- (f) not give advice to the Employer, the Contractor, the Employer's Personnel or the Contractor's Personnel concerning the conduct of the Contract, other than in accordance with the annexed procedural rules;
- (g) not while a Member enter into discussions or make any agreement with the Employer, the Contractor or the Engineer

regarding employment by any of them, whether as a consultant or otherwise, after ceasing to act under the Dispute Board Agreement;

- (h) ensure his/her availability for all site visits and hearings as are necessary;
- (i) become conversant with the Contract and with the progress of the Works (and of any other parts of the project of which the Contract forms part) by studying all documents received which shall be maintained in a current working file;
- (j) treat the details of the Contract and all the DB's activities and hearings as private and confidential, and not publish or disclose them without the prior written consent of the Employer, the Contractor and the Other Members (if any); and
- (k) be available to give advice and opinions, on any matter relevant to the Contract when requested by both the Employer and the Contractor, subject to the agreement of the Other Members (if any).

**5. General  
Obligations of the  
Employer and the  
Contractor**

The Employer, the Contractor, the Employer's Personnel and the Contractor's Personnel shall not request advice from or consultation with the Member regarding the Contract, otherwise than in the normal course of the DB's activities under the Contract and the Dispute Board Agreement. The Employer and the Contractor shall be responsible for compliance with this provision, by the Employer's Personnel and the Contractor's Personnel respectively.

The Employer and the Contractor undertake to each other and to the Member that the Member shall not, except as otherwise agreed in writing by the Employer, the Contractor, the Member and the Other Members (if any):

- (a) be appointed as an arbitrator in any arbitration under the Contract;
- (b) be called as a witness to give evidence concerning any dispute before arbitrator(s) appointed for any arbitration under the Contract; or
- (c) be liable for any claims for anything done or omitted in the discharge or purported discharge of the Member's functions, unless the act or omission is shown to have been in bad faith.

The Employer and the Contractor hereby jointly and severally indemnify and hold the Member harmless against and from claims from which he is relieved from liability under the preceding

paragraph.

Whenever the Employer or the Contractor refers a dispute to the DB under Sub-Clause 20.4 of the Conditions of Contract, which will require the Member to make a site visit and attend a hearing, the Employer or the Contractor shall provide appropriate security for a sum equivalent to the reasonable expenses to be incurred by the Member. No account shall be taken of any other payments due or paid to the Member.

## **6. Payment**

The Member shall be paid as follows, in the currency named in the Dispute Board Agreement:

- (a) a retainer fee per calendar month, which shall be considered as payment in full for:
  - (i) being available on 28 days' notice for all site visits and hearings;
  - (ii) becoming and remaining conversant with all project developments and maintaining relevant files;
  - (iii) all office and overhead expenses including secretarial services, photocopying and office supplies incurred in connection with his duties; and
  - (iv) all services performed hereunder except those referred to in sub-paragraphs (b) and (c) of this Clause.

The retainer fee shall be paid with effect from the last day of the calendar month in which the Dispute Board Agreement becomes effective; until the last day of the calendar month in which the Taking-Over Certificate is issued for the whole of the Works.

With effect from the first day of the calendar month following the month in which the Taking-Over Certificate is issued for the whole of the Works, the retainer fee shall be reduced by one third. This reduced fee shall be paid until the first day of the calendar month in which the Member resigns or the Dispute Board Agreement is otherwise terminated.

- (b) a daily fee which shall be considered as payment in full for:
  - (i) each day or part of a day up to a maximum of two days' travel time in each direction for the journey between the Member's home and the site, or another location of a meeting with the Other Members (if any);
  - (ii) each working day on Site visits, hearings or preparing

decisions; and

- (iii) each day spent reading submissions in preparation for a hearing.
- (c) all reasonable expenses including necessary travel expenses (air fare in less than first class, hotel and subsistence and other direct travel expenses) incurred in connection with the Member's duties, as well as the cost of telephone calls, courier charges, faxes and telexes: a receipt shall be required for each item in excess of five percent of the daily fee referred to in sub-paragraph (b) of this Clause;
- (d) any taxes properly levied in the Country on payments made to the Member (unless a national or permanent resident of the Country) under this Clause 6.

The retainer and daily fees shall be as specified in the Dispute Board Agreement. Unless it specifies otherwise, these fees shall remain fixed for the first 24 calendar months, and shall thereafter be adjusted by agreement between the Employer, the Contractor and the Member, at each anniversary of the date on which the Dispute Board Agreement became effective.

If the parties fail to agree on the retainer fee or the daily fee, the appointing entity or official named in the Contract Data shall determine the amount of the fees to be used.

The Member shall submit invoices for payment of the monthly retainer and air fares quarterly in advance. Invoices for other expenses and for daily fees shall be submitted following the conclusion of a site visit or hearing. All invoices shall be accompanied by a brief description of activities performed during the relevant period and shall be addressed to the Contractor.

The Contractor shall pay each of the Member's invoices in full within 56 calendar days after receiving each invoice and shall apply to the Employer (in the Statements under the Contract) for reimbursement of one-half of the amounts of these invoices. The Employer shall then pay the Contractor in accordance with the Contract.

If the Contractor fails to pay to the Member the amount to which he/she is entitled under the Dispute Board Agreement, the Employer shall pay the amount due to the Member and any other amount which may be required to maintain the operation of the DB; and without prejudice to the Employer's rights or remedies. In addition to all other rights arising from this default, the Employer shall be entitled to reimbursement of all sums paid in excess of one-



half of these payments, plus all costs of recovering these sums and financing charges calculated at the rate specified in Sub-Clause 14.8 of the Conditions of Contract.

If the Member does not receive payment of the amount due within 70 days after submitting a valid invoice, the Member may (i) suspend his/her services (without notice) until the payment is received, and/or (ii) resign his/her appointment by giving notice under Clause 7.

## **7. Termination**

At any time: (i) the Employer and the Contractor may jointly terminate the Dispute Board Agreement by giving 42 days' notice to the Member; or (ii) the Member may resign as provided for in Clause 2.

If the Member fails to comply with the Dispute Board Agreement, the Employer and the Contractor may, without prejudice to their other rights, terminate it by notice to the Member. The notice shall take effect when received by the Member.

If the Employer or the Contractor fails to comply with the Dispute Board Agreement, the Member may, without prejudice to his other rights, terminate it by notice to the Employer and the Contractor. The notice shall take effect when received by them both.

Any such notice, resignation and termination shall be final and binding on the Employer, the Contractor and the Member. However, a notice by the Employer or the Contractor, but not by both, shall be of no effect.

## **8. Default of the Member**

If the Member fails to comply with any of his obligations under Clause 4 (a) - (d) above, he shall not be entitled to any fees or expenses hereunder and shall, without prejudice to their other rights, reimburse each of the Employer and the Contractor for any fees and expenses received by the Member and the Other Members (if any), for proceedings or decisions (if any) of the DB which are rendered void or ineffective by the said failure to comply.

If the Member fails to comply with any of his obligations under Clause 4 (e) - (k) above, he shall not be entitled to any fees or expenses hereunder from the date and to the extent of the non-compliance and shall, without prejudice to their other rights, reimburse each of the Employer and the Contractor for any fees and expenses already received by the Member, for proceedings or decisions (if any) of the DB which are rendered void or ineffective by the said failure to comply.

## **9. Disputes**

Any dispute or claim arising out of or in connection with this Dispute Board Agreement, or the breach, termination or invalidity

thereof, shall be finally settled by institutional arbitration. If no other arbitration institute is agreed, the arbitration shall be conducted under the Rules of Arbitration of the International Chamber of Commerce by one arbitrator appointed in accordance with these Rules of Arbitration.

## PROCEDURAL RULES

Unless otherwise agreed by the Employer and the Contractor, the DB shall visit the site at intervals of not more than 140 days, including times of critical construction events, at the request of either the Employer or the Contractor. Unless otherwise agreed by the Employer, the Contractor and the DB, the period between consecutive visits shall not be less than 70 days, except as required to convene a hearing as described below.

The timing of and agenda for each site visit shall be as agreed jointly by the DB, the Employer and the Contractor, or in the absence of agreement, shall be decided by the DB. The purpose of site visits is to enable the DB to become and remain acquainted with the progress of the Works and of any actual or potential problems or claims, and, as far as reasonable, to endeavour to prevent potential problems or claims from becoming disputes.

Site visits shall be attended by the Employer, the Contractor and the Engineer and shall be co-ordinated by the Employer in co-operation with the Contractor. The Employer shall ensure the provision of appropriate conference facilities and secretarial and copying services. At the conclusion of each site visit and before leaving the site, the DB shall prepare a report on its activities during the visit and shall send copies to the Employer and the Contractor.

The Employer and the Contractor shall furnish to the DB one copy of all documents which the DB may request, including Contract documents, progress reports, variation instructions, certificates and other documents pertinent to the performance of the Contract. All communications between the DB and the Employer or the Contractor shall be copied to the other Party. If the DB comprises three persons, the Employer and the Contractor shall send copies of these requested documents and these communications to each of these persons.

If any dispute is referred to the DB in accordance with Sub-Clause 20.4 of the Conditions of Contract, the DB shall proceed in accordance with Sub-Clause 20.4 and these Rules. Subject to the time allowed to give notice of a decision and other relevant factors, the DB shall:

- (a) act fairly and impartially as between the Employer and the Contractor, giving each of them a reasonable opportunity of putting his case and responding to the other's case, and
- (b) adopt procedures suitable to the dispute, avoiding unnecessary delay or expense.

The DB may conduct a hearing on the dispute, in which event it will decide on the date and place for the hearing and may request that written documentation and arguments from the Employer and the Contractor be presented to it prior to or at the hearing.

Except as otherwise agreed in writing by the Employer and the Contractor, the DB shall have power to adopt an inquisitorial procedure, to refuse admission to hearings or audience at hearings to any persons other than representatives of the Employer, the Contractor and the Engineer, and to proceed in the absence of any party who the DB is satisfied received notice of the hearing; but shall have discretion to decide whether and to what extent this power may be exercised.

The Employer and the Contractor empower the DB, among other things, to:

- (a) establish the procedure to be applied in deciding a dispute,
- (b) decide upon the DB's own jurisdiction, and as to the scope of any dispute referred to it,
- (c) conduct any hearing as it thinks fit, not being bound by any rules or procedures other than those contained in the Contract and these Rules,
- (d) take the initiative in ascertaining the facts and matters required for a decision,
- (e) make use of its own specialist knowledge, if any,
- (f) decide upon the payment of financing charges in accordance with the Contract,
- (g) decide upon any provisional relief such as interim or conservatory measures, and
- (h) open up, review and revise any certificate, decision, determination, instruction, opinion or valuation of the Engineer, relevant to the dispute.

The DB shall not express any opinions during any hearing concerning the merits of any arguments advanced by the Parties. Thereafter, the DB shall make and give its decision in accordance with Sub-Clause 20.4, or as otherwise agreed by the Employer and the Contractor in writing. If the DB comprises three persons:

- (a) it shall convene in private after a hearing, in order to have discussions and prepare its decision;
- (b) it shall endeavour to reach a unanimous decision: if this proves impossible the applicable decision shall be made by a majority of the Members, who may require the minority Member to prepare a written report for submission to the Employer and the Contractor; and
- (c) if a Member fails to attend a meeting or hearing, or to fulfil any required function, the other two Members may nevertheless proceed to make a decision, unless:
  - (i) either the Employer or the Contractor does not agree that they do so, or
  - (ii) the absent Member is the chairman and he/she instructs the other Members not to make a decision.

## **Particular Conditions (PC)**

The following Particular Conditions shall supplement the GC. Whenever there is a conflict, the provisions herein shall prevail over those in the GC.

### Part A - Contract Data

Conditions	Sub-Clause	Data
<b>Employer's name and address</b>	1.1.2.2 & 1.3	Chief Engineer, World Bank Projects, Odisha, O/o the E.I.C(Civil), Odisha, Nirman Soudha, Unit-V Bhubaneswar – 751 001
<b>Engineer's name and address</b>	1.1.2.4 & 1.3	Resident Engineer, LEA Associates South Asia Pvt. Ltd., Jagatpur-Chandbali Road Project, Near Underpass, NH-5A At:- Duhuria, P.O. Pandiri Dist-Kendrapara-754211 Phone: 06727-220077 Email:- laskendrapara@lasindia.com
<b>Bank's name</b>	1.1.2.11	International Bank for Reconstruction and Development, ( The World Bank )
<b>Borrower's name</b>	1.1.2.12	Government of Odisha through Government of India
<b>Time for Completion</b>	1.1.3.3	<u>18 Months</u> <i>For achievement of Milestones, Refer to Summary of Sections at the end of this table</i>
<b>Defects Notification Period</b>	1.1.3.7	365 days.
<b>Sections</b>	1.1.5.6	<i>Refer to Table: Summary of Sections below</i>
<b>Electronic transmission systems</b>	1.3	Fax No- +91 674 239 0080 Email: pmuosrp@gmail.com
<b>Governing Law</b>	1.4	Law in force in the Union of India.
<b>Ruling language</b>	1.4	English
<b>Language for communications</b>	1.4	English
<b>Time for the Parties entering into a Contract Agreement</b>	1.6	28 days
<b>Time for access to the Site</b>	2.1	<u>Section-I</u>  Km 11/000 to Km 25/000 shall be handed over for execution prior to issuance of advance payments under Clause 14.2.

Conditions	Sub-Clause	Data
		<p><u>Section-II</u> Km 0/000 to Km 11/000 shall be handed over for execution within <u>3 months</u> of date of commencement of work.</p> <p><b>Within two weeks of handing over of each stretch, the contractor needs to examine and provide acceptance of receiving encumbrance free land or inform the employer, with details of encumbrances if any.</b></p> <p><b>The Employer may reschedule the above Sections before the handing over time mentioned above considering the ground conditions. However, it shall be ensured to maintain the length of the Sections as far as possible.</b></p>
<b>Engineer's Duties and Authority</b>	3.1(b)(ii)	Variations resulting in an increase of the Accepted Contract Amount in excess of <u>2%</u> shall require approval of the Employer in aggregate subject to restrictions imposed pursuant to Sub-Clause 3.1 of Particular Conditions
<b>Performance Security</b>	4.2	<p>The performance security will be in the form of <b>an unconditional Bank Guarantee</b> and in the same currency(ies).<b>If the Bank Guarantee is issued by a financial institution located outside India, such financial institution shall have a correspondent financial institution located in India.</b></p> <p>The performance security shall be for an amount as stated below.</p> <ul style="list-style-type: none"> <li>(a) 5 percent of the Accepted Contract Amount</li> <li>(b) Additional Performance Security of 5% of the accepted contract amount in case the contractor is a Joint Venture and</li> <li>(c) Additional Security for unbalanced bids determined in accordance with the Cl.34.5 of ITB</li> </ul>

Conditions	Sub-Clause	Data
<b>Normal working hours</b>	6.5	08:00 Hours to 18:00 Hours (Except otherwise stipulated by the Government of Odisha in exceptional climatic conditions.)
<b>Commencement of Works</b>	8.1 (c)	Possessions of sites shall be given as mentioned in Sub-Clause 2.1 above.
	8.1 (d)	Deleted
<b>Delay damages for the Works</b>	8.7 & 14.15(b)	Refer to Table: Summary of Sections below
<b>Maximum amount of delay damages</b>	8.7	10 % of the final Contract Price.
<b>Provisional Sums</b>	13.5.(b)(ii)	NIL
<b>Adjustments for Changes in Cost</b>	13.8	Period “n” applicable to the adjustment multiplier “Pn”: 3 months
<b>Total advance payment</b>	14.2	<p>5 % Percentage of the Accepted Contract Amount payable in two equal installments in the currencies and proportions in which the Accepted Contract Amount is payable against Bank Guarantee.</p> <p>The first instalment shall be paid after due acceptance of encumbrance free land of Section-I mentioned in Sub-Clause 2.1 and after establishment of construction camp site by the contractor.</p> <p>The second instalment shall be paid after mobilization of 75% of the construction equipment and machinery at site as proposed by the contractor to be deployed in the works as per the work programme for the first six months.</p> <p>The Bank Guarantee(s) shall be for the amount equal to the advance to be paid to the contractor.</p> <p>The advance payment shall not be an interest bearing advance.</p>



<b>Conditions</b>	<b>Sub-Clause</b>	<b>Data</b>
<b>Repayment amortization rate of advance payment</b>	14.2(b)	10 % Repayment will begin when amount of work certified by the Engineer attains 30% of the Contract Price or six months whichever is earlier.
<b>Percentage of Retention</b>	14.3	10 %
<b>Limit of Retention Money</b>	14.3	5 % of the Accepted Contract Amount
<b>Plant and Materials</b>	14.5(b)(i)	Not Applicable
	14.5(c)(i)	Not Applicable
	14.5(c)(ii)	On the following materials, subject to maximum of 2% of the accepted contract amount against Bank Guarantee of equal value, at any point of time, as required for utilization in the permanent works subject to maximum of 35% of the contract amount. 1. Reinforced Steel 2. Processed Aggregates complying with the relevant specifications.
<b>Minimum Amount of Interim Payment Certificates</b>	14.6	(i) Minimum INR 10 Million for the first three months. (ii) Minimum INR 30 Million from 4 <sup>th</sup> to 6 <sup>th</sup> month . (iii) Minimum INR 75 Million from 7 <sup>th</sup> and onwards. The contractor's work programme shall be in commensurate with the above stipulations.

<b>Conditions</b>	<b>Sub-Clause</b>	<b>Data</b>
<b>Publishing source of commercial interest rates for financial charges in case of delayed payment</b>	14.8	These financing charges shall be calculated at 1% over the PLR rate fixed by Reserve Bank of India to Commercial Banks for payment in local currencies (INR) and at 2% over the 3 month's London Inter Bank Offer Rate (LIBOR) for foreign currencies.
<b>Maximum total liability of the Contractor to the Employer</b>	17.6	The accepted contract amount
<b>Periods for submission of insurance:</b> a. evidence of insurance. b. relevant policies	18.1	14 days 28 days
<b>Maximum amount of deductibles for insurance of the Employer's risks</b>	18.2(d)	<i>US\$ 5000 or Rs. 250,000 per occurrence</i>
<b>Minimum amount of third party insurance</b>	18.3	<i>Rs. 50,00,000 per occurrence with unlimited number of occurrences</i>
<b>Date by which the DB shall be appointed</b>	20.2	90 days after the Commencement date
<b>The DB shall be comprised of</b>	20.2	Three Members from IRC panel
<b>List of potential DB sole members</b>	20.2	<i>None</i>
<b>Appointment (if not agreed) to be made by</b>	20.3	<i>Secretary General of Indian Roads Congress.</i>
<b>Rules of arbitration</b>	20.6(a)	<i>Rules and Procedures laid out for Arbitration &amp; Reconciliation Act, 1996 of Government of India or any amendment thereof.</i>

### Summary of Sections

The following table shows the time for completion and amount of delay damages to be paid by the Contractor for delaying completion of different sections. Such delay damage shall be recovered from the Contractor from the Interim Payment Certificates, in case the contractor fails to pay the delay damage due. In case the Contractor delays in achieving any of the milestone/milestones but completes the total work within total completion period, then the delay damages for delay in completion of a particular section paid by the Contractor/ recovered from the IPCs of the Contractor and withheld shall be refunded back to the Contractor.

<b>Section Name/Description (Sub-Clause 1.1.5.6)</b>	<b>Time for Completion (Sub-Clause 1.1.3.3)</b>	<b>Damages for Delay (Sub-Clause 8.7)</b>
Section-I: 14 Kms as mentioned in Cl. 2.1	14(Fourteen) Months from Commencement of Works	<u>INR. 309,000 per day</u>
Section-II: 11.00 Kms as mentioned in Cl. 2.1	18(Seventeen) Months from Commencement of Works	<u>INR. 243,000 per day</u>

## Part B - Specific Provisions

<p><b>Clause 1.5</b> <b>Priority of Documents</b></p>	<p>Delete sub paragraphs (a) to (i) in Sub-Clause 1.5 and substitute with the following:</p> <ul style="list-style-type: none"> <li>a. the Contract Agreement (if any),</li> <li>b. the Letter of Acceptance,</li> <li>c. the record of pre-award clarifications ( if any),</li> <li>d. the letter of Tender the addenda</li> <li>e. the Particular Conditions- Part-A-Contract Data</li> <li>f. the Particular Conditions-Part-B-Specific Provisions,</li> <li>g. the General Conditions</li> <li>h. Works Requirement <ul style="list-style-type: none"> <li>(a) Part-I General Specifications</li> <li>(b) Part-II-Supplimentary Technical Specifications including additional Technical Specifications.</li> <li>(c) Part-III-Technical Specifications for Building Work <ul style="list-style-type: none"> <li>i. the Drawings</li> </ul> </li> <li>(j) the Priced Bill of Quantities</li> <li>(k) the Environment Management Plan (EMP) and</li> <li>(l) any other documents forming part of the Contract</li> </ul> </li> </ul>
<p><b>Sub-Clause 4.1</b> <b>Contractor's</b> <b>General</b> <b>Obligations</b></p>	<p>Add the following at the end of 5<sup>th</sup> Paragraph.</p> <p style="text-align: center;">Working Drawings / fabrication drawings for temporary works (such as form work, staging, centering, scaffolding, specialized construction, handling and launching facilities and the like), material list for structural fabrication as well as detailed drawings for anchorage and temporary support details for pre-stressing cables as well as bar bending and cutting schedules for reinforcement etc. shall be prepared by the Contractor at his own cost and forwarded in triplicate to the Engineer at least four weeks in advance of actual constructional requirements. The Engineer will scrutinize and return one copy of the same for the Contractor's use with amendments, if any, noted in red ink within two weeks of submission. Such approval shall not relieve the Contractor of any of his responsibilities in connection with temporary works. The Contractor will supply six copies of the approved drawings for the Engineer's use.</p> <p style="text-align: center;"><b>The cost of preparing all such items of work shall be deemed to have been included in the respective rates/ prices quoted by the Contractor in the Bill of Quantities.</b></p>

	The Contractor shall ensure that his representative or Senior Site Representative attends all the periodic review meetings notified by the Engineer / Employer / Employer's Representative.
<b>Clause 4.2 Performance Security</b>	Substitute the words 'an entity and from within a country (or other jurisdiction)' starting in the 4th line of 2nd paragraph of Sub-Clause 4.2 with 'any Nationalized/ Scheduled Commercial Bank located in India or a Foreign Bank with counter guarantee from a Nationalized/ Scheduled Commercial Bank located in India'
<b>Clause 4.4 Sub-Contractors</b>	Replace the words 'the whole of Works' with 'more than 30% of the Works in terms of value including the Work subcontracted to'
<b>Clause 4.7 Setting Out</b>	Add the following paragraph after 1st paragraph of sub-Clause "The Contractor shall give to the Engineer not less than 48 (forty eight) hours notice of his intention to set out or give levels for any part of the Works so that timely arrangement may be made for checking or issuing instructions."
<b>Clause 4.14 Avoidance of Interference</b>	Add the following paras (c), (d) and (e) after para (b) as follows: (c) In case, any operation connected with traffic necessitated diversion, obstruction or closure of any road, railway or any other Right of Way, the approval of the Engineer or the Engineer's Representative and the concerned authorities shall be obtained well in advance by the Contractor. (d) The Contractor shall so conduct his operations as to have under construction no greater length or amount of work than he can carry out efficiently with due regard to the convenience of the public. (e) The Contractor shall maintain the existing road in its normal condition suitable for the season of the year, from the time he commences the work on Site until the completion of the works. <b>The Contractor's obligations shall be limited to the portions/ stretches/ structures handed over to him by the Employer.</b> Other stretches not handed over to him shall be maintained by the Employer till handing over.
<b>Sub-Clause 6.4 Staff and Labour</b>	Add the following at end of Sub-Clause 6.4:  The employees of the Contractor and the Sub-contractor shall in

	<p>no case be treated as the employees of the Employer at any point of time.</p> <p>Salient features of some major Labour Laws applicable to establishments engaged in Construction of Civil Works are given in Annexure A-1 for reference purpose.</p> <p>The contractor and sub contractor shall comply with the safety and welfare provisions as detailed in Annexure A-II</p>
<b>Sub-Clause 7.3 Inspection</b>	<p>Amend the first line of last para as follows: The Contractor shall give <b>“not less than 48 (forty eight) hours”</b> notice to the Engineer whenever any...</p>
<b>Clause 8.3 Programme</b>	<p>Insert the words ‘for his consent in five hard copies and 2 versions on compact discs’ after ‘Engineer’ in the 1st sentence of Sub-Clause 8.3.</p> <p>Delete sub paragraph (a) of Sub Clause 8.3 and replace with the following:</p> <p>(a) the order in which the Contractor intends to carry out the works including;</p> <ul style="list-style-type: none"> <li>i) A detailed works programme indicating the anticipated timing of each stage of construction.</li> <li>ii) A detailed mobilization programme with all principal mobilization events including the anticipated timing of procurement, delivery to site, construction, erection and commissioning, provision of facilities for the Employer’s and Engineer’s personnel, mobilization of key personnel, etc. This programme will provide the basis for the stage release of advance payments as prescribed under Clause 14.2.</li> </ul>
<b>Clause 10.1 Taking Over of Works and Sections</b>	<p>Add the following additional paragraph after second paragraph of Sub-Clause 10.1:</p> <p><b>‘Before the Works are taken over in accordance with this Clause 10, the Contractor shall supply all drawings as appropriate of the Work as-built.</b> Unless otherwise agreed, the Work shall not be considered to be completed for the purpose of Taking Over until such drawings have been approved by the Engineer and submitted to the Employer.’</p>
<b>Clause 12.3 Evaluation</b>	<p>Replace <b>‘0.25%’</b> with <b>‘1%’</b> in sub-para (a) (ii) of Sub-Clause 12.3.</p>

	<p>Add the following after sub-para (a) (iv):</p> <p>(a) (v) <b>No change in the rate for any item</b> contained in the BOQ shall be considered in case of any decrease in the actual quantity of work executed.</p>
<p><b>Sub-Clause 14.1</b> <b>The Contract Price</b></p>	<p><i>Add</i></p> <p>(e) Notwithstanding the provisions of subparagraph (b), Contractor's Equipment, including essential spare parts therefore, imported by the Contractor for the sole purpose of executing the Contract shall be temporarily exempt from the payment of import duties and taxes upon initial importation, provided the Contractor shall post with the customs authorities at the port of entry an approved export bond or bank guarantee, valid until the Time for Completion plus six months, in an amount equal to the full import duties and taxes which would be payable on the assessed imported value of such Contractor's Equipment and spare parts, and callable in the event the Contractor's Equipment is not exported from the Country on completion of the Contract. A copy of the bond or bank guarantee endorsed by the customs authorities shall be provided by the Contractor to the Employer upon the importation of individual items of Contractor's Equipment and spare parts. Upon export of individual items of Contractor's Equipment or spare parts, or upon the completion of the Contract, the Contractor shall prepare, for approval by the customs authorities, an assessment of the residual value of the Contractor's Equipment and spare part to be exported, based on the depreciation scale(s and other criteria used by the customs authorities for such purposes under the provisions of the applicable Laws. Import duties and taxes shall be due and payable to the customs authorities by the Contractor on (a) the difference between the initial imported value and the residual value of the Contractor's Equipment and spare parts to exported; and (b) on the initial imported value that Contractor's Equipment and spare parts remaining in the Country after completion of the Contract. Upon payment of such dues within 28 days of being invoiced, the bond or bank guarantee shall be reduced or released accordingly; otherwise the security shall be called in the full amount remaining.</p>
<p><b>Clause 14.13</b> Issue of Final Payment Certificate</p>	<p>Replace the word '28' with '42' in the 1st sentence of 1st paragraph of Sub-Clause 14.13</p> <p>Replace the word '28' with '42' in the last paragraph of Sub-</p>

	Clause 14.13
<b>Clause 14.15 Currencies of Payment</b>	<p>Replace sub paragraph (e) of sub-Clause 14.15 with the following:</p> <p>‘if no rates of exchange are stated in the Schedule of Payment Currencies, they shall be those prevailing on the Base Date and shall be average buying and average selling rate of the foreign currency determined by the Reserve bank of India.</p>
<b>17.2 Contractor’s Care of the Works</b>	<p>Add the following after end of para:</p> <p>(A) <u>Failure to Maintain the Road during construction</u></p> <p>The contractor’s obligations for maintenance of the road stretches shall be limited to the portions/ stretches/ structures handed over to him by the Employer. Other stretches not handed over to him shall be maintained by the Employer till handing over.</p> <p>In case of failure to execute the same, the following amount shall be deducted from the payment certificates of the contractor.</p> <ol style="list-style-type: none"> <li>1. Road Surface : In case of failure to maintain the road surface pot hole free, a penalty shall be levied at the rate Rs.1500/- per km per day.</li> <li>2. Shoulders : In case of failure to maintain the shoulders , a penalty shall be levied at the rate Rs.500/- per km per day.</li> </ol> <p>(B) <u>Failure to take up Road Safety measures during construction</u></p> <p>The Contractor has to follow all traffic safety measures as defined in the Technical Specifications. In case of failure to execute the same, the work shall be taken up by the department through other agency, and the following amount shall be deducted from the payment certificates of the contractor.</p> <p>Deduction for non-performance</p> <p><b>1.Diversion:-</b> (a) Rs. 1,20,000/- per location plus Rs.1500/- per day per location towards maintenance from the date of installation till removal of the diversion (b) A penalty of Rs.5000/- per day per location shall be imposed from the date of occurrence till installation of the safety</p>



	<p>items.</p> <p><b>2.Part Road Barricading:-</b> (a) Rs. 75,000/- per location of 250 mtr. or less plus Rs.1500/- per day per location towards maintenance from the date of installation till completion of the stretch (b) A penalty of Rs.5000/- per day per location shall be imposed from the date of occurrence till installation of the safety items.</p> <p>(C) <u>Failure to adhere to Environmental Mitigation Measures during construction</u></p> <p>The Contractor has to follow all Environmental Mitigation Measures as defined in the Technical Specifications . A penalty shall be levied at the rate indicated below for non-conformity of the following items.</p> <ol style="list-style-type: none"> <li>1. Not filling up of the post of Environment and Safety Officer- Penalty @ Rs. 50,000/- per month</li> <li>2. No proper sanitation &amp; waste disposal arrangements at the labour camp site- Penalty @ Rs. 10,000/- per single violation compounded to Rs. 50,000/- at any single instance</li> <li>3. No dust control measures at site- Penalty @ Rs. 5,000/- per location per single violation compounded to Rs. 50,000/- at any single instance</li> <li>4. No pollution and / or noise control of crusher, hot mix plant, batch mix plant- Penalty @ Rs. 10,000/- per single violation compounded to Rs. 50,000/- at any single instance</li> <li>5. Improper disposal of debris/ residues- Penalty @ Rs. 10,000/- per single violation compounded to Rs. 50,000/- at any single instance</li> <li>6. Spillage of oil at camp site not arrested- Penalty @ Rs. 10,000/- per single violation compounded to Rs. 50,000/- at any single instance</li> <li>7. Persons not using Personal Protective Equipments (PPE)- Penalty @ Rs. 200/- per single violation per person</li> <li>8. Burrow area/ quarry management not done- Penalty @ Rs. 10,000/- per location per instance.</li> </ol>
<p><b>Clause 20.6 Arbitration</b></p>	<p>Substitute sub paragraph (a) with the following:</p> <p>(a) A dispute with an Indian Contractor shall be finally settled by arbitration in accordance with the Arbitration &amp; Conciliation Act, 1996, or any statutory amendment thereof. The arbitral tribunal shall consist of 3 (three) Arbitrators, one each to be appointed by the Employer and the Contractor. The third Arbitrator shall be chosen by the two Arbitrators so appointed by the Parties and</p>

	<p>shall act as Presiding Arbitrator. In case of failure of the two Arbitrators, appointed by the parties to reach upon a consensus within a period of 30 days from the appointment of the arbitrator appointed in the last, the Presiding arbitrator shall be appointed by the Appointing Authority as specified in the Bid Data Sheet. For the purposes of this Sub-Clause, the term “Indian Contractor” means a Contractor who is registered in India and is a juridical person created under Indian law as well as a joint venture between such a Contractor and a Foreign Contractor.</p> <p><b>In case of a dispute with a Foreign Contractor, the dispute shall be finally settled in accordance with the provisions of UNCITRAL Arbitration Rules. For the purposes of this Sub-Clause, the term “Foreign Contractor” means a Contractor who is not registered in India and is not a juridical person created under Indian Law.</b></p>
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## Annexure-AI

### **Salient features of some major Labour Laws applicable to establishments engaged in Construction of Civil Works**

#### **(i) Workmen Compensation Act, 1923**

The Act provides for compensation in case of injury by accident arising out of and during the course of employment.

#### **(ii) Payment of Gratuity Act, 1972**

Gratuity is payable to an employee under the Act on satisfaction of certain conditions on separation if an employee has completed 5 years service or more or on death at the rate of 15 days wages for every completed year of service. The Act is applicable to all establishments employing 10 or more employees.

#### **(iii) Employees' PF and Miscellaneous Provisions Act, 1952**

The Act provides for monthly contributions by the employer plus workers @10 % or 8.33 %. The benefits payable under the Act are:

- (a) Pension or family pension on retirement or death as the case may be.
- (b) Deposit linked insurance on the death in harness of the worker.
- (c) Payment of PF accumulation on retirement/death etc.

#### **(iv) Maternity Benefit Act, 1951**

The Act provides for leave and some other benefits to women employees in case of confinement or miscarriage etc.

#### **(v) Contract Labour (Regulation and Abolition) Act, 1970**

The Act provides for certain welfare measures to be provided by the Contractor to contract labour and in case the Contractor fails to provide, the same are required to be provided by the Principal Employer by Law. The principal employer is required to take Certificate of Registration and the Contractor is required to take a License from the designated Officer. The Act is applicable to the establishments or Contractor of principal employer if they employ 20 or more contract labour.

#### **(vi) Minimum Wages Act, 1948**

The employer is supposed to pay not less than the Minimum Wages fixed by appropriate Government as per provisions of the Act if the employment is a scheduled employment. Construction of Buildings, Roads, and Runways are scheduled employment.

#### **(vii) Payment of Wages Act, 1936**

It lays down as to by what date the wages are to be paid, when it will be paid and what deductions can be made from the wages of the workers.

**(viii) Equal Remuneration Act, 1979**

The Act provides for payment of equal wages for work of equal nature to Male and Female workers and not for making discrimination against Female employees in the matters of transfers, training and promotions etc.

**(ix) Payment of Bonus Act, 1965**

The Act is applicable to all establishments employing 20 or more workmen. The Act provides for payments of annual bonus subject to a minimum of 8.33 % of wages and maximum of 20 % of wages to employees drawing Rs. 3,500/- per month or less. The bonus to be paid to employees getting Rs. 2,500/- per month or above up to Rs.3, 500/- per month shall be worked out by taking wages as Rs.2, 500/- per month only. The Act does not apply to certain establishments. The newly set up establishments are exempted for five years in certain circumstances. Some of the State Governments have reduced the employment size from 20 to 10 for the purpose of applicability of the Act.

**(x) Industrial Disputes Act, 1947**

The Act lays down the machinery and procedure for resolution of industrial disputes, in what situations a strike or lock-out becomes illegal and what are the requirements for laying off or retrenching the employees or closing down the establishment.

**(xi) Industrial Employment (Standing Orders) Act, 1946**

It is applicable to all establishments employing 100 or more workmen (employment size reduced by some of the States and Central Government to 50). The Act provides for laying down rules governing the conditions of employment by the employer on matters provided in the Act and get the same certified by the designated Authority.

**(xii) Trade Unions Act, 1926**

The Act lays down the procedure for registration of trade unions of workmen and employees. The trade unions registered under the Act have been given certain immunities from civil and criminal liabilities.

**(xiii) Child Labour (Prohibition and Regulation) Act, 1986**

The Act prohibits employment of children below 14 years of age in certain occupations and processes and provides for regulation of employment of children in all other occupations and processes. Employment of child labour is prohibited in Building and Construction Industry.

**(xiv) Inter-State Migrant Workmen's (Regulation of Employment and Conditions of Service) Act, 1979**The Act is applicable to an establishment, which employs 5 or more inter-state migrant workmen through an intermediary (who has

recruited workmen in one state for employment in the establishment situated in another state). The inter-state migrant workmen, in an establishment to which this Act becomes applicable, are required to be provided certain facilities such as housing, medical aid, travelling expenses from home upto the establishment and back, etc

**(xv) The Building and Other Construction Workers (Regulation of Employment and Conditions of Service) Act, 1996 and The Building and Other Construction workers Welfare Cess Act of 1996**

All the establishments who carry on any building or other construction work and employ 10 or more workers are covered under this Act. All such establishments are required to pay Cess @ 1% of the cost of construction as notified by the Labour and Employment Department, Government of Odisha vide Resolution dated December 15, 2008. The employer of the establishment is required to provide safety measures at the Building or Construction work and other welfare measures, such as Canteens, First-aid facilities, Ambulance, Housing accommodation for Workers near the workplace etc. The employer to whom the Act applies has to obtain a registration certificate from the Registering Officer appointed by the Government.

**(xvi) The Factories Act, 1948**

The Act lays down the procedure for approval of plans before setting up a factory, health and safety provisions, welfare provisions, working hours, annual earned leave and rendering information regarding accidents or dangerous occurrences to designated authorities. It is applicable to premises employing 10 persons or more with aid of power or 20 or more persons without the aid of power engaged in manufacturing process.

## Annexure-A II

### Safety & Welfare Provisions for labour to be employed by the Contractor

All necessary personal safety equipment as considered adequate by the Engineer shall be available for use of persons employed on the Site and maintained in a condition suitable for immediate use; and the Contractor shall take adequate steps to ensure proper use of such equipment by those concerned.

#### **1. Safety Provisions:**

The Contractor shall comply with all the precautions as required for the safety of the workmen.

(i) All workmen at site shall be provided with safety helmets and yellow/orange jackets. Workmen required on site during night hours shall be provided with fluorescent yellow jackets with reflective lopes.

(ii) Workers employed on mixing asphaltic materials, cement, lime mortars, concrete etc. shall be provided with protective footwear, protective goggles.

(iii) Those engaged in handling any material, which is injurious to the eyes, shall be provided with protective goggles.

(iv) Those engaged in welding works shall be provided with welder's protective eye-shield.

(v) Stone breakers shall be provided with protective goggles and protective clothing and seated at sufficiently safe intervals.

(vi) Suitable scaffolds shall be provided for workmen for all work that cannot safely be done from the ground, or from solid construction except for such short period work as can be done safely from ladders. When a ladder is used, an extra labourer shall be engaged for holding the ladder and if the ladder is used for carrying materials as well, suitable foot-holds and hand-holds shall be provided on the ladder, which shall be given an inclination not steeper than 1/4 to 1.

(vii) Scaffolding or staging more than 3.25 metres above the ground or floor, swung or suspended from an overhead support or erected with stationary support, shall have a guard rail properly attached, bolted, braced and otherwise secured at least 1 metre high above the floor or platform of such scaffolding or staging and extending along the entire length of the outside and ends thereof with only such openings as may be necessary for the delivery of materials. Such scaffolding or staging shall be so fastened as to prevent it from swaying from the support or structure.

(viii) Working platforms, gangways, and stairways shall be so constructed that they do not sag unduly or unequally, and if the height of any platform or gangway or stairway is more than 3.25 metres above ground level or floor level, it shall have closely spaced boards, have adequate width and be suitably provided with guard rails as described in (ii) above.

(ix) Every opening in the floor of a structure or in a working platform shall be provided with suitable means to prevent fall of persons or materials by providing suitable fencing or railing with a minimum height of one metre.

(x) Safe means of access and egress shall be provided to all working platforms and other working places. Every ladder shall be securely fixed. No portable single ladder shall be over 9 metres in

length. The width between side rails in a rung ladder shall in no case be less than 30 cm for ladders up to and including 3 metres in length. For longer ladders the width shall be increased at least 6 mm for each additional 30 cm of length. Spacing of steps shall be uniform and shall not exceed 30 cm.

(xi) Adequate precautions shall be taken to prevent danger from electrical equipment. No materials on any of the sites shall be so stacked or placed as to cause danger or inconvenience to any person or the public. The Contractor shall provide all necessary fencing and lights to protect the public from accidents and shall be bound to bear the expenses of defending every suit, action or other proceedings at law that may be brought by any person for injury sustained owing to neglect of the above precautions and to pay any damages and costs which may be awarded in any such suit, action or proceedings to any such person or which may with the consent of the Contractor be paid to compromise any claim by any such person.

(xii) Excavation and Trenching: All trenches, 1.5 metres or more in depth, shall at all times be supplied with at least one ladder for each 20 metres in length or fraction thereof. Ladders shall be extended from the bottom of the trench to at least 1 metre above the surface of the ground. The sides of a trench, which is 1.5 metres or more in depth shall be stepped back to provide a suitable slope, or be securely held by timber bracing so as to avoid the danger of side collapse. Excavated material shall not be placed within 1.5 metres of the edge of any trench or half the depth of the trench, whichever is more. Excavation shall be made from the top to the bottom. Under no circumstances shall undermining or undercutting be done.

(xiii) When workers are employed in sewers and manholes, which are in use, the Contractor shall ensure that manhole covers are open and manholes are ventilated at least for an hour before workers are allowed to go into them. Manholes so open shall be cordoned off with suitable railing and provide warning signals or boards to prevent accidents to the public.

(xiv) Demolition: Before any demolition work is commenced and also during the process of the work:

a) All roads and open areas adjacent to the work site shall either be closed or suitably protected.

b) No electric cable or apparatus, which is liable to be a source of danger other than a cable or apparatus used by operators, shall remain electrically charged:

c) All practical steps shall be taken to prevent danger to persons employed by the Employer, from risk of fire or explosion, or flooding. No floor, roof or other part of a building shall be so overloaded with debris or materials as to render it unsafe.

(xv) When work is performed near any place where there is risk of drowning all necessary equipment shall be provided and kept ready for use and all necessary steps taken for prompt first aid treatment of all injuries likely to be sustained during the course of the work.

(xvi) Use of hoisting machines and tackle including their attachments, anchorage and supports shall conform to the following:

(a) These shall be of good mechanical construction, sound material and adequate strength and free from patent defects and shall be kept in good working order be regularly inspected and properly maintained.

(b) Every rope used in hoisting or lowering materials or as a means of suspension shall be of durable quality and adequate strength, and free from defects.

(c) For every hoisting machine and every chain hook, shackle, swivel and pulley block used in hoisting, lowering or as means of suspension, safe working load shall be ascertained by adequate means. Every hoisting machine and all gear referred to above shall be plainly marked with safe working load. In case of a hoisting machine or a variable safe working load, each safe working load and conditions under which it is applicable shall be clearly indicated. No part of any machine or any gear referred to in the paragraph above shall be loaded beyond safe working load except for the purpose of testing

(xvii) Motors, gearing, transmission, electric wiring and other dangerous parts of hoisting appliances shall be provided with efficient safeguards; hoisting appliances shall be provided with such means as will reduce the risk of accident during descent of load to the minimum. Adequate precautions shall be taken to reduce to the minimum risk of any part of a suspended load becoming accidentally displaced. When workers are employed on electrical installations which are already energised, insulating mats, working apparel such as gloves, sleeves and boots, as may be necessary, shall be provided. Workers shall not wear any rings, watches and carry keys or other material which are good conductors of electricity.

(xviii) All scaffolds, ladders and other safety devices mentioned or described herein shall be maintained in a safe condition and no scaffold, ladder or equipment shall be altered or removed while it is in use. Adequate washing facilities shall be provided at or near places of work.

(xix) These safety provisions shall be brought to the notice of all concerned by displaying on a notice board at a prominent place at the work location. Persons responsible for ensuring compliance with the Safety Code shall be named therein by the Contractor.

(xx) To ensure effective enforcement of the rules and regulations relating to safety precautions, arrangements made by the Contractor shall be open to inspection by the Engineer or his Representative.

(xxi) Notwithstanding anything contained in condition (i) to (xv) above, the Contractor shall remain liable to comply with the provisions of all acts, rules, regulations and bylaws for the time being in force in India and applicable in this matter.

The Contractor shall be responsible for observance, by his sub-contractors, of the foregoing provisions.

## **2. Labour Welfare Provisions:**

### **(i) First Aid:**

At every workplace, there shall be maintained in a readily accessible place first aid appliances including an adequate supply of sterilised dressings and sterilised cotton wool as prescribed in the Factory Rules of the State in which the work is carried on. The appliances shall be kept in good order and, in large work places, they shall be placed under the charge of a responsible person who shall be readily available during working hours.

### **(ii) Accommodation for Labour:**

The Contractor shall during the progress of the work provide, erect and maintain necessary temporary living accommodation and ancillary facilities for labour at his own expense to standards and scales approved by the Engineer.



**(iii) Drinking Water:**

In every workplace, there shall be provided and maintained at suitable places easily accessible to labour, a sufficient supply of cold water fit for drinking.

Where drinking water is obtained from an intermittent public water supply each workplace shall be provided with storage tanks where drinking water shall be stored.

Every water supply storage shall be at a distance of not less than 15 metres from any latrine, drain or other source of pollution. Where water has to be drawn from an existing well, which is within such proximity of any latrine, drain or any other source of pollution, the well shall be properly chlorinated before water is drawn from it for drinking. All such wells shall be entirely closed in and be provided with a trap door, which shall be dust proof and waterproof.

A reliable pump shall be fitted to each covered well. The trap door shall be kept locked and opened only for cleaning or inspection, which shall be done at least once a month.

**(iv) Washing and Bathing Places:**

Adequate washing and bathing places shall be provided separately for men and women. Such places shall be kept in clean and drained condition.

**(v) Scale of Accommodation in Latrines and Urinals:**

There shall be provided within the precincts of every workplace, latrines and urinals in an accessible place, and the accommodation, separately for each for these, shall not be less than at the following scale:

No. of Seats

(a) Where number of persons does not exceed 50 2

(b) Where number of persons exceed 50 but does

not exceed 100 3

(c) For additional persons per 100 or part thereof 3

In particular cases, the Engineer shall have the power to increase the requirement, wherever necessary.

**(vi) Latrines and Urinals:**

Except in workplaces provided with water-flushed latrines connected with a water borne sewage system, all latrines shall be provided with dry-earth system (receptacles) which shall be cleaned at least four times daily and at least twice during working hours and kept in a strictly sanitary condition. Receptacles shall be tarred inside and outside at least once a year.

If women are employed, separate latrines and urinals, screened from those for men and marked in the vernacular in conspicuous letters "For women only", shall be provided. Those for men shall be similarly marked "For men only". A poster showing the figure of a man and a woman shall also be

exhibited at the entrance to latrines for each sex. There shall be adequate supply of water, close to latrines and urinals.

**(vii) Construction of Latrines:**

Inside walls shall be constructed of masonry or other non-absorbent material and shall be cement-washed inside and outside at least once a year. The dates of cement washing shall be noted in a register maintained for the purpose and kept available for inspection. Latrines shall have at least a thatched roof.

**(viii) Disposal of Excreta:**

Unless otherwise arranged for by the local sanitary authority, arrangement for proper disposal of excreta by incineration at the workplace shall be made by means of a suitable incinerator approved by the local medical health and municipal or cantonment authorities. Alternatively, excreta may be disposed of by putting a layer of night soils at the bottom of a pucca tank prepared for the purpose and covering it with a 15 cm layer of waste or refuse and then covering it with a layer of earth for a fortnight (when it will turn into manure).

The Contractor shall, at his own expense, carry out all instructions issued to him by the Engineer to effect proper disposal of soil and other conservancy work in respect of Contractor's work-purpose or employees on the site. The Contractor shall be responsible for payment of any charges, which may be levied by municipal or cantonment authority for execution of such work on his behalf.

**(ix) Provisions of shelters during rest:**

At every workplace, there shall be provided, free of cost, four suitable sheds, two for meals and two others for rest, separately for use of men and women labour. The height of each shelter shall not be less than 3 metres from floor level to lowest part of roof. Sheds shall be kept clean and the space provided shall be on the basis of at least 0.5 sq.m. per head.

**(x) Crèches:**

At a place where women are ordinarily employed, there shall be provided at least one hut for use of children under the age of 6 years belonging to such women. Huts shall not be constructed to a standard lower than that of thatched roof, mud floor and wall with wooden planks spread over mud floor and covered with matting.

Huts shall be provided with suitable and sufficient openings, for light and ventilation. There shall be adequate provision of sweepers to keep the places clean. There shall be a maidservant in attendance. Sanitary utensils shall be provided to the satisfaction of local medical, health a municipal or cantonment authorities. Use of huts shall be restricted to children, their attendants and mothers of children.

**(xi) Canteen:**

A cooked food canteen on a moderate scale shall be provided for the benefit of workers wherever it is considered necessary.

(xii) Planning, siting and erection of the above mentioned structures shall be approved by the Engineer or his Representative and the whole of such temporary accommodation shall at all times

during the progress of the Works be kept tidy and in a clean and sanitary condition to the satisfaction of the Engineer or his Representative and at the Contractor's expense. The Contractor shall conform generally to sanitary requirements of local medical, health and municipal or cantonment authorities and at all times adopt such precautions as may be necessary to prevent soil pollution of the Site.

On completion of the Works, the whole of such temporary structures shall be cleared away, all rubbish burnt, excreta or other disposal pits or trenches filled in and effectively sealed off and the whole of the site left clean and tidy, at the Contractor's expense, to the entire satisfaction of the Engineer.

**(xiii) Anti-malarial precautions:**

The Contractor shall, at his own expense, conform to all anti malarial instructions given to him by the Engineer, including filling up any borrow pits which may have been dug by him.

**(xiv) Awareness and Education of HIV/AIDS**

The contractor shall provide/carryout HIV/AIDS awareness and training programme to its labour and management, at least twice per year during the construction period.

**(xv) Child Labour Prohibition**

The contractor shall not employ Child Labour for any works or in any manner under the Contract at any time. In the event that the Contractor uses child labour, the Employer shall terminate the Contract.

**(xvi) Amendments:**

The Employer may, from time to time, add to, or amend these Rules and issue such directions as it may be considered necessary for the proper implementation of these Rules or for the purpose of removing any difficulty, which may arise in the administration thereof



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# Appendix to the Particular Conditions - Contract Forms

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## Notification of Award

### LETTER OF ACCEPTANCE

*[letterhead paper of the Employer]*

*[date]*

To: *[name and address of the Contractor]*

This is to notify you that your Bid dated *[date]* for execution of the *[name of the Contract and identification number, as given in the Contract Data]* for the Accepted Contract Amount of the equivalent of *[amount in numbers and words] [name of currency]*, as corrected and modified in accordance with the Instructions to Bidders, is hereby accepted by our Agency.

You are requested to furnish the Performance Security within 28 days in accordance with the Conditions of Contract, using for that purpose one of the Performance Security Forms included in Section IX, Annex to the Particular Conditions - Contract Forms, of the Bidding Document

Authorized Signature: \_\_\_\_\_

Name and Title of Signatory: \_\_\_\_\_

Name of Agency: \_\_\_\_\_

**Attachment: Contract Agreement**





## Contract Agreement

THIS AGREEMENT made the \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, between \_\_\_\_\_ of \_\_\_\_\_ (hereinafter "the Employer"), of the one part, and \_\_\_\_\_ of \_\_\_\_\_ (hereinafter "the Contractor"), of the other part:

WHEREAS the Employer desires that the Works known as \_\_\_\_\_ should be executed by the Contractor, and has accepted a Bid by the Contractor for the execution and completion of these Works and the remedying of any defects therein,

The Employer and the Contractor agree as follows:

1. In this Agreement words and expressions shall have the same meanings as are respectively assigned to them in the Contract documents referred to.
2. The following documents shall be deemed to form and be read and construed as part of this Agreement. This Agreement shall prevail over all other Contract documents.
  - (i) the Letter of Acceptance
  - (ii) the Letter of Bid
  - (iii) the addenda Nos \_\_\_\_\_ (if any)
  - (iv) the Particular Conditions
  - (v) the General Conditions;
  - (vi) the Specification
  - (vii) the Drawings; and
  - (viii) the completed Schedules,
3. In consideration of the payments to be made by the Employer to the Contractor as indicated in this Agreement, the Contractor hereby covenants with the Employer to execute the Works and to remedy defects therein in conformity in all respects with the provisions of the Contract.
4. The Employer hereby covenants to pay the Contractor in consideration of the execution and completion of the Works and the remedying of defects therein, the Contract Price or such other sum as may become payable under the provisions of the Contract at the times and in the manner prescribed by the Contract.

IN WITNESS whereof the parties hereto have caused this Agreement to be executed in accordance with the laws of \_\_\_\_\_ on the day, month and year indicated above.

Signed by \_\_\_\_\_ (for the Employer)

Signed by \_\_\_\_\_ (for the Contractor)

# Performance Security

## Option 1: (Demand Guarantee)

\_\_\_\_\_ [Bank's Name, and Address of Issuing Branch or Office]

**Beneficiary:** \_\_\_\_\_ [Name and Address of Employer]

**Date:** \_\_\_\_\_

**PERFORMANCE GUARANTEE No.:** \_\_\_\_\_

We have been informed that \_\_\_\_\_ [name of Contractor] (hereinafter called "the Contractor") has entered into Contract No. \_\_\_\_\_ [reference number of the contract] dated \_\_\_\_\_ with you, for the execution of \_\_\_\_\_ [name of contract and brief description of Works](hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, a performance guarantee is required.

At the request of the Contractor, we \_\_\_\_\_ [name of Bank] hereby irrevocably undertake to pay you any sum or sums not exceeding in total an amount of \_\_\_\_\_ [amount in figures]()[amount in words],<sup>1</sup> such sum being payable in the types and proportions of currencies in which the Contract Price is payable, upon receipt by us of your first demand in writing accompanied by a written statement stating that the Contractor is in breach of its obligation(s) under the Contract, without your needing to prove or to show grounds for your demand or the sum specified therein.

This guarantee shall expire, no later than the .... Day of ....., 2...<sup>2</sup>, and any demand for payment under it must be received by us at this office on or before that date.

<sup>1</sup> The Guarantor shall insert an amount representing the percentage of the Contract Price specified in the Contract and denominated either in the currency(cies) of the Contract or a freely convertible currency acceptable to the Employer.

<sup>2</sup> Insert the date twenty-eight days after the expected completion date. The Employer should note that in the event of an extension of the time for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Employer's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."

This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No. 458, except that subparagraph (ii) of Sub-article 20(a) is hereby excluded.

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*[signature(s)]*

***Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.***

## Option 2: Performance Bond

By this Bond \_\_\_\_\_ as Principal (hereinafter called "the Contractor") and \_\_\_\_\_] as Surety (hereinafter called "the Surety"), are held and firmly bound unto \_\_\_\_\_] as Obligee (hereinafter called "the Employer") in the amount of \_\_\_\_\_, for the payment of which sum well and truly to be made in the types and proportions of currencies in which the Contract Price is payable, the Contractor and the Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS the Contractor has entered into a written Agreement with the Employer dated the \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_, for \_\_\_\_\_ in accordance with the documents, plans, specifications, and amendments thereto, which to the extent herein provided for, are by reference made part hereof and are hereinafter referred to as the Contract.

NOW, THEREFORE, the Condition of this Obligation is such that, if the Contractor shall promptly and faithfully perform the said Contract (including any amendments thereto), then this obligation shall be null and void; otherwise, it shall remain in full force and effect. Whenever the Contractor shall be, and declared by the Employer to be, in default under the Contract, the Employer having performed the Employer's obligations thereunder, the Surety may promptly remedy the default, or shall promptly:

- (1) complete the Contract in accordance with its terms and conditions; or
- (2) obtain a Bid or bids from qualified Bidders for submission to the Employer for completing the Contract in accordance with its terms and conditions, and upon determination by the Employer and the Surety of the lowest responsive Bidder, arrange for a Contract between such Bidder and Employer and make available as work progresses (even though there should be a default or a succession of defaults under the Contract or Contracts of completion arranged under this paragraph) sufficient funds to pay the cost of completion less the Balance of the Contract Price; but not exceeding, including other costs and damages for which the Surety may be liable hereunder, the amount set forth in the first paragraph hereof. The term "Balance of the Contract Price," as used in this paragraph, shall mean the total amount payable by Employer to Contractor under the Contract, less the amount properly paid by Employer to Contractor; or
- (3) pay the Employer the amount required by Employer to complete the Contract in accordance with its terms and conditions up to a total not exceeding the amount of this Bond.

The Surety shall not be liable for a greater sum than the specified penalty of this Bond.

Any suit under this Bond must be instituted before the expiration of one year from the date of the issuing of the Taking-Over Certificate.

No right of action shall accrue on this Bond to or for the use of any person or corporation other than the Employer named herein or the heirs, executors, administrators, successors, and assigns of the Employer.

In testimony whereof, the Contractor has hereunto set his hand and affixed his seal, and the Surety has caused these presents to be sealed with his corporate seal duly attested by the signature of his legal representative, this \_\_\_\_\_ day of \_\_\_\_\_ 20 \_\_\_\_.

SIGNED ON \_\_\_\_\_ on behalf of \_\_\_\_\_

By \_\_\_\_\_ in the capacity of \_\_\_\_\_

In the presence of \_\_\_\_\_

SIGNED ON \_\_\_\_\_ on behalf of \_\_\_\_\_

By \_\_\_\_\_ in the capacity of \_\_\_\_\_

In the presence of \_\_\_\_\_

# Advance Payment Security

## Demand Guarantee

\_\_\_\_\_ [Bank's Name, and Address of Issuing Branch or Office]

**Beneficiary:** \_\_\_\_\_ [Name and Address of Employer]

**Date:** \_\_\_\_\_

**ADVANCE PAYMENT GUARANTEE No.:** \_\_\_\_\_

We have been informed that \_\_\_\_\_ [name of Contractor] (hereinafter called "the Contractor") has entered into Contract No. \_\_\_\_\_ [reference number of the contract] dated \_\_\_\_\_ with you, for the execution of \_\_\_\_\_ [name of contract and brief description of Works] (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, an advance payment in the sum \_\_\_\_\_ [amount in figures]()[amount in words] is to be made against an advance payment guarantee.

At the request of the Contractor, we \_\_\_\_\_ [name of Bank] hereby irrevocably undertake to pay you any sum or sums not exceeding in total an amount of \_\_\_\_\_ [amount in figures]()[amount in words]<sup>1</sup> upon receipt by us of your first demand in writing accompanied by a written statement stating that the Contractor is in breach of its obligation under the Contract because the Contractor used the advance payment for purposes other than the costs of mobilization in respect of the Works.

It is a condition for any claim and payment under this guarantee to be made that the advance payment referred to above must have been received by the Contractor on its account number \_\_\_\_\_ at \_\_\_\_\_ [name and address of Bank].

The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Contractor as indicated in copies of interim statements or payment certificates which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of a copy of the interim payment certificate indicating that eighty (80) percent of the Contract Price has been certified for payment, or on the \_\_\_ day of \_\_\_\_\_,

<sup>1</sup> The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency(ies) of the advance payment as specified in the Contract, or in a freely convertible currency acceptable to the Employer.

2\_\_\_\_, <sup>2</sup> whichever is earlier. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date..

This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No. 458.

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*[signature(s)]*

***Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.***

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<sup>2</sup> *Insert the expected expiration date of the Time for Completion. The Employer should note that in the event of an extension of the time for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Employer's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."*



## Retention Money Security

### Demand Guarantee

\_\_\_\_\_ *[Bank's Name, and Address of Issuing Branch or Office]*

**Beneficiary:** \_\_\_\_\_ *[Name and Address of Employer]*

**Date:** \_\_\_\_\_

**RETENTION MONEY GUARANTEE No.:** \_\_\_\_\_

We have been informed that \_\_\_\_\_ *[name of Contractor]* (hereinafter called "the Contractor") has entered into Contract No. \_\_\_\_\_ *[reference number of the contract]* dated \_\_\_\_\_ with you, for the execution of \_\_\_\_\_ *[name of contract and brief description of Works]* (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, when the Taking-Over Certificate has been issued for the Works and the first half of the Retention Money has been certified for payment, payment of *[insert thesecond half of the Retention Moneyorifthe amount guaranteed under the Performance Guarantee when the Taking-Over Certificate is issued is less than half of the Retention Money,thedifference between half of the Retention Money and the amount guaranteed under the Performance Security]* is to be made against a Retention Money guarantee.

At the request of the Contractor, we \_\_\_\_\_ *[name of Bank]* hereby irrevocably undertake to pay you any sum or sums not exceeding in total an amount of \_\_\_\_\_ *[amount in figures]()[amount in words]*<sup>1</sup> upon receipt by us of your first demand in writing accompanied by a written statement stating that the Contractor is in breach of its obligation under the Contract because the Contractor used the advance payment for purposes other than the costs of mobilization in respect of the Works.

It is a condition for any claim and payment under this guarantee to be made that the payment of the second half of the Retention Money referred to above must have been received by the

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<sup>1</sup> *The Guarantor shall insert an amount representing the amount of the second half of the Retention Money or or if the amount guaranteed under the Performance Guarantee when the Taking-Over Certificate is issued is less than half of the Retention Money, the difference between half of the Retention Money and the amount guaranteed under the Performance Security and denominated either in the currency(ies) of the second half of the Retention Money as specified in the Contract, or in a freely convertible currency acceptable to the Employer.*

Contractor on its account number \_\_\_\_\_ at \_\_\_\_\_ [*name and address of Bank*].

This guarantee shall expire, at the latest, 21 days after the date when the Employer has received a copy of the Performance Certificate issued by the Engineer. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No. 458.

\_\_\_\_\_  
*[signature(s)]*

***Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.***

**ICB No: OSRP-CW- ICB-P04A1**  
**[INTERNATIONAL COMPETITIVE BIDDING]**

***Construction for Widening & Strengthening of  
Existing Carriageway to 2-lane road from  
Jagatpur to Nischintakoili***

*(Km. 0/0 to Km. 25/0 of MDR)(Balance Works)*

**Project: Odisha State Roads Project**

**Annexure-II**

*(Scope of Works & Technical Specifications)*

**Employer:** Works Department, Government of Odisha,  
Bhubaneswar, INDIA



# Scope of Works

## 1. BACKGROUND

- 1.1 The Government of Odisha through Government of India has signed for financial assistance in the form of a Loan from the International Bank for Reconstruction and Development (the World Bank) for the Odisha State Roads Project. Part of this financial assistance will be applied towards civil works for the widening and strengthening of about 310 km of State Highways (SH).
- 1.2 The executing agency for the Odisha State Road Project is the Works Department, Odisha (OWD). The OWD has established a Project Management Unit (PMU) exclusively to be in-charge of the Odisha State Road Project. The PMU is headed by the Chief Engineer, World Bank Projects, Odisha assisted by appropriate professional and support staff.
- 1.3 The proposed construction packages to be taken up is as follows:

Sl.	Package No.	Name of the Road	Approximate Length of Construction in Km	Period of Construction
1	OSRP-CW-ICB-P04A-1	Jagatpur to Nischintakoili - Km 0/0 to Km 25/0 MDR ( Balance work).	25 kms	18 Months

### Geographical & Climatic Information

#### 1.3.1 Jagatpur-Nischintakoili

This road is located in the eastern part of Odisha. The total length of the road in this package, is 25 km and the road passes through highly fertile paddy fields. The terrain is plain. The stretch of road is located in Cuttack and Kendrapara district. This area experiences rainfall of average 1600mm per annum and bulk of the rainfall occurs during June to October, which is the monsoon period in Odisha. Generally, the area experiences moderate to severe cyclonic storms between October to November. The ground water is available almost at the agricultural field level. Highest temperature in the area during, March to May is 45 degree Celsius and the temperature dips to the lowest of 10 degree Celsius during winter season.

This project stretch passes through Jagatpur, Salipur, Sukarpada, and upto Nischintakoili which are major villages/semi urban area. Principal rivers in the area are river Mahanadi, which runs parallel to the project road for certain distance.

## 2.0 WORKS

The civil works will comprise of the following, as required, mostly on existing alignments.

- a. Improvement of road geometry;
- b. Raising & Widening of embankments considering drainage and road geometry
- c. Sub base, base and bituminous pavement with limited concrete pavements in built up areas and toll plazas;
- d. Widening of carriageway and shoulders;
- e. Improvement of side drainage & improvement to or replacement and widening of culverts;
- f. Repair and rehabilitation of bridges;
- g. Construction of new Culverts and Bridges;
- h. Traffic safety features;
- i. Road signs and road markings;
- j. Environmental protection and management measures during construction stage;
- k. Traffic diversion and management during the construction;
- l. Routine Maintenance of Project Corridors during the construction period;
- m. Construction and maintenance of Diversion roads

The Chief Engineer, World Bank Projects, Odisha on behalf of the Government of Odisha in Works Department, now wishes to engage a Contractor/ Joint Venture of Construction Firms of multi-disciplinary international and local expertise (hereafter the “Contractor”), to carry out construction work of the proposed project in accordance with the conditions of Contract.

The supervision of the civil works will be carried out by qualified consultants with satisfactory experience in implementing projects of similar nature and size.

### 3. REPORTING REQUIREMENTS

The Contractor shall prepare and submit to the Employer along with soft copy of each of the following reports:

SI	Document	Number of Copies	Time
1	Work Programme	3	Four weeks after commencement of Works.
2	RFI Database month wise in the Interim Payment Applications.	3	Four weeks after commencement of Works.
3	Sectional/Substantial Completion Reports*	3	After substantial completion

#### **\*SECTIONAL AND SUBSTANTIAL / FINAL COMPLETION REPORTS**

*The Contractor will prepare a comprehensive final Completion Report for each defined section of the construction contract, after such sections reach a stage of substantial completion during the period of the services. These reports must be submitted immediately after the completion of the work by the contractor and before taking over of such sections by the Employer. The report shall incorporate summary of the method of construction, as built construction drawings, problems encountered & solutions undertaken thereon.*

# Technical Specification

## GENERAL TECHNICAL REQUIREMENTS

### 1.0. GENERAL REQUIREMENTS

The Technical Specifications in accordance with which the entire work described hereinafter shall be constructed and completed by the Contractor, and comprise of the following:

#### 1.1 PART – I - General Technical Specifications

The General Technical Specifications shall be the “SPECIFICATIONS FOR ROAD AND BRIDGE WORKS” (FIFTH REVISION – 2013), as corrected in the original issued by the Ministry of Shipping , Road Transport & Highways(MORTH), Government of India and published by the Indian Roads Congress (IRC), with a cross reference to relevant Bureau of Indian Standards (BIS) for materials or other aspects not covered by the IRC.

#### 1.2 PART - II - Supplementary Technical Specifications

The Supplementary Technical Specifications shall comprise various Amendments/Modifications/Additions to the “SPECIFICATIONS FOR ROAD AND BRIDGE WORKS” referred to in PART - I above and also **Additional Specifications** for particular item of works not already covered in PART-I.

- 1.2.1 A particular Clause or a part thereof in “SPECIFICATIONS FOR ROAD AND BRIDGE WORKS (FIFTH REVISION – 2013)” as corrected in the original referred in PART - I above, where Amended/Modified/Added upon, and incorporated in PART-II, referred to above. Such Amendment/Modification/Addition supersedes the relevant Clause or part of the Clause.
- 1.2.2 When an Amended/Modified/Added Clause supersedes a Clause or part thereof in the said Specifications, then any reference to the superseded Clause shall be deemed to refer to the Amended/Modified/Added Clause or part thereof.
- 1.2.3 In so far as Amended/Modified/Added Clause may come in conflict or be inconsistent with any of the provisions of the said Specifications under reference, the Amended/Modified/Added Clause shall always prevail.
- 1.2.4 The Additional Specifications shall comprise specifications for particular item of works not already covered in PART - I.
- 1.2.5 The Sub-Clauses of the following Sections in the “Specifications for Road and Bridge Works (FIFTH REVISION – 2013) have been amended/modified/added upon 100, 300, 400, 500, 600, 800, 900, 1000, 1500 & 2800.

**1.2.6** In the absence of any definite provisions on any particular issue in the aforesaid Specifications, reference may be made to the latest codes and specifications of IRC and IS in that order. Where even these are silent, the construction and completion of the works shall conform to sound engineering practice as approved by the Engineer and in case of any dispute arising out of the interpretation of the above, the decision of the Engineer shall be final and binding on the Contractor.

**1.2.7** The provisions of special conditions of contract, those specified elsewhere in the tender document, as well as execution drawings and notes, or other specifications issued in writing by the Engineer shall form part of the technical specifications of this project.

**1.3 PART – III- Specifications for Building Works**

**1.4 PART – IV- Specifications and Guidelines for Environment Mitigation Plan**



## **PART – I**

The General Technical Specifications shall be the “SPECIFICATIONS FOR ROAD AND BRIDGE WORKS” (FIFTH REVISION – 2013), as corrected in the original issued by the Ministry of Road Transport & Highways(MORTH), Government of India and published by the Indian Roads Congress (IRC), with a cross reference to relevant Bureau of Indian Standards (BIS) for materials, testing acceptance or other such aspects not covered by the IRC.

## **PART- II**

### **SUPPLEMENTARY TECHNICAL SPECIFICATION**

#### **(AMENDMENTS/ ALTERATIONS/ MODIFICATIONS/ ADDITIONS/DELETIONS TO EXISTING CLAUSES OF GENERAL TECHNICAL SPECIFICATIONS-PART-I)**

#### **SECTION 100            GENERAL**

#### **Clause 102            Definitions**

The following abbreviations shall be added in this Clause.

- “MORTH”        - Ministry of Road Transport & Highways
- “WBM”            - Water Bound Macadam
- “WMM”           - Wet Mix Macadam
- “MDD”           - Maximum Dry Density (as per IS: 2720-Part 8)
- “OMC”            - Optimum Moisture Content

Wherever in the Specification, the phrase “Condition of Contract” is Mentioned, it shall mean Conditions of Contract part-I and II Contained in Section.. of Bidding Documents.

#### **Clause 103            Add at the end of the clause**

The latest edition of these standards or any other relevant standards till 30 (thirty) days before the final date of submission of the tender shall be adopted.

#### **Clause 105            Scope of Work**

#### **Clause 105.3        Add the following below the existing clause**

The contractor shall establish, adhere to monitor and maintain an adequate Quality Management Plan (QMP).

The QMP shall provide input to the overall project management plan and shall include quality control, quality assurance, and continuous process improvement approaches for the project. The QMP shall cover the quality assurance aspects of all services rendered, all items to be supplied and all construction activities to be performed under the Contract, also including temporary structures and equipment which will influence the quality of the completed works or the progress of the Contract.

The QMP shall provide input to the overall project management plan and shall include Quality Control Checklists, Quality Assurance Plan, and continuous process improvement approaches for the project. The QMP shall be reviewed by the Engineer to ensure that decisions are based on accurate information and to assure reduction of cost and

schedule overruns caused by *rework*. The Contractor's Quality Assurance Plan describes the methods and procedures which the Contractor will apply for the execution of the Contract, including how the contractor will:

- (a) identify the quality requirements specific to the contract,
- (b) plan and execute the work to satisfy those requirements
- (c) inspect and/or test the work to ensure compliance with the quality requirements
- (d) ensure strict document control and structured filing of contract administration documents
- (e) record and monitor the results as evidence of compliance
- (f) monitor the material supply and delivery processes;
- (g) ensure the ability to trace materials incorporated in the works;
- (h) undertake testing and measurement requirements;
- (i) provide evidence of testing apparatus being recently calibrated;
- (j) demonstrate manufacturer's specification confirming compliance of materials;
- (k) record of required testing, measurement and design sheets;
- (l) document all non-conformances and ensure that prompt action is taken to correct non-compliance.

The Contractor's Quality Management Plan must clearly describe the systems, procedures and methods that will be used to deliver and monitor compliance of the Services.

The QMP shall also cover subjects listed below:

- Organization and Management Responsibility
- Document and data control
- Construction programme
- Method statements
- Process Control
- Working, inspection, testing and documentation procedures
- Safety and emergency procedures
- Control and documentation of purchasing and handling of materials
- Non-conformity and corrective action.
- Internal quality audits
- Servicing
- Education and training of staff
- Site Environment Plan

The general procedures of the QMP shall be submitted to the Employer and Engineer for approval not later than TWENTY EIGHT DAYS after the date of receipt of letter of acceptance. The special part of the QMP shall be submitted successively to the effect that it shall have been approved prior to the commencement of the activities to which the program shall apply.

**Clause 105.4** Add the following sentence

“If the Quality Assurance plan of the project as finalized and approved by the Engineer demands other time schedule for various submissions and approvals, the QA plan requirement will prevail”.

**Clause 107** **Contract Drawings**

**Clause 107.3** **Add the following after the end of the para**

After careful study of the drawings issued by the Engineer, the Contractor shall, prepare, where necessary all supplementary and working drawings with necessary field/construction information and check for adequacy of construction methods and procedure etc. and shall submit the same to the engineer for approval prior to construction. Engineer shall be given not less than 7 days for review of these supplementary/working drawings and as directed, the contractor shall modify the drawings incorporating the comments and requirements of the Engineer.

**Clause 111** **Precautions for Safeguarding the Environment**

This whole clause shall be modified by following.

**Clause 111.1** **General**

**The clause shall be read as follows**

The contractor shall take all precautions for safeguarding the environment during the course of the construction of works. He shall abide by all rules, regulations and laws in force governing pollution and environmental protection that are applicable to the area where the works are situated.

On completion of the Works, all areas disturbed by the Contractor's construction activities shall be restored in their original condition, or as per the plan agreed prior to commencement of construction activities.

The cost of this work shall be deemed to be included in the rates, unless specifically mentioned in the contract.

**Clause 111.2 Borrow pits for Embankment Construction****The clause shall be read as follows**

Borrow pits shall not be dug within the Right-of-Way of the road. The contractor will submit a borrow area management plan before opening up any borrow area to ensure the schedules of his excavation activities, safety arrangements during operation and rehabilitation after closure of the borrow pit. The contractor shall operate strictly adhering to the Borrow Area Management Plan.

The Contractor will ensure that proper excavation techniques are used to improve stability and safety of the borrow area. The excavation shall be carried out in such a way that the area does not inundate during monsoons and generate cesspools of water for breeding site. The stipulations in Clause- 305.2.2 shall govern.

The cost of such safety and rehabilitation work shall be deemed to be included in the rates, unless specifically mentioned in the contract. Failure to adhere to the Environmental Mitigation Measures during construction will attract penalty as mentioned in the Contract data serial no. 37(c).

**Clause 111.3 Quarry Operations****The clause shall be read as follows**

The contractor shall obtain material from licensed quarries only after the consent of the Revenue department or other concerned authorities. The quarry operations shall be undertaken within the purview of the rules and regulations in force. Contractor shall ensure scheduling the movement of transport carrying material to and from site during non-peak hours. The contractor will ensure the schedules of his activities, safety arrangements during operation and rehabilitation after closure of the quarry. The contractor shall operate strictly adhering to the Borrow Area Management Plan.

The trucks carrying all types of construction material shall be covered with tarpaulin to prevent spillage and air pollution. Stockpiling of material shall be properly planned so as to ensure that no traffic jam takes place on the highway. In no case overloading than the allowable capacity of vehicle shall be permitted.

The cost of such safety and rehabilitation work shall be deemed to be included in the rates, unless specifically mentioned in the contract. Failure to adhere to the Environmental Mitigation Measures during construction will attract penalty as mentioned in the Contract data serial no. 37(c).

**Clause 111.5                      Pollution from Hot Mix Plants and Batching Plants**

**Add the following paragraph at the end of this Sub-clause.**

The contractor shall ensure that noise, vibrations and emission conforms to the regulatory norms and be fitted with dust extraction unit. Failure to adhere to the norms will attract penalty as mentioned in the Contract data serial no. 37(c).

**Clause 111.6                      Substances hazardous to health**

**Add the following as 111.6.1 & 111.6.2**

**Clause 111.6.1                    Precautions against Toxic Chemicals**

The storage and use of any herbicide or other toxic chemical shall be strictly in accordance with the manufacturer's instructions. The Engineer shall be given at least 7 working days' notice of the proposed use of any herbicide or toxic chemical.

A register of all herbicides and other toxic chemicals delivered to the site shall be kept and maintained up to date by the contractor. The register shall include name physical properties and characteristics, chemical ingredients, health and safety hazard information, safe handling and storage procedures, and emergency and first aid procedures for the product.

**Clause 111.6.2                    Precautions against generation of hazardous materials**

The contractor shall not use or generate any material in the process work, which are hazardous to the health of persons, animals or vegetation. Where it is necessary to use some substance, which can cause injury to the health of the workers, the contractor shall provide suitable clothing or appliances to his workers, viz. ear plugs, helmets or dust masks or any other suitable devices.

**Clause 112                         Arrangement for Traffic during Construction****Clause 112.1                      General**

**Delete the last sentence and add the following**

“One week before undertaking work which would involve any obstruction whatsoever to traffic, the Contractor shall submit, for the Engineer's approval, a Traffic Management Plan.

The plan shall include:

- i) Typical drawing for temporary diversions
- ii) Typical details of arrangements for construction under traffic including details of traffic arrangements proposed to be in place after the cessation of work each day.

Special consideration shall be given in the preparation of the Traffic Control Plan for the safety of pedestrian and works delineation of the roadway at night.

Temporary diversions will be constructed only with the approval of the Engineer.

**Clause 112.2 Passage of Traffic along a part of the Existing Carriage way under improvement:**

**The clause shall be read as follows**

- i. If the existing road is used as diversion, then contractor will maintain it at his cost only.
- ii. For widening /strengthening existing carriageway where part width of the existing carriageway is proposed to be used for passage of traffic, treated shoulders / widened portion of the road shall be provided for passage of traffic on the side on which work is not in progress. The treatment to the shoulder/ widened portion of the road shall consist of providing at least 150mm thick granular base course(with crushed stone / blast furnaces slag) to provide a temporary carriageway of at least 5M and such treated shoulder / widened portion of the road shall be maintained throughout the period during which traffic uses the same to the satisfaction of the Engineer. The continuous length, in which such work shall be carried out, would be limited normally to 500M at a place. However, where work is allowed by the Engineer, in the longer stretches, passing places at least 20M long with additional paved width of 5M shall be provided at every 0.5 KM interval.

After the works are completed, with the approval of the Engineer, the temporary passages shall be dismantled, the debris disposed of and the area cleared as per the direction of the Engineer.

**Clause 113 General Rules for the Measurement of Works for Payment:**

**Clause 113.2 Measurements for Lead of Materials**

**Delete this Clause and replace with**

“The rates in the Bill of Quantities are deemed to include the costs of haulage from source of supply to the plant as well as to the construction site as the case may be for all materials required for the Works.”

**Clause 114 Scope of Rates for Different Items of Work****Clause 114.2 Add Below Item (ii) of clause 114.2**

The Contractor shall submit the request for Inspection, test reports, measured levels, measurement sheets, payment applications via electronic media/ in a computerized system to the Engineer in a format approved by the Engineer.

**Add the following as item (xix) of the sub-clause 114.2**

Cost of all provisions for executing the work safely including all protective clothing, barriers, earplugs, shoes, helmets etc.

**Clause 114.4 Add the following new Clause as 114.4**

If any work executed by the Contractor does not meet the specifications, it shall be deemed as rejected. The Engineer, in his sole discretion, may consider a proposal by the Contractor to retain, the element or part of the structure. The Contractor’s proposal shall be supported by calculations, drawings and other data to prove the soundness of the proposal and shall clearly describe the additional measures required to ensure the intended performance of the structure. Rate/ price for the rehabilitation structure shall be settled mutually between the Engineer and the Contractor and in case of failure to arrive at an agreed rate. The Engineer’s decision regarding the rate shall be final and binding.

**SECTION 300 EARTH WORK, EROSION CONTROL AND DRAINAGE****Clause 301 Excavation for Roadway and Drains****Clause 301.3.7 This clause shall be read as under:**

“In works involving widening of existing pavements or providing paved shoulders, the existing shoulder/verge/ median shall be removed to its full width. The sub-grade material within 0.2m deep from the lowest part of the pavement for widened portion or paved shoulders shall be loosened and re-compacted as per Clause 305 to a density not less than 97% of maximum dry density determined according to Is: 2720 (Part 8). Any unsuitable material encountered in this portion of



subgrade shall be removed and replaced with suitable material and compacted in accordance with Clause 305”.

**Clause 301.3.11 Use and Disposal of excavated materials**

Add at the end of para

Unsuitable and Surplus material which in the opinion of the Engineer cannot be used in the works, shall be removed from site by the Contractor and disposed of at the nearest pit or other approved disposal location **with all lead and lifts** in accordance with all statutory requirements.”

**Clause 301.8 Add item No.v in table**

(v) Disposal of surplus material with all lead and lifts ...cum’

**Clause 301.9 Rates**

**Clause 301.9.2 This Clause shall read as under**

“The Contractor unit rate for loosening and re-compacting at sub-grade level shall include full compensation for loosening to the specified depth, removing the loosened soil outside the roadway excavation, rolling the surface below, breaking the clods, spreading the excavated soil in layers watering where necessary and compacting to the requirements.”

**Clause 305 Embankment Construction**

**Clause 305.2.1.1** Delete the words “subgrades and earthen shoulders” from the first line.

**Add the following at the end of first paragraph**

The material to be used in the subgrades shall be moorum/gravelly soil.

**Clause 305.2.1.5** Add the following at the end of Note (2)

The material for subgrade shall satisfy the requirement of 4 day soaked design **CBR of 10% or more**, when tested as per IS: 2720 (Part 16) at 97% of maximum laboratory dry density (IS:2720-Part 8).

**Clause 306 Soil Erosion and Sedimentation Control**

**Clause 306.4** Measurement for payment

**Substitute Clause 306.4 as follows**

“ All temporary sedimentation and pollution control works shall be deemed as incidental to the earthwork and other items of work and as such no separate payment shall be made for the same.”

**Clause 306.5 Rates**

**This Clause shall be deleted**

**SECTION 400 SUB-BASES, BASES (NON-BITUMINOUS) AND SHOULDERS****Clause 401 GRANULAR SUB BASE****Clause 401.2 Materials**

Replace the clause with the following.

The Materials to be used for the work shall be crushed stone aggregate and **crusher run screening** only. The materials shall be free from organic or other deleterious constituent and confirm to Grading V of Table 400-1.

**Clause 406. WET MIX MACADAM SUB-BASE/BASE****Clause 406.2.1.1 Physical requirements:**

**Add at the end of first paragraph**

The fraction of materials passing through 4.75 mm sieve shall be crusher run screening only. The river sand or quarry sand shall not be permitted either as such or mixed with crusher-run-screening in the Wet Mix Macadam.

Add the following at the end of the paragraph:

Soundness test shall be carried out in accordance with IS : 2386 (Part-5). The average loss of weight of coarse aggregate after 5 cycles shall not exceed 12% when tested with sodium sulphate and 18% when tested with magnesium sulphate as specified in IS: 383.

**Clause 406.3. CONSTRUCTION OPERATIONS****Clause 406.3.1. Preparation of base**

404.3.1 shall be applicable by replacing the work “Water Bound Macadam” by “Wet Mix Macadam”.

**Clause 406.3.3 Add the following at end of 2<sup>nd</sup> para**

Unless otherwise instructed by the Engineer , the moisture content at the time of compaction shall be between 80% and 120% of the optimum moisture content

**Clause 406.3.4 Add after the second para with the following:**

All the layers of WMM course shall be spread only by a paver finisher and compacted as per clause no. 406.3.5.

**Clause 406.3.5. Delete second sentence of first para.**

**Substitute para 7 of this clause as follows :**

Rolling shall be continued till the density achieved over the full thickness of the material laid is at least 98% of the maximum dry density as determined by the method outlined in I.S.:2720(part 8) and satisfies the requirements of Sub Clause 903.3.

**Clause 408 SHOULDERS, ISLANDS AND MEDIAN**

**Clause 408.2 Materials**

**Add after first para as follows**

The hard shoulder shall consists of minimum 150mm thick granular/ moorum layer having liquid limit less than 25% and PI between 3% to 6%.

**Replace second para with :**

Median/Traffic islands shall be raised and kerbed at the perimeter and the enclosed area filled with agriculture soil and suitably covered with grass turf/shrubs as per clause 307 and/or paved as per clause 410.3.4 or 410.3.5.

**Clause 408.4 Construction Operations**

**Clause 408.4.1 Shoulder**

**Add as follows after para 4**

The hard shoulder shall be compacted as per table 300-2.

- Clause 501**                    **General Requirement for Bituminous Pavement Layers.**
- Clause 501.3**                Replace the Phrase “ in a hot mix plant” with “ in a batch type hot mix plant only ( in no case material from drum mix type hot mix plant shall be entertained)” in the first line.
- Clause 503**                    **Tack Coat**
- Clause 503.8**                **Rate**  
**Replace second sentence as**  
**The rate shall cover provision of binder for tack coat at 0.25kg per square meter for granular surface and 0.2 kg per square meter for normal bitumen surfaces** with provision that the variation between this quantity and actual quantity of bitumen will be assessed and the payment adjusted accordingly.
- Clause 505**                    **Dense Bituminous Macadam**
- Clause 505.2.5**                Aggregate grading and binder content
- Clause 505.2.5.1**            **Add after the 1<sup>st</sup> Para.**  
Grading specified for the work is grading –II provided in table 500-10.
- Clause 505.9**                Replace the last sentence of first paragraph with “The rate shall include the provision of bitumen at 4.5% by weight of the total mixture for Grading-II only “.
- SECTION 600**                **CONCRETE PAVEMENTS**
- Clause 601**                    **Dry Lean Cement Concrete sub-base**
- Clause 601.6.4**                **Placing**  
  
Replace the 1<sup>st</sup> para as “ Lean concrete shall be placed using semi mechanized equipments or adopting hand guided method of construction with approval of the Engineer.”
- Clause 602**                    **CEMENT CONCRETE PAVEMENT**
- Clause 602.9.3.3**            **Paving equipment**  
  
Add at the end of 1<sup>st</sup> paragraph the concrete can be placed adopting hand guided method of construction as per methods of construction. Work shall be carried out by skilled persons as per the methodology approved by the Engineer.

**SECTION 800 TRAFFIC SIGNS, MARKING & OTHER ROADS APPURTENANCES****Clause 801 Traffic Signs**

Add the following after the para.

"All road signs shall be of retro-reflectorised type with super high-intensity retro-reflective sheeting. The sheeting is typically unmetallised micro-prismatic retro-reflective element material or any other type as approved by the Engineer."

**Clause 805.1** Add the following after the para.

The hectometer/kilometer stones shall be made of concrete of M20 grade.

**SECTION 900 QUALITY CONTROL FOR ROAD WORKS****Clause 903 Quality Control Tests During Construction**

**Add new sub clause as 903.4.3 as follows**

**Clause 903.4.3** Bituminous mix shall be spread with paver fitted with electronic sensing device and string line arrangement (supported by steel pegs @ 5 m apart) on either side of paving width for automatic levelling, surface evenness and profile control. Use of string line is compulsory to provide signal to the electronic sensing device fitted with the Paver Finisher.

Bituminous works shall be tested immediately after finishing for:

- a) Thickness (compacted) measured by extracting cores shall be dealt in accordance with MORTH Specification Section 900.
- b) Density (compaction) test as performed on the extracted cores
- c) Workmanship test by measuring roughness of the finished layer by duly calibrated Towed Fifth Wheel Bump Integrator.

**Note: Contractor shall arrange the core extraction machine at his cost and shall take cores of the executed bituminous works jointly with Engineer without any extra cost.**

The result of tests shall be compared with the prescribed acceptable values. The payment of all such works executed shall be based on the test results. In case test results for parameters (b) & (c) above fall below the required values in accordance with specification, deductions as specified below here under shall apply limiting to 'Nil' payment for the executed bituminous works. Separate deductions shall be made for each attribute i.e. Density Test and Workmanship test.

**b) Density (Compaction Test):**

<b>Reduction in Core Density</b>	<b>Deduction in the payable rate</b>
-Upto 1.00% from the required percentage	@ 5%
- Between 1.01% to 2.00% from the required percentage	@15%
- Between 2.01% to 3.00% from the required percentage	@30%
-By more than 3.01% from the required percentage	@ 100%. Such works shall be rejected and NIL payment shall be made

The minimum deduction in the payable rate shall be made for 250 Sqm for each failure.

**c) Workmanship Test: Roughness measured longitudinally**

Calibration of equipment and measurement of surface unevenness shall be done in accordance with IRC:SP:16-2004.

The finished bituminous concrete layers shall be tested for workmanship (immediately before allowing traffic) by measuring roughness, longitudinally separately for each lane with the Calibrated Towed Fifth Wheel Bump Integrator. The measured roughness shall not exceed a value of 2000 mm/km for finished Bituminous Concrete and Concrete surfaces.

Any completed layer (concrete or BC) having roughness in excess of the value 2000 mm/km shall be paid in accordance with the Deduction Formulae as specified below:

<b>Measured Roughness</b>	<b>Deduction in the payable rate</b>
- Upto 5.00% more than the requirement	<b>Nil</b>
-More than 5.01% and upto 30.00% more than the requirement	@ (10%+1% for every 1% in excess of 5%)
-More than 30% more than the requirement	Work shall be rejected. Complete re-work shall be done.

The area for which deduction in the payable rate shall apply shall be determined by the Engineer based on analysis of results. However, regardless of any other consideration, the minimum deduction shall not be less than 2000 m<sup>2</sup>.

**SECTION 1000 MATERIALS FOR STRUCTURES****Clause 1009.3 Add the following note under table 1000-3**

All steel shall be procured from original producers (manufacturing billets) such as SAIL/ TATA/ RINL/ JINDAL. Thermo Mechanically Treated bars (TMT bars) of grade Fe-500 conforming to IS: 1786 shall be used.

**Clause 1012 Concrete Admixtures****Add the following at the end of paragraph of Clauses 1012.1**

Admixtures shall not impair the durability of concrete; they shall not combine with the ingredients to form harmful compounds or endanger the protection of reinforcement against corrosion.

**Add the following at the end of the clause.**

After selecting a few acceptable brands & types of admixture based on the manufacturer's data/technical literature. Independent acceptance tests should be carried out for the same using the approved combinations of cement / sand / aggregates intended for use in the Project. After establishing the basic acceptability using strength criteria (compression & tensile strengths) a number of trial mixes be designed using different proportion of admixtures / cement / water etc. to establish the data bank on the behaviour of the admixture for the project site conditions. A spectroscopic signature of accepted product should be obtained and preserved for comparison for acceptance of the production lots.

Re-trials should be conducted with change in source / type of cement.

**Workmanship**

The dosage should be finalised on the basis of field trial and special mechanical devices should be used for dispensing the admixture in the batching / mixing plant. No addition of admixture after dosage is permitted (including addition in transit mixers).

Manufacturer's experts should be available for consultation / trouble shooting of problems associated with their product. The conditions of storage, shelf life etc. as specified by the manufacturer should be strictly observed. The manufacturer's Quality Assurance Plan during process of production should be obtained and field for reference / record.

**Clause 1015 Test and Standards of Acceptance****Add following as last paragraph :**

Independent testing of steel shall be carried out by the contractor for each consignment from each source in the laboratory approved by the Engineer before use... These tests are in addition to the tests carried out by the manufacturer.



**SECTION 1500 FORMWORK****Clause 1502 Materials****Delete the last sentence of para one**

Delete the word “or Timber” in 1st line of para 2

**SECTION 2800 REPAIR OF STRUCTURE****FOLLOWING NEW CLAUSES SHALL BE ADDED****Clause 2816 Dismantling of damaged and existing structures**

The dismantling of various components of structure like railing, kerbs, footpath, approach slab, wing walls, piers, abutments, parapet, deck slab etc. shall be carried out as specified in drawings and as per directions of Engineer. The work shall be executed in accordance with MORTH specifications section 200, clause 202.

**Clause 2817 Dowel bars**

Dowel bars in deck slab at locations of parapet and expansion joint and grouting with epoxy resin locations shall be provided as shown in the drawings.

Holes shall be drilled vertically using rotary drill machines. Care shall be taken that the holes are drilled vertical and the deck concrete is not damaged. It shall be ensured that buried reinforcement of the deck is not damaged due to drilling by avoiding locations above reinforcement. Rebar detector shall be used for this purpose. 16 mm dia. dowel bars shall be inserted in the hole and kept in undisturbed position with appropriate fixture. The annular space shall be filled by epoxy grouting.

Work of epoxy grouting shall be done in accordance with MORTH Specifications Section 2800 clause 2803. It shall be ensured that the inside of the hole is dry.

Epoxy resin shall be of following specifications:

Compressive strength	- min 35 MPa at 24 hours.
Tensile strength	- 15 - 20 MPa at 7days.
Flexural strength	- 30-40 MPa at 7 days
Viscosity @ 250C	- 900-1200 cps

**Clause 2818 Railing / Parapets**

Cast-in-situ railing/ parapets shall be constructed in accordance with the requirements of structural concrete section 1700. The reinforcement shall conform to section 1600. The formwork shall conform to section 1500. The work in general shall conform to section 2700 clause 2703.

The reinforcement of the railings/ parapets shall be welded with the existing reinforcement of the deck slab and with the dowel bars as shown in the drawings or as directed by the Engineer.

**Clause 2819 Expansion Joint**

The old expansion joint assemblies shall be removed carefully along the entire width of the carriageway as per MORTH Clause 2809.1 and recess of size shall be prepared as specified in drawing. The requirements of new expansion joint shall conform to MORTH specifications section 2600.

**Clause 2820 Drainage Spout**

For existing bridge decks drainage spouts shall be replaced and new drainage spouts shall be provided as shown in the drawings.

The waterproofing material shall be provided around the area of drainage spout from the top of the deck.

The work shall be executed in accordance with MORTH Specifications Section 2700 clause 2705 except to the extent modified below.

The work shall be done after the wearing coat is removed. The existing spouts shall be removed carefully with minimum damage to surrounding concrete. The pocket formed shall be sufficiently large to ensure good flow and compaction of concrete around the new spout. The area around the spout covering the pocket of new concrete adequately, approximately 500 mm x 500 mm shall be provided with a 5 mm thick polymer modified **cementitious** (PMC) brush topping layer.

Before commencing application of PMC brush topping the prepared concrete substrata shall be thoroughly soaked with clean water. The surface shall then be primed with PMC slurry. Before priming it should be ensured that any free surface water is removed. PMC mortar shall be applied before priming agent sets. The material shall be applied in accordance with manufacturer's recommendations.

The specifications for polymer modified cementitious (PMC) brush topping and polymer modified cementitious (PMC) mortar shall be as per clause 2822.

**Clause 2821****Approach Slab**

Approach slabs, which are cracked or otherwise damaged, shall be recasted after dismantling of the existing slab as specified in drawing. The work shall be executed in accordance with MORTH specifications section 2700 clause 2704. The approach slab shall be laid over lean concrete as per drawing after compacting the base properly



## **PART III**

### **TECHNICAL SPECIFICATIONS FOR BUILDING WORKS**

This part shall comprise the latest “Specifications for Building Works” Volume I to Volume IV, 1995 as published by the Central Public Works Department, Govt. of India and deemed to be bound into this document.



## **PART IV**

# **ENVIRONMENT MANAGEMENT PLAN**





## ENVIRONMENTAL MANAGEMENT PLAN

S. No.	Environmental Issue/Aspect	Management Measures
E.1	Tree Cutting	The Contractor shall not cut or damage trees except that are required to be felled for construction of traffic diversion works and facilities, after obtaining necessary permission for felling of the same from the authorities.
E.2	Joint Field Verification	The Engineer and the Contractor will organize and carry out joint field verification to ascertain the possibility of saving environmental and community resources. The complaints/suggestions together with the observations and expert opinion of the joint verification team containing the need for additional protection measures or changes in design/scale/nature of protection/management measures shall be well documented with other requisite details such as date, time, place and signature of the individuals involved. Approval will be accorded by the Engineer in consultation with the Project Authority.
E.3	Location and installation of Crushers, Hot-mix Plants and Batching Plants	<p>All plants (hot-mix, crushers, batching plant, WMM or any other) shall be located at least 1000 mts. away from habitations, forests and wildlife movement areas, preferably in the downwind direction.</p> <p>The Contractor shall submit the <b>proposed location plan</b> (including survey number/s of the land parcel/s under consideration, area, land-use and surrounding features) and seek <b>prior approval</b> of the Engineer before entering into any formal agreement with land owner/s for setting-up such construction facilities. The Contractor will formalize agreement with land owner/s only after a written approval has been accorded by the Engineer.</p> <p>The ‘installation’ of the plant/s shall commence after the contractor has obtained ‘consent to establish’ from the Orissa State Pollution Control Board. The ‘operation’ of the plant/s shall be permitted by the Engineer after the ‘consent to operate’ has been obtained from the SPCB. A copy of the application submitted to the SPCB and the consent/s received must be submitted to the Engineer, based on which the approvals will be accorded. Action/s by the Engineer against any non-compliance on this count shall be borne by the Contractor at his own risk and cost.</p>
E.4	Construction Camp/s – Selection, Design and Lay-out	<p>No construction camps, including material stack yards and storage facility <b>will not be proposed within 500 mts.</b> From</p> <ol style="list-style-type: none"> <li>a. a settlement/habitation</li> <li>b. water source and</li> <li>c. reserved or protected forest limits</li> </ol> <p>to avoid conflicts and stress on the local infrastructure facilities and natural resources.</p>

S. No.	Environmental Issue/Aspect	Management Measures
		<p>In case the contractor proposes setting-up of plant/s within a construction camp, clause P.3 will apply.</p> <p>The Contractor shall submit the <b>proposed location plan</b> (including survey number/s of the land parcel/s under consideration, area, land-use and surrounding features) and seek <b>prior approval</b> of the Engineer before entering into any formal agreement with land owner/s for setting-up construction camps. The Contractor will formalize agreement with land owner/s only after a written approval has been accorded by the Engineer.</p> <p>Complete details about the pre-dominant wind direction and design of facilities, including circulation area, parking, material storage, kitchen/mess, sanitation, waste collection and disposal, drainage, electrical utility placement and water supply shall be provided by the Contractor as part of the documentation seeking approval of the Engineer on this count.</p>
E.5	Construction Vehicles, Equipment and Machinery	<p>All vehicles, equipment and machinery to be procured for construction shall conform to the relevant Bureau of India Standard (BIS) norms. The Contractor shall ensure that all vehicles, equipment and machinery used for construction are regularly maintained and conform to the emission standards specified by the CPCB. Certification issued for such contrivances by the designated/approved authorities shall be submitted to the Engineer.</p> <p>The Contractor shall maintain a proper record of Pollution Under Control Certificates for all vehicles and machinery used for works under the contract. Copies of such records shall be kept at the site office and shall be made available to the Engineer when sought.</p>
E.6	Identification, Operation and Rehabilitation of Burrow Areas	<p>The Contractor shall submit the proposed location plan (including site details, survey number/s of the land parcel/s under consideration, area and quantum of material proposed for extraction, land-use and surrounding features) and seek prior approval of the Engineer before entering into any formal agreement with land owner/s for opening burrow areas. The Contractor will formalize agreement with land owner/s only after a written approval has been accorded by the Engineer. The Engineer will be required to inspect every proposed burrow area location and evaluate (parallel with technical examination) such proposals in accordance to environmental requirements as laid down in the EMP prior to issuing the 'approval' for use of such sites.</p> <p>No burrow areas shall be opened within 500 mts. from wildlife movement zones and forest areas. The burrow areas shall be at least 250 mts. from schools, human habitations (residential and commercial establishments), village access roads, state highways and other roads.</p> <p>No burrow area will be opened/operated without the written permission of the Engineer. The location, shape and size of the designated burrow areas will be as approved by the Engineer and in accordance to the IRC recommended practice for burrow pits for road embankments (IRC 10: 1961). The 'format' for seeking Engineer's approval on environmental considerations will be as per the template provided in this EMP and will include a reference/location map; area, existing land use and haul road details;</p>

S. No.	Environmental Issue/Aspect	Management Measures
		<p>photograph of the site; and the proposed rehabilitation plan. The Contractor will not start burrowing earth from the approved burrow area/s until an agreement is signed between land owner/s and Contractor and a copy of this agreement is submitted to the Engineer.</p> <p>In burrow pits, the depth shall be regulated so that the sides of the excavation should not be steeper than 1:2, from the edge.</p> <p>All burrow areas whether in private, community or govt. land shall be restored as per the approved rehabilitation plan immediately after completion of the use of such a source. The Contractor shall plan and ensure rehabilitation work in such a manner that it is completed prior to the rainy season. ‘Substantial completion’ or ‘completion’ certificates for the civil work shall not be issued unless restoration and rehabilitation works have been completed by the Contractor and the same has been accorded a written approval by the Engineer.</p>
E.7	Identification, Operation and Rehabilitation of Stone Quarry	<p>The Contractor shall submit the <b>proposed location plan</b> (including site details, survey number/s of the land parcel/s under consideration, area and quantum of material proposed to be used, land-use, photograph/s of the site and surrounding features within 500 mts.) and seek <b>prior approval</b> of the Engineer before entering into any formal agreement with land owner/s in case of a new quarry site or with the owner/operator in case use of an existing quarry is proposed.</p> <p>No quarry and/or crusher units shall be ‘selected’ or ‘used’, which is within 1000 mts. from a human habitation, forest boundary and wildlife habitats/movement areas.</p> <p>The Contractor shall obtain necessary legal permission/s from Department of Mines, Govt. of Orissa and the District Administration, SPCB and local Tehsildar and submit a copy of the same to the Engineer. All quarry operations, including procurement, storage and use of blasting material/s will be undertaken within the rules and regulations in vogue.</p>
E.8	Identification, and Operation of Sand Quarry	<p>The Contractor shall submit the <b>proposed location plan</b> (including details of the site/s under consideration, proposed quantum of material extraction and surrounding features) and seek <b>prior approval</b> of the Engineer. No sand quarry shall be opened within 500 mts. from wildlife movement zones and forest areas.</p> <p>In the event of selection of a new site for sand quarrying, the Contractor shall obtain prior approval and concurrence from Competent District Authority, the local Tehsildar and the Engineer keeping in view the objections and convenience of the local population. Where the supplier of sand is another party, the authentic copy of lease agreement that has been executed between the local Tehsildar and the supplier has to be submitted to the Engineer before any procurement of material is made from such a site. The procurement of material shall be allowed only from those sand quarry sites that are permitted by the local Tehsildar with the concurrence of the District Collector with due regard to Orissa Miner Mineral Concession Rules, 2004.</p>

S. No.	Environmental Issue/Aspect	Management Measures
E.9	Arrangement for Construction Water	<p>The Contractor shall submit the <b>proposed location plan</b> (including site details; type of the source under consideration; its usage by other consumers; proposed quantum of water extraction) and seek <b>prior approval</b> of the Engineer. To avoid disruption/disturbance to other water users, the Contractor will extract water only from the approved locations and shall seek a written approval of the Engineer before finalizing and using any such water source – whether ground or at surface.</p> <p>Use of ground water facility shall be subject to the local legislation; ground water availability in the area and the granting of necessary permission by the Competent Authority. The Contractor shall pay the royalty for use of such water as decided under the relevant norms. A copy of the permission obtained from the Competent Authority shall be submitted to the Engineer prior to the use of any such source. The possibility/ permission for sinking of bore wells adjacent to nalas and streams may be examined, such that while the water requirement for the road construction activity is met and these structures when abandoned can help in ground water recharge after suitable modification.</p>
E.10	Clearing and Grubbing	<p>All works shall be carried out by the Contractor in a manner such that the damage or disruption to flora is minimal. Only ground cover/shrubs that impinge directly on the permanent works or necessary temporary works will be removed with prior approval from Engineer.</p>
E.11	Stripping, stacking and preservation of top soil	<p>The top soil from all sites including road side widening and working area, cutting areas, quarry sites, burrows areas, construction camps, haul roads in agricultural fields (if any) and areas to be permanently covered shall be stripped to a specified depth of 150mm and stored in stockpiles for re-use. A portion of the temporarily acquired area (along the boundaries in a construction camp, burrow areas etc.) and along the road at the Right of Way edge will be earmarked for storing top soil. The locations for stacking will be pre-identified in consultation and with approval of the Engineer.</p> <p>The following precautionary measures will be taken by the Contractor to preserve the stockpiles till they are re-used:</p> <ol style="list-style-type: none"> <li>Stockpile will be such that the slope does not exceed 1:2 (vertical to horizontal), and height is restricted to 2 m.</li> <li>To retain soil and to allow percolation of water, the edges of the pile will be protected by silt fence.</li> <li>Multiple handling kept to a minimum to ensure that no compaction occurs.</li> <li>Such stockpiles shall be covered with empty gunny bags or will be planted with grasses to prevent loss during rains.</li> </ol> <p>Such stockpiled topsoil will be utilized for -</p> <ul style="list-style-type: none"> <li>➤ Covering reclamation sites or other disturbed areas including burrow areas (other than those in barren areas)</li> <li>➤ Top dressing of road embankment and fill slopes</li> <li>➤ Filling up of tree pits and</li> <li>➤ In the agricultural fields of farmers, acquired temporarily that need to be restored.</li> </ul>

<b>S. No.</b>	<b>Environmental Issue/Aspect</b>	<b>Management Measures</b>
		<p>Residual topsoil, if there is any, will be utilized for the plantation works along the road corridor.</p> <p>The utilization as far as possible shall be in the same area/close to the same area from where the top soil was removed. The stripping, preservation and reuse shall be closely supervised and properly recorded by the Engineer.</p>
E.12	<b>Labour Camp Management</b>	
12.1	Accommodation	<p>Prior to setting-up such a labour/worker's facility, the location, lay-out and basic provision of facilities to be provided at each labour camp site shall be submitted to the Engineer for approval. The construction or hiring of such facilities shall commence only after the written approval from the Engineer has been received by the Contractor.</p>
12.2	Potable Water	<p>The Contractor shall ensure the fulfillment of the following conditions:</p> <ol style="list-style-type: none"> <li>a) Supply of sufficient quantity of potable water within the precincts of every workplace in a cool and shaded area. Such facilities shall be regularly maintained from health and hygiene point of view.</li> <li>b) All open wells will be entirely covered and will be provided with a trap door to prevent accidental fall and contamination from dust, litter etc. A reliable pump will be fitted to each covered well.</li> </ol> <p>The Engineer is required to inspect the labour camp once in a week to ensure compliance to the health and hygienic standards prescribed in the Labour Regulations and in the EMP.</p>
12.3	Sanitation and Sewage System	<p>The Contractor shall ensure that -</p> <ol style="list-style-type: none"> <li>c) The provision of toilets and sewage system for the camp is to be designed, built and operated in such a fashion that no health hazard occurs and no pollution to the air, surrounding agricultural fields, ground water or adjacent water courses takes place.</li> <li>d) Separate toilets and bathrooms for women workers wherever required, screened from those of men, are provided with markings in vernacular language.</li> <li>e) All such facilities must have adequate water supply with proper drainage and disposal facility.</li> <li>f) All toilets in workplaces are to be maintained, cleaned and disinfected daily using proper disinfectants.</li> <li>g) Portable toilets may be brought to use and the night soil from such units has to be disposed through designated septic tanks so as to prevent pollution of the surrounding areas.</li> <li>h) In the main camp, no night soil or sewerage shall be disposed of at any place other than the septic tanks constructed at the site.</li> </ol> <p>All these facilities shall be inspected on a weekly basis by the Engineer to check the hygiene standards.</p>

<b>S. No.</b>	<b>Environmental Issue/Aspect</b>	<b>Management Measures</b>
E.13	Transportation of Construction Materials and Haul Road Management	<p>The Contractor shall maintain properly (as directed by the Engineer) all roads (existing or constructed for the project), used for transporting construction materials, equipment and machineries for the works under this contract. It shall be the responsibility of the Contractor to ensure that all roads used for transportation of construction materials are clear from any dust, sand, soil, aggregates etc. that may have fallen from the transporting vehicles. The Contractor will arrange for regular water sprinkling, at least three times in a day, for dust suppression of all such roads and surfaces.</p> <p>All vehicles delivering goods to the site shall be covered to avoid spillage of materials and air pollution.</p> <p>The unloading of all materials at construction sites will be limited to day time only to avoid accidents. Screens of hessian cloth, agro-net and such other barricading material are to be erected along all dumping and stockpiling sites, so that generation of the dust in the vicinity of such locations can be minimized to a great extent.</p>
<b>E.14</b>	<b>Worksite Safety Management</b>	
14.1	Traffic Diversions	This shall be done according to the provisions of Technical Specifications Cl. 112.
14.2	Traffic Safety	This shall be done according to the provisions of Technical Specifications Cl. 112
14.3	Safety of Workers	<p>The Contractor will make sure that during the construction work all relevant provisions of the Factories Act, 1948 and the Building and Other Construction Workers (Regulation of Employment and Conditions of Services) Act, 1996 are adhered to. The Contractor will comply with all the precautions as required for ensuring the safety of the workmen as per the International Labor Organization (ILO) Convention No. 62 as far as those are applicable to this contract.</p> <p>The Contractor shall provide and ensure enforcement with zero tolerance on the following:</p> <ol style="list-style-type: none"> <li>a) Protective footwear and protective goggles to all workers employed handling asphalt materials, cement, mortar, concrete, blasting and crusher operations.</li> <li>b) Welder's protective eye-shields and protective footwear to workers engaged in welding works.</li> <li>c) Earplugs to workers exposed to high noise levels.</li> <li>d) Hard hat or helmets to all workers, supervising staff and inspecting officials entering a construction site, plant area, quarry and engaged in loading/unloading operations.</li> <li>e) Protective goggles and clothing to workers engaged in stone breaking activities.</li> </ol>

<b>S. No.</b>	<b>Environmental Issue/Aspect</b>	<b>Management Measures</b>
		<p>f) Nettings below and on the sides of overhead construction and excavation work to prevent mishaps due to accidental fall of workmen and debris.</p> <p>g) 'No smoking' and other 'high risk' areas are to be provided with warning signage besides strict enforcement of PPE with zero tolerance limits.</p>
14.4	Risk from Electrical Equipment(s)	<p>All power transmission lines whether cladded or sufficiently covered are potential hazards at construction sites. The Contractor shall take all required precautions to prevent danger from electrical cables, wires and equipment and ensure that –</p> <p>a) No material will be stacked or placed below/near power transmission lines, wires and equipment, which can be a potential danger to any road user, workman or public.</p> <p>b) All such electrical installations and wirings shall be barricaded in manner that ensures safety of the road users, workers, operating vehicles/equipment (such as cranes, excavators, loaders, fabricating units) and wildlife.</p> <p>c) Necessary fencing, illumination and proper insulation of the electrical lines shall be ensured by the contractor for safety and security of the general public, road users, workers and the wildlife.</p> <p>d) The contractor shall ensure proper maintenance of electrical supply lines/points.</p> <p>e) All such electrical operating units shall be switched off before operations are closed every day or night as the case may be.</p> <p>f) All electrical equipment/cables/wires to be used in the construction shall have to conform to the relevant BIS specifications/codes.</p> <p>g) The contractor will ensure that such equipment/cables/wires are free from patent defect, and maintained in good working order (as per the owner manual supplied by the manufacturer) through regular supervision, monitoring, maintenance and repair/ replacement from time to time.</p>
14.5	First Aid	<p>The Contractor shall arrange for -</p> <ul style="list-style-type: none"> <li>▪ A readily available lifesaving first aid kits including an adequate supply of sterilized dressing materials and appliances as per the Factories Rules in every work zone.</li> <li>▪ Availability of suitable transport at all times to take injured or sick person(s) to the nearest hospital.</li> <li>▪ Equipment and trained nursing /paramedical staff at construction camps.</li> <li>▪ Periodic health checks for workers.</li> </ul>
14.6	Risk Force Majeure	<p>The Contractor shall take all reasonable precautions to prevent danger of destruction to life and property of the public as well as the workers on account of flood, fire, explosion, accidents involving vehicles carrying hazardous materials etc. in an around work sites, camps, maintenance units, burrow areas, quarries, haul roads and in any other place associated with the project activity.</p>

<b>S. No.</b>	<b>Environmental Issue/Aspect</b>	<b>Management Measures</b>
		<p>The Contractor will make the required arrangements so that in case of any mishap all necessary steps can be taken for prompt on-the-spot first aid treatment. Arrangements shall be made for quick rescue operation including shifting of the injured to the nearest hospital</p> <p>Fire extinguishers/fire-fighting equipment and salvaging equipment for the recovery of hazardous chemicals on account of accidents or spillage are to be kept ready at camping sites or major construction sites to attend to such eventualities.</p> <p>A Construction Safety Plan to be prepared by the Contractor during the Mobilization phase shall identify all necessary actions in the event of an emergency. The actions shall include description of stand-by arrangements, rescue of workers/people and salvage of hazardous chemicals/ materials in case of such eventualities. This plan shall be prepared in accordance with the standard practice adopted under labour welfare activities and Factories Act and will be approved by the Engineer.</p>
E.15	Accessibility	Construction activities that affect the use of side roads and existing accesses to individual properties, whether public or private, shall not be undertaken without providing adequate provision/s approved by the Engineer. The Contractor will provide safe and convenient passage for vehicles, pedestrians and livestock to and from road sides and property accesses connecting the project road by providing safe temporary arrangements, including a connecting road, as necessary.
E.16	Disruption to Other Users of Water	<p>While working across or close to any perennial water bodies, the Contractor shall not obstruct/prevent the flow of water.</p> <p>Construction over and close to the non-perennial streams shall be undertaken in dry season and if such activity is likely to disrupt, constrain or impact the community use of the water body, adequate prior information (at least two weeks in advance) will be provided to such a community. Such water body may be ponds, water harvesting structures (WHS), feeder channels to pond, irrigation sources etc. If the supply of water or access to a source is being completely cut off, then the Contractor shall make necessary arrangements to provide water in the interim period. Water quality test shall be done prior to providing / supplying the water.</p>
E.17	Labour Requirements	The Contractor preferably will use labour drawn from local areas to provide maximum benefit to the local community especially to the vulnerable individuals/groups living in the project area.
<b>E.18</b>	<b>Pollution Management</b>	
18.1	Dust Pollution	The Contractor will take every precaution to reduce the level of dust (SPM and RSPM) and make arrangements to minimize dust pollution through provision of wind screens/barriers, water sprinkling/mist spray units, and encapsulation of dust source shall be made at the plant sites.



<b>S. No.</b>	<b>Environmental Issue/Aspect</b>	<b>Management Measures</b>
		<p>Specifications of crushers, hot mix plants and batching plants shall comply with the requirements of the relevant legislations and as laid out in the 'Consents' issued by the OSPCB. The Contractor will provide necessary certificates to confirm that all crushers used for the works under the Contract conform to relevant dust emission control legislation.</p> <p>Even if the Contractor chooses to use an existing crusher (already operating in the area), basic minimum standards stipulated under the Pollution Control Legislation will have to be met and dust control devices need to be installed and operated. Copies of the required certificates and 'consents' of such a plant shall be procured by the Contractor and submitted to Engineer prior to the procurement of material from a unit of this nature.</p>
18.2	Siltation of Water Bodies and Degradation of Water Quality	<p>Release of wastes (non-toxic and toxic) by the Contractor into water bodies and drainage systems that may adversely impact the aquatic life both locally and in the downstream stretches shall be viewed as serious non-compliance of EMP since these may affect the eco-flow, aquatic life and livelihoods of people dependent on such resources.</p> <p>The Contractor will ensure that construction and excavated materials containing fine particles are stored in an enclosure, particularly during the rainy season, such that sediment-laden water does not drain into nearby water bodies..</p> <p>The Contractor shall take all precautionary measures to prevent the wastewater generated during construction from entering into streams, water bodies or the irrigation system by providing proper septic tanks and soak pits. Spills, dust fines, waste oil, wastes and debris shall be cleared and disposed off as per the guidelines provided in the EMP under the supervision of the Engineer.</p> <p>The Contractor will avoid continuation of construction activity close to the streams or water bodies during monsoon. Stream courses and drains will be kept free from dumping of solid wastes, excavated earth, sludge and discharge of waste water from construction camps and sites. Liquid wastes arising from construction sites are to be impounded into proper collection pits.</p>
18.3	Water Pollution from Fuel, Lubricants and Chemicals	<p>Garage, service stations, refueling stations and equipment maintenance yards shall be so located at least 100 mts. away from kitchen, mess and drinking water facilities within the camp site.</p> <p>The Contractor shall ensure that all vehicles, machinery and equipment are operated (including re-fueling) and maintained in such a fashion that any spillage (while working or accidental) of fuel and lubricants does not contaminate the land and water resources. There shall be lined drains and service ramps with oil and grease traps/oil interceptors in such areas to prevent liquid wastes from entering into soil, any aquifer, local water source, bore well, pond and other water bodies. Storage of drums (both filled and empty) and refueling shall be done on concrete platforms (impervious surface). Additionally, roofing (of any type other than asbestos) shall be provided to prevent contamination of land and water due to run-off from such sites during rains. Oil interceptors are also to be provided at vehicle parking areas.</p>

<b>S. No.</b>	<b>Environmental Issue/Aspect</b>	<b>Management Measures</b>
		The contractor will arrange for collection, storage, reuse/disposal of spent oil, lubricants, grease, sludge, slurry, bitumen, chemicals and paints or other such material. Covered bins/drums (marked specifically regarding the contents) shall be kept separately at maintenance and refueling areas. Disposal shall be at pre-identified sites (as listed in the Waste Management Plan) as approved by the Engineer. All spills and collected petroleum products will be disposed off in accordance with the prevailing MoEF and SPCB guidelines issued for such purpose. The Engineer will certify that all arrangements comply with the guidelines of SPCB/ MoEF.
18.4	Noise Pollution	<p>The Contractor shall ensure the following:</p> <ol style="list-style-type: none"> <li>a) All plants and equipment used in construction (including those of sub-Contractors and/or suppliers such as aggregate crushing plants) shall strictly conform to the MoEF/CPCB noise standards and shall have latest noise suppression mountings.</li> <li>b) All vehicles and equipment used in construction will be fitted with exhaust silencers.</li> <li>c) Servicing of all construction vehicles and machinery will be done regularly and during routine servicing operations, the effectiveness of exhaust silencers will be checked and if found defective, these shall be replaced.</li> <li>d) Maintenance of equipment, machinery and vehicles (including proper lubrication, tuning, checks for muffler effectiveness) shall be regular and up to the satisfaction of the Engineer to keep noise levels under control.</li> <li>e) Construction activity at sites within 100m habitations and hospitals shall not be carried out during night (10:00 pm to 06:00 am).</li> <li>f) Construction activity at sites within 500m from wildlife movement zones, reserved and protected forest areas shall not be carried out between 06:00 pm to 06:00 am.</li> <li>g) Blasting operations, if any shall be carried out with full safety precautions and in compliance with measures as specified in the legal provisions.</li> </ol> <p>Monitoring shall be carried out by the Contractor in presence of the Engineer at the construction sites as per the Noise Monitoring Plan provided in this EMP and results shall be shared with the Engineer.</p>
E.19	Drainage and Flood Control	The contractor will also ensure that no material (such as earth, stone, or other construction material or wastes) blocks the natural flow of water in any water course or cross drainage channel. All cross drainage and structure construction sites shall be cleared/cleaned-up prior to the rainy season. Also, prior to the monsoon season, the Contractor will provide either permanent or temporary drains to prevent water accumulation in residential, commercial and agricultural areas adjoining the under-construction zones of the road. Besides this, drainage shall be cleared to avoid accumulation of water within the construction sites, camp and plant sites and storage yard well in advance of the rainy season.

<b>S. No.</b>	<b>Environmental Issue/Aspect</b>	<b>Management Measures</b>
E.20	Slope Protection and Control of Soil Erosion	<p>The Contractor will provide slope protection works as per design, or as directed by the Engineer to control soil erosion and sedimentation through use of dykes, sedimentation chambers, basins, fiber mats, mulches, grasses, slope drains and other devices as required under specific local conditions. All temporary sedimentation, pollution control works and maintenance thereof will be deemed as incidental to the earth work or other items of work and as such no separate payment will be made for them.</p> <p>The Contractor shall ensure the following:</p> <ol style="list-style-type: none"> <li>a) After construction of road embankment, the side slopes of all cut and fill areas will be graded and covered with stone pitching, grass and shrub, as per design specifications.</li> <li>b) Turfing works will be taken up as soon as possible provided the season is favorable for the establishment of grass sods.</li> <li>c) Other measures of slope stabilization may include mulching/netting with sowing of grass seeds and sprinkling of water on such slopes after the completion of the earth work.</li> <li>d) Along sections abutting water bodies, stone pitching, as laid out in the design, will be provided to protect slopes.</li> </ol>
<b>E.21</b>	<b>Waste Management</b>	
21.1	Waste Management – Planning and Identification of Disposal Sites	<p>The Contractor will ensure that any spoils/materials unsuitable for embankment fill are not be disposed off near any water course; water body; agricultural land; natural habitats like grass lands, wet lands, flood plains, forests and pastures. All proposed disposal sites for waste material shall be identified by the Contractor and a Rehabilitation Plan (including details about pollution prevention and safety measures) for each such site shall be submitted to the Engineer for approval.</p>
21.2	Re-use and Disposal of Debris Generated from Dismantling of Structures and Road Surface	<p>Debris generated due to the dismantling of the existing road will be suitably re-used in the proposed construction as follows:</p> <ul style="list-style-type: none"> <li>▪ The dismantled scraps of bitumen will be disposed off through utilization for the paving of cross roads, access roads and paving works in construction sites and campus, temporary traffic diversions, haulage routes, parking areas along the corridor or in any other manner approved by the Engineer.</li> <li>▪ At locations identified for disposal of residual bituminous wastes, the disposal will be carried out over a 60 mm thick layer of rammed clay so as to eliminate the possibility of leaching of wastes into the ground water.</li> <li>▪ The Contractor will suitably dispose off unutilized non-toxic debris either through filling up of burrows areas located in wasteland or at pre-designated disposal sites, subject to the approval of the Engineer.</li> <li>▪ Debris generated from pile driving or other construction activities along the rivers and streams drainage channels shall be carefully disposed in such a manner that it does not flow into the water body.</li> </ul>

<b>S. No.</b>	<b>Environmental Issue/Aspect</b>	<b>Management Measures</b>
		<ul style="list-style-type: none"> <li>▪ Non-bituminous wastes may be dumped in burrow pits (preferably located in barren lands) where such burrow pits are not suitable to develop as an economic source like pisci-culture or a source of irrigation. Such burrow pits can be filled up with non-bitumen wastes and then covered with a minimum 30cm layer of the soil, where plantation of trees and shrubs can be taken-up.</li> </ul> <p>The Contractor at his own cost shall resolve any claim, arising out of waste disposal or any non-compliance that may arise on account of lack of action on his part.</p>
21.3	Waste Disposal from Construction Camp/s and Plant Site/s	<p>The Contractor will provide garbage bins in the construction camp/s and ensure that these are regularly emptied and disposed off in a hygienic manner. No incineration or burning of wastes shall be carried out by the Contractor. The disposal of kitchen waste and other biodegradable matter shall be carried out in pits covered with a layer of earth within the camp site.</p> <p>Discarded plastic bags, paper and paper products, bottles, packaging material, gunny bags, hessian, metal containers, strips and scraps of metal, PVC pipes, rubber and poly urethane foam, auto mobile spares, tubes, tyres, belts, filters, waste oil, drums and other such materials shall be either reused or will be sold/given out for recycling.</p>
E.22	Chance Found Archaeological Property	<p>All fossils, coins, articles of value of antiquity, structures and other remains or things of geological or archaeological interest discovered on the site shall be the property of the Government and shall be dealt with as per provisions of the relevant legislation.</p>
E.23	Demobilization and Decommissioning	<p>The Contractor shall clear all temporary structures and dispose all garbage, night soils and POL waste as per the approved Waste Management Plan. All construction zones including river-beds, drainage channels, culverts, road-side areas, camps, hot mix plant sites, crushers, batching plant sites and any other area used/affected by the project will be rehabilitated as per the approved plans. The Engineer shall ensure that all clean-up and restoration operations are completed satisfactorily and written approval is given to the contractor before the 'works completion certificate' is issued/recommended to the Client.</p> <p>All clean-up and restoration operations, including road-side and structure construction site clean-up; burrow area rehabilitation; provision of drainage and slope protection measures and; restoration of top-soil shall be completed. All disposal pits or trenches will be filled in disinfected and effectively sealed off. Residual topsoil, if any will be distributed or spread evenly at plantation sites, on adjoining/near-by barren land or affected agricultural land adjacent to the RoW.</p> <p>The Engineer shall ensure through site inspection that the Contractor and Engineer have complied with all these provisions prior to 'taking-over' the milestone stretch in question.</p>

**Form P1****IDENTIFICATION OF DISPOSAL SITE LOCATIONS**

[One Time Format, to be filled by the Contractor before dumping in each location]

Link : \_\_\_\_\_  
[Give chainages and nearest settlements from both ends]

Sl. No.	Criteria on which information for each site is to be collected	Site 1	Site 2	Site 3
1	Existing Land Use			
2	Area covered (m <sup>2</sup> )			
3	Total Material that can be dumped within the site (m <sup>2</sup> )			
4	Depth to which dumping is feasible (m)			
5	Distance of nearest watercourse (m)			
6	Nearest Settlement (m)			
7	Date/s of Community Consultation/s			
8	Whether the community is agreeable to sitting of dumping site (Y/N)			
9	Date of Permission from Villager/local community			
10	Proposed future use of the Site			
11	Selected Site (tick any one column only)			

Enclosures: [Tick as appropriate]

1. Map of each location (Total .....no.s) : Attached / Not Attached
2. Photographs of
  - a. Each disposal location : Attached/ Not Attached
  - b. Each community consultation : Attached/ Not Attached
3. Photo copy of Agreement with individual owners
  - a. Mr. : Attached/ Not Attached
  - b. Mr. : Attached/ Not Attached

**Remarks**

**Submitted**

Signature.....

Name.....

Designation.....  
Contractor

**Checked**

Signature.....

Name.....

Environmental Engineer.  
Construction Supervision Consultant

**Approved**

Signature.....

Name.....

Resident Engineer

Form P2

**SETTING-UP CONSTRUCTION CAMP AND STORAGE AREA**

[One Time Format, to be to be filled by the Contractor & submitted before target date of establishing camps or each time before change of layout ]

Location of Camp : \_\_\_\_\_

Date \_\_\_\_\_

Sl. No.	Item	Unit	Details
1.	<b>Detail of item camp</b>		
a.	Size of Camp	m x m	
b.	Area of Camp	Sq.m	
c.	Distance from Nearest Settlement		
d.	Distance from Nearest Water Source [Type/Size/Capacity/present Use/Ownership]		
e.	Date of camp being operational dd/mm/yy		
f.	Present land use		
g.	No of trees with girth > 0.3m.		
h.	Details of Storage area (Availability of impervious surface)	Mxm	
i.	Availability of separate waste disposal from storage area	Cum	
2.	<b>Details of topsoil stacking</b>		
a.	Quantity of top soil removed	Sq.m	
b.	Details of storage of topsoil [Describe stacking arrangement]		
3.	<b>Details of workforce</b>		
a.	Total No of Laborers	Nos	
b.	Total no of Male Workers	Nos	
c.	No of Male Workers below 18 years	Nos	
d.	Total No of Female Workers	Nos	
e.	No of Female workers below 18 years	Nos	
f.	No of children	Nos	
4.	<b>Details of dwelling units</b>		
a.	No of dwellings/huts		
b.	Minimum Size of Dwelling	m x m	
c.	No. of openings per dwelling	Nos	
d.	Minimum size of opening	m x m	
e.	Walls	Specifications	
f.	Roofing	Specifications	
g.	Flooring	Specifications	

Sl. No.	Item	Unit	Details
h.	Drinking Water Tank	Specifications	
i.	Capacity of Drinking Water Tank	Cum	
j.	Size of Drinking Water Tank	Mxm	
k.	Total no of WC	Nos	
l.	No of Wcs for female workers	Nos	
m.	Minimum Size of WC	Mxm	
n.	Total No of Bathrooms for female workers	Nos	
o.	Size of septic tank for WC/Baths	Mxm	
p.	Capacity of Water Tank for WCs /Bathrooms and general purpose	cum	
q.	Fencing around camp	Y/N	
5.	<b>Details of facilities</b>		
a.	Availability of security 24 hrs a day	Yes/No	
b.	Details of First Aid Facility	Yes/No	
c.	Availability of Dav Care Centre	Yes/No	
d.	Availability of dust bins (capacity 60 Itr)	Nos	

Encl:

- Site Layout of Construction camp
- Drawings of dwelling units with allied facilities

Attached/ Not Attached  
Attached/ Not Attached

<b>Remarks</b>
----------------

**Submitted**

Signature.....

Name.....

Designation.....  
Contractor**Checked**

Signature.....

Name.....

Environmental Engineer.  
Construction Supervision Consultant**Approved**

Signature.....

Name.....

Resident Engineer

**Form P3**

**ESTABLISHMENT OF BURROW AREAS PRIOR TO OPENING**  
 [One time Format, to be submitted by the Contractor for taking consent for opening of EACH Burrow area]

Link No. \_\_\_\_\_ [Give chainages and nearest settlements from both ends]  
 Material \_\_\_\_\_

Sl. No.	Location				Area m x m	Quantity of Available Material (cum)	Distance from nearest Water Course (m)	Distance from nearest Settlement(m)	Land Use		No. of Trees Affected	Rehabilitation Measures Proposed
	Name of Village	Chainage of Project Road (km)	Side (LHS /RHS)	Haul road length (km)					Before	After		

- Photograph of Proposed Site                      Attached/ Not Attached
- Location Map    Attached/ Not Attached
- Agreement with Land Owner                      Attached/ Not Attached

**Submitted**

Signature.....  
 Name.....  
 Designation.....  
 Contractor

**Checked**

Signature.....  
 Name.....  
 Environmental Engineer.  
 Construction Supervision Consultant

**Approved**

Signature.....  
 Name.....  
 Resident Engineer



**Form P4**

**ESTABLISHMENT OF HOT MIX PLANT /BATCH MIX PLANT**  
 [To be submitted by Contractor for taking permission from PMU]

Link \_\_\_\_\_

Sl. No.	Location				Area (m <sup>2</sup> )	Distance from nearest Water Course (m)	Distance from nearest Settlement	Existing Land Use	Prevalent Wind Direction	Weather in Down Wind Direction (Y/N)	Approved by EO (Y/N)	Remark
	Name of Village	Chainage (km)	Side (LHS /RHS)	Haul road length (m)								

- |                                |   |
|--------------------------------|---|
| 1. Photograph of Proposed Site | Attached/ Not attached                    |
| 2. Site Plan                   | Attached/ Not attached                    |
| 3. Permission from OSPCB       | Attached/ Not attached (Valid upto _____) |

**Submitted**

Signature.....

Name.....

Designation.....  
Contractor

**Checked**

Signature.....

Name.....

Environmental Engineer.  
Construction Supervision Consultant

**Approved**

Signature.....

Name.....

Resident Engineer

## ROAD SAFETY REPORTING FORMATS

[Reporting by Contractor to PMU before commencement of construction in the *Working Zone*]

Link \_\_\_\_\_

DIVERSION at location : km \_\_\_\_\_

Report-Date.....

Sl. No.	Item	Unit	Remarks
<b>Details of Construction Zone</b>			
1.	Length of Working Zone	m	
2.	Distance between this and adjacent construction zone	m	
3.	Length of approach transition zone (should be min 50 for a speed of 50 km/ hr)	m	
4.	Length of terminal transition zone	m	
5.	Length of Longitudinal Buffer Zones	m	
6.	Length of Lateral Buffer Zone	m	
<b>Signage's in advance warning zone</b>			
1.	Sign 'Men at Work' before 200m	Y/N	
2.	Sign 'Men at Work' before working zone	Y/N	
3.	Signage saying 'Compulsory Keep Right /Left' provided	Y/N	
4.	Signage saying 'Narrow Road on left/ right' provided	Y/N	
<b>Signage in Approach Transition Zone</b>			
1.	Signage saying 'Compulsory Keep Right /Left' provided	Y/N	
2.	Delineators placed along length of transition	Y/N	
<b>Signage in work zone</b>			
1.	Hazard Marker placed where railing for CD structure on diversion starts	Y/N	
2.	Barricade on either side of work sub zone	Y/N	
<b>Signage in Terminal transition zone</b>			
1.	Sign for Restriction Ends	Y/N	
<b>Road Delineator</b>			
1.	Delineator posts provided	Y/N	
2.	Sand bag delineators with Retroreflective stickers provided	Y/N	
3.	Object Makers Provided	Y/N	

1. Sketch of construction zone showing all sub zones and location of signs  
Attached/ Not Attached
2. Format on Acquisition of Temporary diversions  
Attached/ Not Attached

**Submitted**

Signature.....

Name.....

Designation.....  
Contractor**Checked**

Signature.....

Name.....

Environmental Engineer.  
Construction Supervision Consultant**Approved**

Signature.....

Name.....

Resident Engineer

**Form P6**

**ARRANGEMENT FOR TEMPORARY LAND**

[Quarterly Reporting by Contractor to PMU, Site Layout of all locations to be attached with this format]

Link \_\_\_\_\_

Report – Date: \_\_\_\_\_

Sl. No.	Item	Target date for Establishment	Date of Establishment	Location					Present Land use	Size (m x m)	Existing Trees >30 cm girth	Dist. From nearest settlement	Dist. From nearest water source	Site approved or not (Y/N)	Remarks by CMU (PRBDB) if any
				Name of Village	Chainage (km)	Side (LHS /RHS)	Area (m <sup>2</sup> )	Haul road length (m)							
1	Burrow Areas														
	BA 1														
2	Workers Camps														
	WC 1														
3	Site for Batching Plant														
	BP 1														
4	Site for Hot Mix Plant														
	HMP 1														
5	Stock Yard														
	SY 1														

**Submitted**

Signature.....

Name.....

Designation.....  
Contractor

**Checked**

Signature.....

Name.....

Environmental Engineer.  
Construction Supervision Consultant

**Approved**

Signature.....

Name.....

Resident Engineer



**Form P7****IDENTIFICATION OF SOURCE OF WATER FOR CONSTRUCTION**

[Monthly Reporting by the Contractor]

Link \_\_\_\_\_

Report – Date: \_\_\_\_\_

Sl. No.	Source (Name)	Location /Ch.	Distance from Road	Permission Required	Remarks

**Submitted**

Signature.....

Name.....

Designation.....  
Contractor**Checked**

Signature.....

Name.....

Environmental Engineer.  
Construction Supervision Consultant**Approved**

Signature.....

Name.....

Resident Engineer



**Form C1****DETAILS OF EARTHWORK**

[Monthly Report to be filled by the Contractor for Each Burrow Area under use]

Link \_\_\_\_\_

Month.....

Date of Submission.....

Location of Burrow Area under use

	Name of Village	Chainage (km)	Side (LHS / RHS)	Haul road length (m)
I				
II				

**2. Details of Burrow Areas**

2.1	Capacity of the Burrow Area	
2.2	Percentage of the capacity exhausted	
2.3	Total quantity of the Earth Excavated (in cum)	
2.4	Quantity of Top Soil removed from the Burrow Areas	
2.5	Location of Top Soil stored removed	
2.6	Quantity of Top Soil stored at the beginning of the month	
2.7	Quantity of Top Soil utilized at the end of the month	
2.8	Location (s) where Top Soil has been utilized (Specify on a location plan)	
2.9	Quantity of earthwork excavation from existing road	
2.10	Total quantity of earthwork reused in cum. (5%)	
2.11	Location disposal (if other than sites) (Specify clearly on a location plan)	
2.12	Quantity of earthwork re-used in fill operation	
2.13	Location of burrow areas in disuse / exhausted	
2.14	Outline a rehabilitation plan for each of the exhausted burrow areas with special reference to Erosion Protection Measures. Also, submit at separate detailed rehabilitation plan for exhausted burrow areas for approval supported adequately with layouts, plans and drawings.	

**Remarks****Submitted**

Signature.....

Name.....

Designation.....  
Contractor**Checked**

Signature.....

Name.....

Environmental Engineer.  
Construction Supervision Consultant**Approved**

Signature.....

Name.....

Resident Engineer





**Form C2****DETAILS OF HOT MIX PLANT**

[Monthly Report for Each Hot Mix Plant , to be filled by the Contractor]

Reporting Month.....

Date of Submission.....

**1. Environment Features of the surrounding area**

1.1	Name and location of Hot Mix Plant (w.r.t. PWD km ch.)	
1.2	Wind direction	
1.3	Name (s), distance population and type of settlements in a 1.5 km radius of site.	

**2. Draw/ Attach Sketch Plan of HMP clearly indicating distance and approach roads.**

--

**3. Details of HMP and Mitigation Measures taken**

3.1	Installed Capacity	
3.2	Average Utilization	
3.3	Make	
3.4	Model	
3.5	Last Serviced	

**4. Explain Air Pollution Control Measures taken at the HMP site**

--

**5. Explain Noise Pollution Control Measures taken at the HMP site**

--

Remarks
---------

**Submitted**

Signature.....

Name.....

Designation.....  
Contractor**Checked**

Signature.....

Name.....

Environmental Engineer.  
Construction Supervision Consultant**Approved**

Signature.....

Name.....

Resident Engineer



**Form C3****DETAILS OF LAND FILL OPERATIONS**

[Monthly Report for Each Land Fill site, to be filled by the Contractor]

Month.....

Reporting

Reporting Date .....

**1. Environment Features of the surrounding area**

1.1	Location of each land fill site (Provide sketch Map below)	Name of Village	Chainage (km)	Side (LHS/RHS)	Haul road length (m)
	<b>I</b>				
	<b>II</b>				
1.2	Capacity of each land fill site				
1.3	Safety measure taken at land fill site (s)	1. 2. 3.			

1. Sketch maps

Attached/ Not attached

2.

**Submitted****Checked****Approved**

Signature.....

Signature.....

Signature.....

Name.....

Name.....

Name.....

Designation.....  
ContractorEnvironmental Engineer.  
Construction Supervision Consultant

Resident Engineer



**Form C4****DETAILS OF MACHINERY IN OPERATION**

[Monthly Report , to be filled by the Contractor]

Link \_\_\_\_\_

Reporting Month.....

Date of Submission.....

## 1. Details of Machinery Operation

Sr. no.	Machinery in operation	Registration No./ Mark	Make	Validity date of Pollution Control Certificate
1	Pavers	1.		
		2.		
2	Rollers	1.		
		2.		
3	Number of excavators	1.		
		2.		
4	Number of graders	1.		
		2.		
5	Number of dumpers	1.		
		2.		
		3.		
6	Others (Give details)			

1. Copy of OSPCB emission control certificates (To be attached Quarterly)      Attached/ Not Attached

<b>Remarks</b>
----------------

**Submitted**

Signature.....

Name.....

Designation.....  
Contractor**Checked**

Signature.....

Name.....

Environmental Engineer.  
Construction Supervision Consultant**Approved**

Signature.....

Name.....

Resident Engineer



**Form C5****DETAILS OF WORKSHOPS IN OPERATION**

[Quarterly Report , to be filled by the Contractor]

Reporting  
 Month..... Date of  
 Reporting.....

Sr. No.	Details	Location 1	Location 2	Location 3
1	No. of workshops with repairs facility (furnish location and type of facility provided)			
2	Number of vehicles in repair at each location			
3	Number of oil interceptor provided in each repair / fueling site			
4	Total quantity of oil and wastes recovered in each interceptor during last month. (kg / lit)			
5	Details of waste disposal. (Whether Sold/ Disposed)			

**Submitted**

Signature.....

Name.....

Designation.....

Contractor

**Checked**

Signature.....

Name.....

Environmental Engineer.

Construction Supervision Consultant

**Approved**

Signature.....

Name.....

Resident Engineer

**Form C6**

**REDEVELOPMENT OF BURROW AREAS**

[Monthly Reporting Format to be filled by the Contractor]

Link \_\_\_\_\_

Report-Date\_\_\_\_\_

Sl. No.	Burrow Area No.	Rehabilitation Measures	Date of approval of Rehabilitation	Date of Handing Over back to the Owner	Remarks

- |   |                        |
|---|------------------------|
| 1. Drawing for Redevelopment for each Burrow Area | Attached/ Not Attached |
| 2. Photographs of sites before use                | Attached/ Not Attached |
| 3. Photographs of sites after rehabilitation      | Attached/ Not Attached |

**Submitted**

Signature.....  
 Name.....  
 Designation.....  
 Contractor

**Checked**

Signature.....  
 Name.....  
 Environmental Engineer.  
 Construction Supervision Consultant

**Approved**

Signature.....  
 Name.....  
 Resident Engineer



## Form C7

**SAFETY CHECK LIST**

[Monthly Reporting Format to be filled by the Contractor for each location]

Name of Safety Officer \_\_\_\_\_  
 Date of Inspection \_\_\_\_\_  
 Location .....

Description	Category		
	A	B	C
General			
House Keeping			
Stacking of Material			
Passageway			
Lighting			
Ventilation			
Others			
Electrical			
Switches			
Wirings			
Fixed Installation			
Portable Lighting			
Portable Tool			
Welding Machine			
Others			
Fire Prevention			
Fire Fighting Appliance			
Dangerous Goods Store			
Gas Welding Cylinders			
Others			
Others			
Dust Control			
Noise Control			
First Aid Equipment			
Washing Facility			
Latrine			
Canteen			
Provision of Personal Protective			
Helmet			
Eye Protector			
Ear Protector			
Respirator			
Safety Shoes			
Safety Belts			
Others			

*A: Adequate at time of Inspection ; B: Needs Improvement ; C: Needs Immediate Attention*

**Remarks**

**Submitted**

Signature.....

Name.....

Designation.....  
Contractor

**Checked**

Signature.....

Name.....

Environmental Engineer.  
Construction Supervision Consultant

**Approved**

Signature.....

Name.....

Resident Engineer

## Form C8

**ACCIDENT REPORT**[To be completed **ON OCCURRENCE** of injury by the Safety Officer]

Location : \_\_\_\_\_

Time : \_\_\_\_\_ Day/ Night Weather : \_\_\_\_\_

**Part I****Type of Accident**

D01 ( )	Fall of person from a height	D11 ( )	Explosion
D02 ( )	Slip, trip or fall on same level	D12 ( )	Fire
D03 ( )	Struck against fixed objects	D13 ( )	Contact with hot or corrosive substance
D04 ( )	Struck by flying or falling objects	D14 ( )	Contact with poisonous gas or toxic substances.
D05 ( )	Struck by moving objects	D15 ( )	Contact with poisonous gas or toxic substances
D06 ( )	Struck / caught by cable	D16 ( )	Hand tool accident
D07 ( )	Stepping on nail etc.	D17 ( )	Vehicle / Mobile plant accident
D08 ( )	Handling without machinery	D18 ( )	Machinery operation accident
D09 ( )	Crushing / burying	D19 ( )	Other (please specify)
D10 ( )	Drowning or asphyxiation		

**Agent Involved in Accident**

E01 ( )	Machinery	E11 ( )	Excavation / underground working
E02 ( )	Portable power appliance	E12 ( )	
E03 ( )	Vehicle or associated equipment / machinery	E13 ( )	Ladder
E04 ( )	Material being handled, used or stored	E14 ( )	Scaffolding /gondola
E05 ( )	Gas, vapor, dust, fume or oxygen	E15 ( )	Construction formwork, shuttering and false work.
E06 ( )	Hand tools	E16 ( )	Electricity supply cable, wiring switchboard and associated equipment
E07 ( )	Floor edge	E17 ( )	Nail, splinter or chipping
E08 ( )	Floor opening	E18 ( )	Other (Please specify)
E09 ( )	Left shaft	E19 ( )	
E10 ( )	Stair edge		

**Unsafe Action Relevant to the Accident**

F01 ( )	Operating without authority	F11 ( )	Failure to use eye protector
F02 ( )	Failure to secure objects	F12 ( )	Failure to use respirator
F03 ( )	Making safety devices inoperative	F13 ( )	Failure to use proper clothing
F04 ( )	Working on moving or dangerous equipment	F14 ( )	Failure to use warn others or given proper signals
F05 ( )	Using un-safety equipment	F15 ( )	Horseplay
F06 ( )	Adopting unsafe position or posture	F16 ( )	No unsafe action
F07 ( )	Operating or working at unsafe speed	F17 ( )	Others (please specify)
F08 ( )	Unsafe loading, Placing, mixing etc.	F18 ( )	
F09 ( )	Failure to use helmet	F19 ( )	
F10 ( )	Failure to use proper footwear		

G01 ( )	No protective gear	G08 ( )	Unsafe layout of job, traffic etc.
G02 ( )	Defective protective gear	G09 ( )	Unsafe process of job methods
G03 ( )	Improper dress / footwear	G10 ( )	Poor housekeeping
G04 ( )	Improper guarding	G11 ( )	Lack of warning system
G05 ( )	Improper ventilation	G12 ( )	Defective tool, machinery or materials
G06 ( )	Improper illumination	G13 ( )	No unsafe condition
G07 ( )	Improper procedure	G14 ( )	Others (please specify)

**Human Factors Relevant to the Accident**

H01 ( )	Incorrect attitude /motive		H06 ( )	Disobeyance of Rules
H02 ( )	Alcohol/ Drug Usage		H07 ( )	More Risk taking issue
H03 ( )	Poor perception issue		H08 ( )	Lack of Comprehension
H04 ( )	Unsafe act by other persons		H09 ( )	No unsafe personal factor
H05 ( )	Fatigue Related Issues		H10 ( )	Other (please specify)

Remarks
---------

<b>Submitted</b>	<b>Checked</b>	<b>Approved</b>
Signature.....	Signature.....	Signature.....
Name.....	Name.....	Name.....
Designation..... Contractor	Environmental Engineer. Construction Supervision Consultant	Resident Engineer

**Part-II**

[To be completed Upon Finalization of Employee’s compensation Claim]

- 101 ( )      No permanent incapacity
- 102 ( )      Less than 5% incapacity
- 103 ( )      More than 5% incapacity
- 104 ( )      Final

<b>Submitted</b>	<b>Checked</b>	<b>Approved</b>
Signature.....	Signature.....	Signature.....
Name.....	Name.....	Name.....
Designation..... Contractor	Environmental Engineer. Construction Supervision Consultant	Resident Engineer

**Form C9**

**POLLUTION MONITORING**

(Periodically To be submitted by Contractor for locations at which monitoring to be conducted as per EMP)

Report – Date: \_\_\_\_\_

Compliance to Mitigation measures suggested in last report .....

If not reasons thereof.....

Sl. No.	Chainage (km)	Details of locations	Duration of monitoring	Instruments used	Completion	Standards	Results	Reasons for exceeding standards	Mitigation Measures suggested	Type of area (Residential /Industrial /Commercial)	Remarks
<b>1. Air Monitoring</b>											
						SPM RSPM HC Sox NOx	SPM RSPM HC Sox NOx				
<b>2. Water Monitoring</b>											
						pH TSS TDS Turbidity Hardness Coliform BOD COD Oil & Grease	pH TSS TDS Turbidity Hardness Coliform BOD COD Oil & Grease				

Sl. No.	Chainage (km)	Details of locations	Duration of monitoring	Instruments used	Completion	Standards	Results	Reasons for exceeding standards	Mitigation Measures suggested	Type of area (Residential /Industrial /Commercial)	Remarks
<b>3. Soil Monitoring</b>											
						pH Organic Matter Alkalinity Conductivity Water holding Capacity Pb	pH Organic Matter Alkalinity Conductivity Water holding Capacity Pb				
<b>4.Noise Monitoring</b>											
						L day equivalent L night equivalent L equivalent	L day equivalent L night equivalent L equivalent				

**Remark**

**Submitted**

Signature.....

Name.....

Designation.....  
Contractor

**Checked**

Signature.....

Name.....

Environmental Engineer.  
Construction Supervision Consultant

**Approved**

Signature.....

Name.....

Resident Engineer

**Form C10**

**RESTORATION OF CONSTRUCTION SITES**

(Monthly To be submitted by Contractor for locations at which monitoring to be conducted as per EMP)

Link \_\_\_\_\_

Report-Date.....

Sl. No.	Contract Package	Labour Camp		Construction Camp		Plant Site		Burrow areas		Disposal Locations		Top Soil	
		O	R	O	R	O	R	O	R	O	R	Preserved	Restored

Remarks

**Submitted**

**Checked**

**Approved**

Signature.....

Signature.....

Signature.....

Name.....

Name.....

Name.....

Designation.....  
Contractor

Environmental Engineer.  
Construction Supervision Consultant

Resident Engineer

Form PMU 1

**FORMAT FOR KEEPING RECORDS OF CONSENT OBTAINED BY CONTRACTOR**  
 [Monthly Format]

Report-Date: \_\_\_\_\_

Sl. No.	Clearance	Applicable Acts	Agencies	Obtained on	Valid upto	Remarks
1						
2						
3						
4						
5						
6						

**Remarks**

**Verified**

**Countersigned**

Signature.....

Signature.....

Name.....

Name.....

Resident Engineer  
 Construction Supervision Consultant

Executive Engineer (PMU)



**Form PMU 2****CHECK LIST FOR ENVIRONMENT INSPECTION**

[Monthly Format]

Date of Inspection \_\_\_\_\_

Sl. No.	ESMP Measures	Remarks
1	Provision of a personnel accountable for implementation of ESMP /Safety Measures with Contractor	
2	Consent of PCB to Establish HMP	
3	Consent of PCB to operate HMP	
4	Compliance of PCB Conditions for HMP installation and operation	
5	Whether compliance reported through monthly Progress report to Divisional Office of Executive Engineer	
6	PUC taken for all Construction vehicles	
7	Concrete platform with trap under bitumen boiler, Fuel Tank for HMP and generator set provided or not	
8	Precautions to prevent contamination of soil by emulsion, Bitumen, oil and lubricant taken while storing	
9	Providing cover to fine construction material & bituminous mix during transportation	
10	Burrow areas:	
	a) Burrow areas approved by Department	
	b) Existing land was used	
	c) Nos Opened	
	d) Available Quantity	
	e) Utilized Quality	
	f) Balance Quantity	
11	Spoil and debris disposal:	
	a) Present status of land	
	b) Closure and completion plan	
12	Site specific traffic Safety management Plan:	
	a) Contractor installed the warning /regulatory Traffic signs at the construction site	
	c) The arrangement adequate	

Sl. No.	ESMP Measures	Remarks
13	Safety equipment i.e. helmet, gloves, gumboot, mask, earplugs etc. provided to workers	
14	Health Facility at camp and work site i.e. First Aid kit & suitable vehicle for conveyance in case of emergency / accident	
15	Permit for Procuring River sand	
16	License from Department of mines for quarrying	
17	Consent to establish / operation of crusher	
18	Provision of labour camp with sanitation & potable water	
19	Fire precautions at Hot Mix Plant and site Office	
20	Air and noise monitoring done in camp site	
21	Whether any cultural property is being impacted	
22	Status of drainage provision in camp area	
23	General House Keeping	

**Remarks**

**Verified**

Signature.....

Name.....

Resident Engineer  
Construction Supervision Consultant

**Countersigned**

Signature.....

Name.....

Executive Engineer (PMU)

**Form PMU 3**

**SUMMARY SHEET**  
[To be filled MONTHLY by PMU]

Month \_\_\_\_\_ Date \_\_\_\_\_

Sl. No.	Description	Remarks
<b>1</b>	<b>No Objection Certificate</b>	
A	Hot mix Plant	
	Location 1	
	Location 2	
	Location 3	
B	Cement batching Plant	
	Location 1	
	Location 2	
	Location 3	
<b>2</b>	<b>Pollution Under Certificate</b>	
	Vehicles	
	Machineries	
<b>3</b>	<b>No objection Certificate for Diesel Gen set</b>	
	Location 1	
	Location 2	
<b>4</b>	<b>Labour Camps</b>	
	No. of sites Identified	
	Approved	
	Opened	
	Conforms to conditions imposed at the time of opening of sites	
	Closed	
<b>5</b>	<b>Workers</b>	
	No of workers employed	
	No of male workers	
	No of female workers	
	No of day workers	
<b>6</b>	<b>Burrow Area</b>	
	No. of sites identified	
	Approved	
	Opened	
	Quantity of available material	
	Quantity of material Utilized	
	Quantity of Topsoil preserved	
	Quantity to top soil used	
	No of sites closed	
	No. of sites Rehabilitated	
7.	Quarry	
	No. of sites identified	
	Approved	
	Opened	
	Material available	
	Material obtained	
	No. of sites Rehabilitated	
8	Disposal Locations	
	No. of sites identified	
	Approved	
	Opened	
	Amount of Waste disposed	

Sl. No.	Description	Remarks
	Type of waste disposed	
	No. of sites Rehabilitated	
9	Road Safety	
	Road Safety norms followed as per guidelines, SP-55 and approved Traffic plan	
10	Cleaning of Culvert/ drains	
	No. of culverts/ drains	
	Nos. Cleaned	
11	Trees	
	No of trees marked for cutting in field	
	No of trees cut	
	No of trees to be Planted	
	Trees Planted	
12	Haul Roads	
	Adequacy of maintenance of Haul Road Network	

**Remarks**

**Verified**

Signature.....

Name.....

Resident Engineer  
Construction Supervision Consultant

**Countersigned**

Signature.....

Name.....

Executive Engineer (PMU)

**ICB No: OSRP-CW- ICB-P04A1**  
**[INTERNATIONAL COMPETITIVE BIDDING]**

***Construction for Widening & Strengthening of  
Existing Carriageway to 2-lane road from  
Jagatpur to Nischintakoili***

*(Km. 0/0 to Km. 25/0 of MDR)(Balance Works)*

**Project: Odisha State Roads Project**

**Annexure-IV**

(Additional Information)

**Employer:** Works Department, Government of Odisha,  
Bhubaneswar, INDIA



# **Contents**

## **1. The Odisha Gazette**

- a. Dated July 24, 2015 –Labour & ESI Department Notification
- b. Dated December 15, 2008 – Labour & Employment Department Notification

## **2. Quarry Charts**

- a. For Stone, Moorum and Sand
- b. For Earth





# The Odisha Gazette

EXTRAORDINARY

PUBLISHED BY AUTHORITY

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No. 1112, CUTTACK, FRIDAY, JULY 24, 2015/SRAVAN 02, 1937

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LABOUR & E.S.I. DEPARTMENT

NOTIFICATION

The 24th July, 2015

**S.R.O. No. 323/2015**— Whereas certain proposals to revise the minimum rates of wages payable to certain categories of employees employed in 88 employments were published as required under clause (b) of sub-section (1) of Section 5 of the Minimum Wages Act, 1948 (11 of 1948) in the Extraordinary issue No. 636 of the *Odisha Gazette* dated the 30th April, 2015 under the notification of the Government of Odisha in the Labour & Employees State Insurance Department No.3716-LL-I-(AR)1/15/LESI dated the 30th April, 2015 inviting objections and suggestions from all persons likely to be affected thereby within a period of two months from the date of publication of the said notification in the *Odisha Gazette*;

And, whereas, the representations containing objections and suggestions received within the specified period of two months in respect of the said draft have been duly considered by the State Government and the Advisory Board appointed under section 7 of the said Act, has also been consulted;

Now, therefore, in exercise of the powers conferred by clause(b) of sub-section (1) of Section 3 readwith Section 4 and sub-section (2) of Section 5 of the said Act and in supersession of all previous notifications issued in this regard, the State Government do hereby revise the minimum rates of wages payable to the Unskilled, Semi Skilled, Skilled, Highly Skilled categories of employees employed in 88 employments as mentioned in the Schedule to this notification in the whole State to Rs. 200.00, Rs.220.00, Rs.240.00 and Rs. 260.00 per day respectively with effect from the date of publication of this notification in the *Odisha Gazette*.

**SCHEDULE**

Sl. No. (1)	Name of the Employment (2)	Categories of Employees (3)	Revised minimum rates of wages per day (4)		
1.	Agriculture				
2.	Agarbati and Candle making Worker's Establishments.				
3.	Automobile servicing, repairing garages and workshops.				
4.	Ayurvedic and Unani Pharmacy				
5.	Bakeries and Confectionaries including Biscuit making.				
6.	Bamboo Forest Establishment				
7.	Brass and Bell Metal Industry				
8.	Carpet Weaving Industry				
9.	Cashew processing establishments			Unskilled	Rs. 200.00
10.	Cement pipe making and allied products industry.			Semi-skilled	Rs. 220.00
11.	Ceramic and Pottery Industry			Skilled	Rs. 240.00
12.	Chemical Industry			Highly skilled	Rs. 260.00
13.	Cinema Industry and Film Production				
14.	Clay Pottery				
15.	Coir Industry				
16.	Collection of Sal Seeds				
17.	Construction or maintenance of Dams, Embankments, Irrigation Projects and sinking of wells and tanks.				
18.	Construction or maintenance of roads or in building operations.				
19.	Contingent and Casual Employees in Govt. and other establishments, Courier and Cargo Services				
20.	Cotton Ginning and Pressing Industry				
21.	Dispensary of Medical Practitioner in any Establishment of Medical Consultant or in any Chemical or Pathological Laboratory, Private Nursing Homes, Private Medical College Hospitals, Super Specialty Hospitals, Clinic, Laboratory etc..				

(1)	(2)	(3)	(4)
22.	Distilleries		
23.	Domestic Workers (shall be calculated as per hours of work).		
24.	Electricity transmission, generation and distribution.		
25.	Employment in Non Government Organisation and Voluntary Social Organization.		
26.	Employment in laying of underground Cables, Electric lines, Water supply lines and under Cable Operators.		
27.	Finishing Dying of yarn and fabrics, painting, knitting and embroidery.		
28.	Fisheries and Sea food Industry		
29.	Forest produce such as Genduli gum, Mahua making of coal and resin.		
30.	Foundry Industry with or without attached machine shop.	Unskilled	Rs. 200.00
31.	Glass Industries	Semi-skilled	Rs. 220.00
32.	Gold and Silver Ornaments and articles of artistic design.	Skilled	Rs. 240.00
33.	Graphite Industry including beneficiation	Highly skilled	Rs. 260.00
34.	Handloom and Hosiery		
35.	Hotels, Eating Houses and Restaurants		
36.	Ice Factory and Cold Storage		
37.	Jute Industry and Jute Twine Industry		
38.	Kendu Leaf Collection		
39.	Khadi Village Industries including manufacture of Khandasari and other products.		
40.	Laundry including dry-washing		
41.	Leather Industry		
42.	Liquefied Petroleum Gas manufacture and distribution.		

(1)	(2)	(3)	(4)
43.	Local Authority		
44.	Manufacture of brush and brooms		
45.	Manufacture of Coke and Burning Coals		
46.	Manufacture of Cold drinks, Soda and other allied products.		
47.	Manufacture of Electrical Bulbs and all allied electrical equipments.		
48.	Manufacture of matches, fireworks and explosives.		
49.	Manufacture of nails and pines		
50.	Manufacture of paints and varnishes		
51.	Manufacture of plastic products including toys		
52.	Manufacture of Radio by assembling with parts		
53.	Manufacture of ropes		
54.	Manufacture of Utensils including Aluminum and Hindalium products.	Unskilled	Rs. 200.00
55.	Metal Industry (except the cottage and village scale units).	Semi-skilled	Rs. 220.00
		Skilled	Rs. 240.00
56.	Major or Minor Engineering Industry including Sponge, Secondary Steel, Rolling Mills, Ferro Alloys, Metal Industries (employment less than 50 persons).	Highly skilled	Rs. 260.00
57.	Motor body building		
58.	Non-teaching staff of all private Educational Institutions including Industrial Training Institute and Training Institute Coaching Centre.		
59.	Oil Mill		
60.	Paper and Cardboard Industry		
61.	Petrol and Diesel Oil pumps		
62.	Pharmaceutical Industry		
63.	Power loom Industry		
64.	Printing Press, Desktop Publication Centre and Offset Printing Press		
65.	Private Road Transport		
66.	Private Security Agencies and Private Security Services.		

(1)	(2)	(3)	(4)
67.	Public Health Engineering		
68.	Public Motor Transport		
69.	Readymade Garments Industries including Mechanized Trade of Readymade Garment Industry.		
70.	Refractory Industry		
71.	Regulated markets, Marketing Societies, Co-operative Societies and Banks.		
72.	Rice Mill, Flour Mill or Dal Mill, Chuda Mill and Masala Mill.		
73.	Rubber and Rubber Products Industry		
74.	Salt Pans		
75.	Saw Mills		
76.	Shops, Commercial Establishments, Saloon, Beauty Parlour, Spa, Massaging Centre, Xerox Shop, Subscriber Trunk Dialing Booth, Tent House, Newspaper Hawkers and Newspaper Establishments.	Unskilled Semi-skilled Skilled	Rs. 200.00 Rs. 220.00 Rs. 240.00
77.	Siali leaf pluckers and Sal leaf pluckers	Highly skilled	Rs. 260.00
78.	Soap and Detergent Manufactory		
79.	Social Forestry		
80.	Spinning Mills		
81.	Stone breaking or Stone crushing		
82.	Tamarind collection		
83.	Tile and Brick Making		
84.	Timber Trading (excluding felling and sawing)		
85.	Timber Trading (including felling and sawing)		
86.	Tobacco (including Bidi making) Manufactory		
87.	Trunks, Suitcase and Bucket Manufactory		
88.	Wood works and Furniture Making Industries		

**Explanation:—** for the purpose of this notification—

1. The minimum rates of wages are all inclusive rates including the basic rates, the cost of living allowances and the cash value of the concessional supply if any of essential commodities.
2. The daily minimum rates of wages shall be inclusive of wages payable for the weekly day of rest.
3. The minimum rates of wages are applicable to employees employed by contractors also.
4. The minimum rates of wages for disabled persons shall be same as payable to the workers of the appropriate category.
5. There shall not be any discrimination between male and female workers in the matters of payment of minimum wages in any category of employment.
6. (a) “Unskilled” work means work which involves simple operation requiring little or no skill or experience on the job.  
(b) “Semi-skilled” work means work which involves some degree of skill or competence acquired through experience on the job and which is capable of being performed under the supervision or guidance of a skilled employee and includes un-skilled supervisory work.  
(c) “Skilled” work means work which involves skill or competence acquired through experience on the job or through training as an apprentice or in a technical or vocational institute and the performance of which calls for initiative and judgment; and  
(d) “Highly Skilled” work means work which calls for a high degree of performance and full competence in the performance of certain tasks, acquired through intensive technical or professional training or practices, work experience for long years and also required for workers to assure full responsibility for the judgment or decisions involved in the execution of these tasks.
7. An adult employee shall work for 08 (eight) hours per day excluding half an hour of rest.

[No.6502–LL-I(AR)-1/15/LESI.]

By Order of the Governor

G. SRINIVAS

Principal Secretary to Government

# The Orissa Gazette

EXTRAORDINARY  
PUBLISHED BY AUTHORITY

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No. 2306, CUTTACK, THURSDAY, DECEMBER 18, 2008/ MARGASIRA 27, 1930

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[No.12653-LL-I-(iii)-25/07/LE.]

**LABOUR & EMPLOYMENT DEPARTMENT**

**RESOLUTION**

The 15th December 2008

The Government of India in the Ministry of Labour & Employment have enacted the Building and Other Construction Workers (Regulation of Employment and Conditions of Service) Act, 1996 with the objective of regulating the Employment and Conditions of Service of the Building and Other Construction Workers and to provide their safety, health and welfare measures. The Government of Orissa with a view to enforce the provisions of the Building and Other Construction Workers (Regulation of Employment and Conditions of Service) Act, 1996 have formulated rules namely the Orissa Building and Other Construction Workers (Regulation of Employment and Conditions of Service) Rules, 2002 and this has been published in the *Orissa Gazette* vide Government Notification No. 9174-LE., dated the 2nd August 2002.

2. The Central Government have enacted a legislation namely the Building and Other Construction Workers Welfare Cess Act, 1996 and framed Rules there under, which provides for the levy and collection of Cess @ not less than 1% and not more than 2% on the cost of construction incurred by employers / builders under the Building and Other Construction Workers (RE&CS) Act, 1996 with a view to augmenting the resources of the Building and Other Construction Workers Welfare Board constituted under the Act. The cost of the construction shall exclude the cost of land and any compensation paid or payable to worker or his / her kin under the Workmen Compensation Act, 1923.

3. In accordance with the provisions under section 3(1) of the Building and Other Construction Workers Welfare Cess Act, 1996, the State Government have been pleased

to order for collection of Cess @ 1% of the cost of construction incurred by an employer / builder which shall exclude the cost of land and any other compensation paid or payable to a worker or his kin under the Workmen Compensation Act, 1923.

4. The Building and Other Construction Work as defined under section 2(1)(d) of the Act, is reproduced hereunder,

2(1)(d) – “Building and Other Construction Work” means the construction, alteration, repairs, maintenance or demolition, of or, in relation to, buildings, streets, roads, railways, tram ways, airfields, irrigation, drainage, embankment and navigation works, flood control works (including storm water drainage works, generation, transmission and distribution of water), oil and gas installations, electric lines, wireless, radio, television, telephone, telegraph and overseas communications, dams, canals, reservoirs, water courses, tunnels, bridges, viaducts, aqueducts, pipelines, towers, cooling towers, transmission towers and such other work as may be specified in this behalf by the appropriate Government by Notification, but does not include any building or other construction work to which the provisions of the Factories Act, 1948 (63 of 1948) or the mines Act, 1952 (35 of 1952) apply.”

5. The Building & Other Construction Workers (RE&CS) Act, 1996 applies to every establishment which employs or had employed on any day of the preceding 12 months, 10 or more workers in any building or other construction work. Thus every establishment to whom this Act applies, whether appropriate Government in respect of such establishment or employer of such establishment shall have to collect and pay the cess amount to the Orissa Building and Other Construction Workers Welfare Board in the manner as laid down under the Building and Other Construction Workers (RE&CS) Act, 1996 and its rules thereunder.

6. As per the provisions of the Building and Other Construction Workers Welfare Cess Act, 1996, the following persons are liable to pay the Cess:—

- (a) In respect of the Government Departments, public sector undertakings and other Government agencies, such as BDA, CDA etc., Railway Authorities, Air port Authorities, Land Army, construction corporation etc, where work is carried on by them directly without any contractor, the head of the Department and if



the work is carried on through a contractor, the Authority specified in this behalf or where no authority is specified, the Head of the Department.

- (b) In respect of the Local Authority, where work is carried out directly or through a contractor, the Chief Executive Officer of that establishment.
- (c) In respect of the individual residential house, the owner himself.
- (d) In respect of group housing, big mahals, hospitals and apartments, the builders or the contractors as the case may be.

7. Hence in the light of the decision taken by the Government to enforce the provisions of the Building and Other Construction Workers Welfare Cess Act, 1996 it is ordered that:—

- (a) All Government Departments, Public Sector Undertakings and other Government Departmental agencies/ bodies carrying out any buildings or other construction works which are covered under section 2(1)(d) of the Building and Other Construction Workers (RE&CS) Act, 1996 shall pay 1% of the amount of the cost approved as per the tender notification. This amount will be deducted from the bill at the time of making payment to the contractors and such amount shall be remitted by way of account payee cheque in favour of the Orissa Building and Other Construction Workers Welfare Board within 30 days of making payment alongwith a forwarding letter addressed to the Secretary-*cum*-Chief Executive Officer, the Orissa Building and Other Construction Workers Welfare Board, Office of the Labour Commissioner, Orissa, Bhubaneswar.
- (b) In case the aforesaid work is carried out internally or departmentally without engaging contractors, the 1% of the total cost shall be remitted by the Chief Executive of the department / organization by account payee cheque drawn in favour of the Orissa Building and Other Construction Workers Welfare Board by the Government Departments, Public Sector Undertakings and other Governmental bodies.
- (c) Where the approval of the construction work by Local Authority that is Urban Local Bodies or Rural Local Bodies, is required it shall obtain estimated cost of the construction alongwith building plans, which are submitted for approval by

the concerned employers, i.e. owners / contractors / builders etc., such bodies shall collect by way of demand draft in favour of the Orissa Building and Other Construction Workers Welfare Board up front an amount of 1% of the estimated cost furnished alongwith building plans and remit the demand draft to the Orissa Building and Other Construction Workers Welfare Board, alongwith forwarding letter within 30 days in terms of Rules, 5(3) of the Cess Rules, 1998. The Board shall give back 1% of the such total collection to the local body for the services rendered.

- (d) The provisions of the Act is not applicable to individual residential house whose total cost does not exceed Rs. 10.00 lakh. In other cases, they are liable to pay the Cess.
- (e) It is mandatory for every employer of the establishment to whom the provisions of the Building and Other Construction Workers (RE&CS) Act, 1996 is applicable to furnish a return in Form-I to the concerned Assessing Officer in terms of section 4 of the Building and Other Construction Workers Welfare Cess Act, 1996 and the Rules thereunder, 1998.

**8.** The Cess will be collected by the Cess Collectors. The State Government have appointed all the Assistant Labour Officers, District Labour Officer working in the field and Labour Commissioner, Joint Labour Commissioner and Assistant Labour Commissioners posted in the Directorate of the Labour Commissioner, Orissa as Cess Collectors vide Notification No. 1455/LE., dated the 11th February 2004 which may be referred to.

**9.** The agencies carrying out execution of Building and Other Construction Works covered under Section 2(1)(d) of the Building & Other Construction Workers (Regulation of Employment and Conditions of Service) Act, 1996 shall directly deposit under the proper Head of Account into the Government Treasury. The Head of Account is as follows: “— 0230-Labour & Employment-101-Receipts under Labour Laws- 0014-Collection of fees and Fines-02190-Fees realized under the Building and Other Construction (Regulation of Employment and Conditions of Service) Act, 1996 – 02191-Fees realized under the Building and Other Construction Worker’s Welfare Cess Act, 1996, for the year .....

**10.** The Social Security benefits to be extended to the registered construction workers may cover any of the following to be decided by the Orissa Building and Other Construction Workers Welfare Board as per the availability of resources and approved guidelines for the scheme.

- (a) Accident Benefit.
- (b) Pension to the beneficiaries on completion of 60 years of age.
- (c) Family Pension.
- (d) Invalid Pension.
- (e) Death Relief / Funeral Assistance.
- (f) Loan and Advances for construction of house.
- (g) Group Insurance.
- (h) Financial Assistance for the education of the children of the beneficiaries.
- (i) Medical expenses for treatment of major ailments of beneficiaries and the dependents.
- (j) Maternity benefit to the female beneficiaries.
- (k) Marriage benefits.
- (l) Tool advances.
- (m) Financial Assistance for coaching in specialized subjects.
- (n) Grant of loan or subsidy to a Local Authority in any scheme connected with the Welfare of the Building / Construction Workers.

However the above benefits are some of the examples; but the decision of the Board will be final.

**11.** The Orissa Building and Other Construction Workers Welfare Board will function at present in the office of the Labour Commissioner, Orissa , Bhubaneswar with the following staff as per sanction of 08(eight) No. of posts by the Finance Department. The expenditure on account of creation and filling up of these posts may be met out of the budgetary provisions made for the functioning of the Board.

- (a) One Post of Deputy Labour Commissioner in the pay scale of Rs. 9,350/ -14,550/-.
- (b) One Post of Assistant Labour Commissioner in the pay scale of Rs. 8,000/ -13,500/-.

- (c) One Post of Labour Officer in the pay scale of Rs. 6,500/- 10,500/-.
- (d) One Post of Assistant Labour Officer in the pay scale of Rs. 5,500/ - 9,000/-.
- (e) Two Posts of Rural Labour Inspectors (R.L.I.) in the pay scale of Rs. 5,300/ - 8,300/-.
- (f) One Post of Stenographer in the pay scale of Rs. 4,000/ - 6,000/-.
- (g) One Post of Statistical Assistant in the pay scale of Rs. 4,750/ - 7,500/.

**12.** Audit of fund shall be conducted by Local Fund Audit Organization of the State, Labour & Employment Department and Accountant General, Orissa. Government may also cause special audit of the fund if it is felt necessary in the interest of the State and the Board as well.

**13.** Collection and remittance of aforesaid Cess @ 1% will be mandatory from the date of issue of this Resolution.

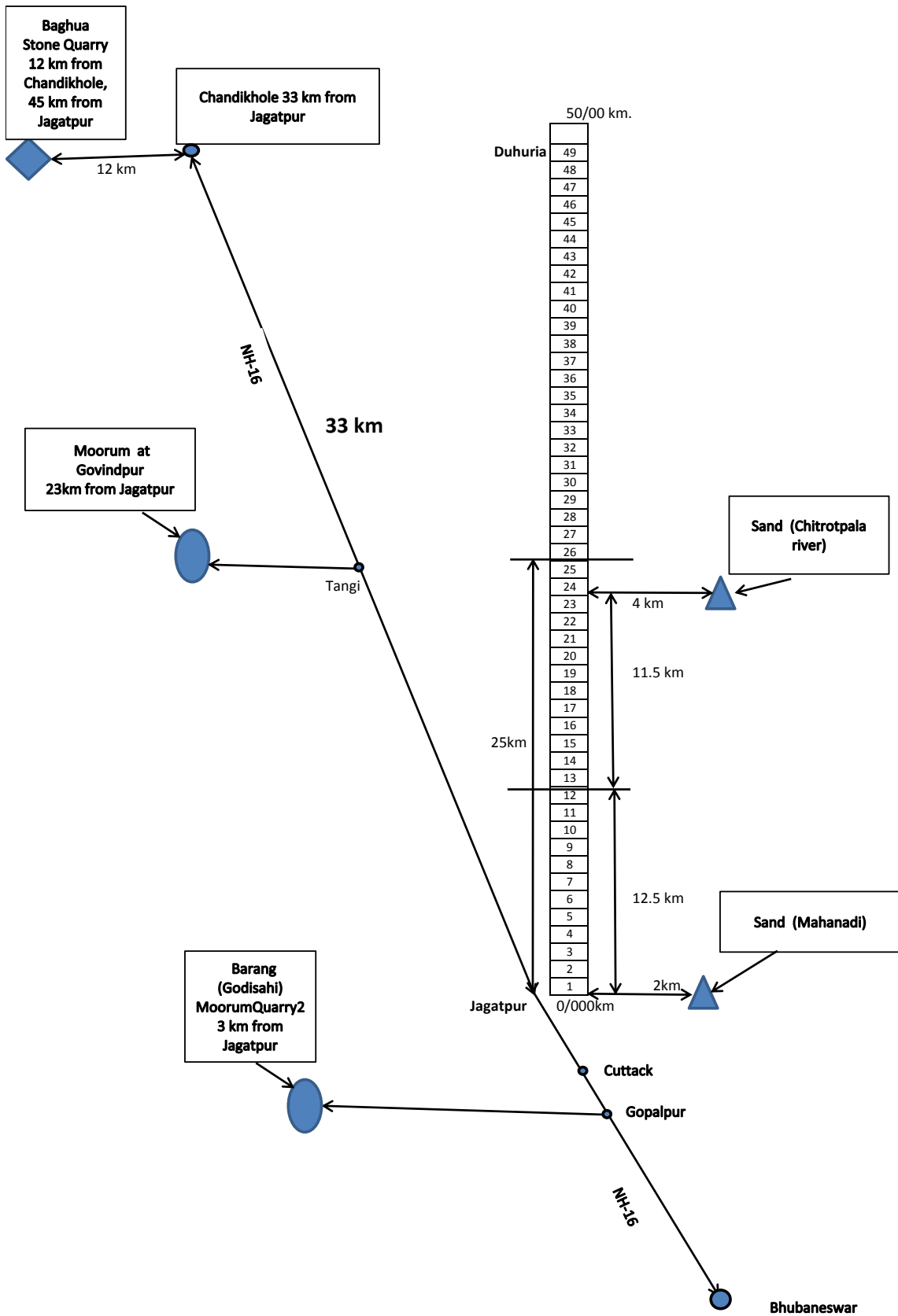
**14.** This has been concurred in by the Finance Department vide their UOR No.496-SSII, dated the 14th November 2008.

ORDER

Ordered that the Resolution shall be published in the extraordinary issue of *Orissa Gazette* and copies thereof shall be forwarded to all Departments of Government / All Heads of Departments.

By order of the Governor  
GUNANIDHI JENA  
Deputy Secretary to Government

**ODISHA STATE ROADS PROJECT**  
**Indicative Quarry Chart for Package OSRP-CW-ICB-P04A-1**



ODISHA STATE ROADS PROJECT

Indicative Quarry Chart for Earth Package OSRP-CW-ICB-P04A1 & P04A2

