



**CONTRACT
FOR
INDIVIDUAL CONSULTANT SERVICES FOR EXTERNAL (SOCIAL)
SAFEGUARDS REVIEW AND MONITORING UNDER ODISHA STATE ROADS
PROJECT**

BETWEEN

**CHIEF ENGINEER, WORLD BANK PROJECTS, ODISHA
ON BEHALF OF
WORKS DEPARTMENT, GOVERNMENT OF ODISHA**

AND

**DR. ABHASH CHANDRA PANDA
SOCIAL SAFEGUARD SPECIALIST**

**B-21, BJB NAGAR
BHUBANESWAR- 751014**

***PROJECT MANAGEMENT UNIT, ODISHA STATE ROADS PROJECT
OFFICE OF THE ENGINEER-IN-CHIEF (CIVIL), ODISHA,
NIRMAN SOUDHA, KESHARI NAGAR, UNIT – V, BHUBANESWAR – 751 001
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NOVEMBER 02, 2012


Consultant


Chief Engineer,
World Bank Projects, Odisha

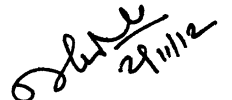
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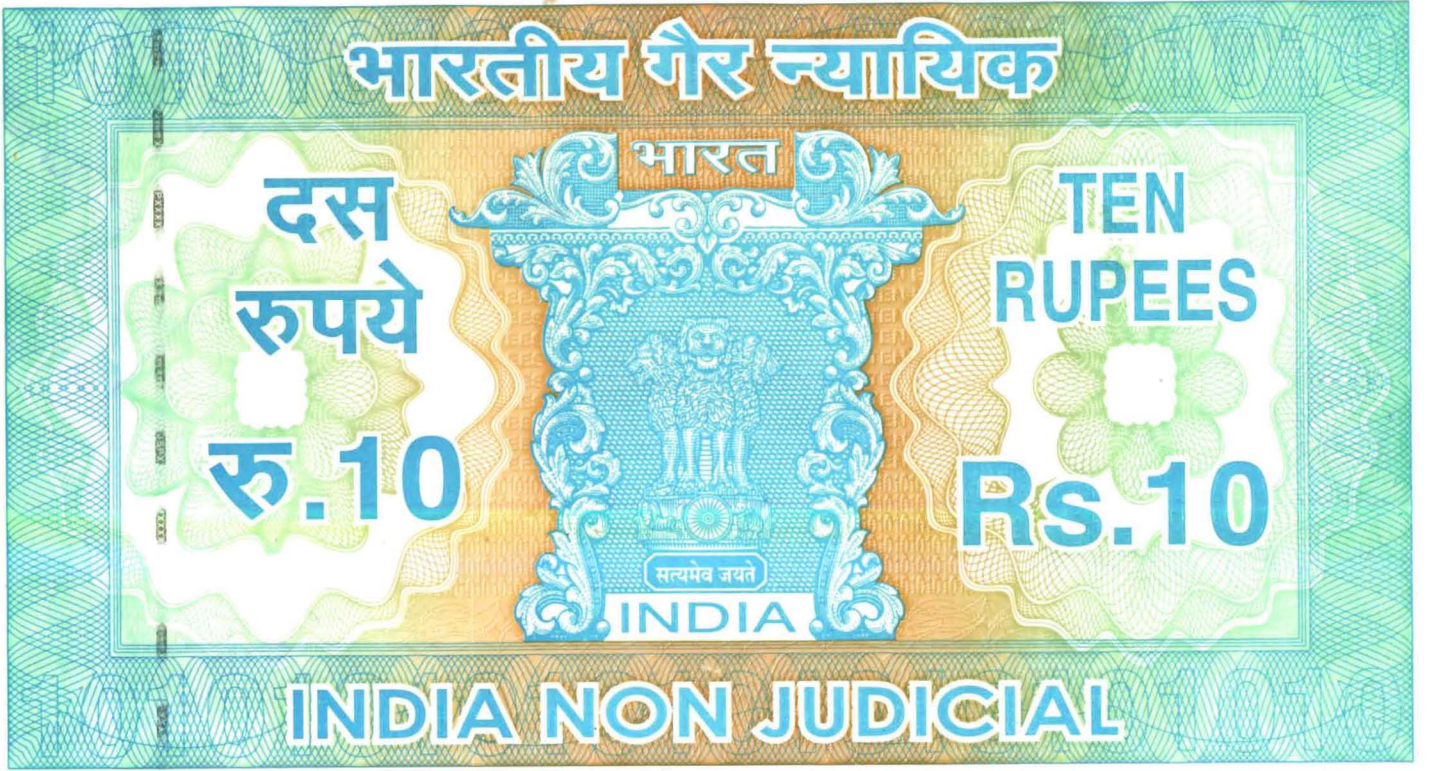


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Chief Engineer,
World Bank Projects, Odisha



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CONTRACT

FOR

INDIVIDUAL CONSULTANT SERVICES FOR EXTERNAL (SOCIAL) SAFEGUARDS
REVIEW AND MONITORING UNDER ODISHA STATE ROADS PROJECT

This CONTRACT (hereinafter called the "Contract") is made the 2nd day of the month of November, 2012 between, on the one hand, Chief Engineer, World Bank Project, Odisha, Bhubaneswar (hereinafter called the "Client") and, on the other hand, Dr. Abhash Chandra Panda, (hereinafter called the "Consultant").

WHEREAS

- (a) The Client has requested the Consultant to provide certain consulting services as defined in this Contract (hereinafter called the "Services");
- (b) The Consultant, having represented to the Client that it has the required professional skills, and personnel and technical resources, has agreed to provide the Services on the terms and conditions set forth in this Contract;
- (c) The Client has received a loan from the International Bank for Reconstruction and Development (hereinafter called the "Bank") towards the cost of the Services and intends to apply a portion of the proceeds of this loan to eligible payments under this Contract, it being understood (i) that payments by the Bank will be made only at the



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Chief Engineer,
World Bank Projects, Odisha

request of the Client and upon approval by the Bank , (ii) that such payments will be subject, in all respects, to the terms and conditions of the agreement providing for the loan , and (iii) that no party other than the Client shall derive any rights from the agreement providing for the loan or have any claim to the loan proceeds;

- (d) For administrative purposes Er. Bijoy Chandra Tripathy, Executive Engineer-II, PMU Odisha State Road Projects has been assigned to administer the assignment on behalf of the client and to provide the consultant with all relevant information needed to carry out the assignment.
- (e) The services will be required in Bhawanipatna-Khariar road (P-01)-68 kms, Anandpur-Bhadrak-Chandbali road (P-02)-95 kms, Berhampur-Taptapani road (P-03)-41 kms and Jagatpur-Chandbali road (P-04)-106 kms under the Odisha State Roads Project for about 2 months, during the period from 2st November, 2012 to 31st December, 2012.
- (f) The Chief Engineer, World Bank Projects; Odisha may find it necessary to postpone or cancel the assignment and/or shorten or extend its duration based on exigencies of situation. However, every effort will be made to give the consultant, as early as possible, notice of any such changes. In the event of termination, the consultant shall be paid for the services rendered for carrying out the assignment to the date of termination, and will provide the Chief Engineer, World Bank Projects, Odisha with any reports or parts thereof, or any other information and documentation gathered under this Contract prior to the date of termination.
- (g) This Contract, it's meaning and interpretation and the relations between the parties shall be governed by the law of union of India.
- (h) Set out below are the terms and conditions under which the consultant has agreed to carry out the assignment for the Chief Engineer, World Bank Projects, Odisha. The services to be performed, the estimated time to be spent, and the reports to be submitted will be in accordance with the attached Terms of Reference.
- (i) This Contract will become effective upon signing by both parties terminate on 31st December 2012 or such other date as mutually agreed upon.
- (j) Payments for the services will not exceed the total amount indicated in the attached Financial offer i.e. Rupees 2.80 lakh excluding applicable taxes for the assignment.
- (k) The consultant shall be paid on the lump sum basis for the assignment as follows within 30 days' of receipt of invoice:


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- a. First payment after the approval of inception report along with the Work plan: 20%
- b. Second payment against presentation on the draft report for discussion and approval thereon : 20%
- c. Third payment submission of final draft report incorporating comments: 50%
- d. Final payment after approval of the report from the World bank: 10%

The said fee will include all incidental expenditure and taxes. The Client will perform such duties, in regard to the deduction of such tax, as may be lawfully imposed.

In addition, the Client, World Bank Projects, Odisha will provide the “support” to the enumerated in the attached Terms of Reference to enable him carry out the services.

- (l) The Consultant shall be responsible for appropriate insurance coverage. In this regard, he shall maintain medical, travel, accident and third-party liability. He shall indemnify and hold harmless, the Chief Engineer, World Bank Projects, Odisha against any and all claims, demands, and/or judgments of any nature brought against the Chief Engineer, World Bank Projects, Odisha arising out of the services under this Contract. The obligation under this paragraph shall survive the termination of this Contract.
- (m) All materials produced or acquired under the terms of this Contract written, graphic, film, magnetic tape or otherwise shall remain the property of the Chief Engineer, World Bank Projects, Odisha. The Chief Engineer, World Bank Projects, Odisha retains the exclusive right to publish or disseminate reports arising from such materials. The rights and duties provided for in this paragraph shall continue, notwithstanding the termination of this Contractor the execution of its other provisions.
- (n) The Consultant carry out the assignment in accordance with the highest standard of professional and ethical competence and integrity, having due regard to the nature and purpose of the assignment, and will conduct yourself in a manner consistent herewith.
- (o) The Consultant shall not assign this Contract or sub-contract or any portion of it without the Client's prior written consent.
- (p) During the term of this Contract and after its termination, The Consultant shall be disqualified from providing goods, works or services (other than the Services and any continuation thereof) for any project resulting from or closely related to the Services.
- (q) The Consultant knowledge and information not within the public domain which may be acquired by The Consultant during the carrying out of this Contract, shall be, for all time and for all purpose, regarded as strictly confidential and held in confidence, and shall not be directly or indirectly disclosed by the Consultant to any person


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whatsoever, except with the Chief Engineer, World Bank Projects, Odisha's written permission.

- (r) Any dispute arising out of the Contract, which cannot be amicably settled between the parties, shall be referred to adjudication/arbitration in accordance with Arbitration & Conciliation Act 1996.

The mutual rights and obligations of the Client and the Consultant shall be as set forth in the Contract, in particular:

- (a) The consultant shall carry out the Services in the accordance with provisions of the Contract; and
(b) The Client shall make payments to the Consultants in accordance with the provisions of the Contract.

The following documents attached here to shall be deemed to form an integral part of this contract:

LIST OF ANNEXURES


Annexure-1	Terms of Reference
Annexure-2	Curriculum Vitae of Consultant
Annexure-3	Work plan and Methodology of the consultant
Annexure-4	Financial Proposal of the consultant vide letter no. Nil dtd. 21.09.2012
Annexure-5	Bank policy on – Corrupt and Fraudulent Practices
Annexure-6	Award of Contract

IN WITNESS WHEREOF, the parties hereto have caused this Contract to be signed in their respective names as of the day and year first above written.

FOR THE CLIENT


FOR THE CONSULTANT

Signed By _____
Name: **Mr. Nalini Kanta Pradhan**
Title: Chief Engineer, World Bank Projects,
Odisha

Signed By 
Name: **Dr. Abhash Chandra Panda**
Title: **Social Safeguard Specialist**

Witness:

1. Sri Bijoy Chandra Tripathy
2. Sri Subhransu Sekhar Swain


Consultant

**TERMS
OF
REFERENCE**



Consultant

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Chief Engineer,
World Bank Projects, Odisha

**Terms of Reference for
External (Social) Safeguards Review and Monitoring
(ODISHA STATE ROADS PROJECT)
Loan No – 7577-IN**

Introduction

1. The Works Department (OWD), Government of Odisha (GoO), India has planned to improve its core network of about 900 km of state highways under the Odisha State Road Project (OSRP) with the proceeds of a loan of USD 250 million from the World Bank (IBRD). The Project aims to remove transport bottlenecks in targeted transport corridors for greater investment and economic and social development activities in Odisha. The Project has two components:(i) **Road Corridor Improvement Component** improving the performance, safety, and carrying capacity of priority roads in the state in an environmentally and socially sustainable manner (widening, strengthening and realignment of about 461 km of important existing roads); and (ii) **PPP Enabling Support, Sector Policy and Institutional Development, and Implementation Support** for attracting private sector participation in the road sector and helping the state Government to establish a conducive policy, institutional and governance framework to improve road sector management, financing and safety.

2. An R&R Entitlement Policy in line with the Odisha R&R Policy of 2006 and compliant with the Bank Operational Policy 4.12 has been established for mitigating involuntary resettlement impacts of the Project. Procedures and mechanisms have been established for preparing and implementing Social Management Plans including Resettlement Action Plan (RAP), Tribal Development Plan, HIV/AIDS Action Plan, and Road Safety Awareness Plan for each road package. The OWD has established a Social Management Cell with land acquisition officers and social/environmental professionals for planning and implementing these social management plans. The Project has engaged a Nodal NGO (NNGO) at PMU level with road work implementation support NGOs (INGO) at the package level to assist with planning, implementation and monitoring support for each road.

3. Social safeguard monitoring is agreed to be carried out through internal and external mechanisms. Internal monitoring of safeguard issues is done by the Package Manager and the facilitating NGO and the Social Management Cell of the PMU. External monitoring is aimed to be carried out by the Rehabilitation & Periphery Development Advisory Committee (RPDAC) with the objective of providing feedback to Project management, which would help them identify and address constraints and challenges during implementation, with remedial measures including timely adjustment of implementation arrangements.

Objective of this Assignment

4. The Project Authority wants to carry out an independent review of the social management plans and their implementation for the phase-I and phase-II roads. These include: ***Berhampur – Taptapani road (41 kms); Bhawanipatna-Khariar- (68 kms), Anandpur-Bhadrak-Chandbali (95 kms) and Jagatpur-Chandbali 106 kms.*** This review is intended to help the Project authorities to assess and ensure that safeguard measures are properly implemented with living standards of affected people restored or improved. Independent evaluation of OSRP-social management plans including RAP, TDP, and HIV/AIDS Plan are to be carried out at the mid- and end-term. OSRP was approved in 2008 and has completed 3 years of implementation, therefore a mid-term review is being proposed.

Scope of Work

5. In this context, the OWD is looking for an external social development consultant to carry out an independent review of social safeguards management including of RAP, TDP, and HIV/AIDS and Road Safety plan implementation and determine whether stated goals were achieved, and suggest corrective measures if necessary. The Consultant will review and verify the following aspects:

- a. Progress in LA and R&R implementation as outlined in the RAP with regard to payment of compensation and R&R assistance and transfer of contiguous road stretches for civil work;
- b. Resettlement of the displaced residential households with focus on payment of entitlements including alternative sites/site allowances for re-establishing shelter;
- c. Effectiveness of entitlements offered and measures taken to enable the affected commercial units to re-establish their business/incomes (in both squatter and owner categories) with data on number and percent of businesses affected and re-established;
- d. Extent to which affected livelihoods and living standards have been restored or improved as per the R&R objectives;
- e. Quality and progress of measures taken to sustainably relocate the affected community assets, and cultural properties including religious structures;
- f. Institutional capacity and effectiveness for social management including survey, RAP preparation, PAP consultation, grievance redress, provision of entitlements, site clearance, NGO management, data base management and reporting, inter-agency coordination, and internal and external monitoring systems; document and assess adequacy of steps taken to build the capacity of the social management unit to improve performance;
- g. Role of NGOs appointed in the areas of community awareness and mobilization; R&R benefit distribution and site clearance, utilization of the R&R assistance for shelter and livelihood rehabilitation, role in grievance redress; planning and implementation of road safety and HIV/AIDS awareness plans; and overall monitoring and reporting;
- h. Disclosure, public information, consultation and grievance redress strategies with focus on mechanisms established for raising awareness about PAP entitlements and social management plans; functioning of grievance redress system, especially at the field level; people's participation and stakeholder consultation in planning and implementing social management plans;
- i. Quality of R&R outcomes through field interaction with affected people and stakeholders;
- j. Preparation and implementation of HIV/AIDS awareness and Road Safety plans in consultation with the local stakeholders and communities;
- k. Assess status and effectiveness of safeguards monitoring and reporting in OSRP, including preparedness check before contract award and site handover for civil work; functioning of the multiple oversight committees such as district compensation advisory committee, RPDAC, state level compensation advisory committee, and state level committee on R&R with information about meetings held, subjects discussed and actions taken;

1. Identify strengths and weaknesses of the LA and R&R policy and practice; difficulties encountered, lessons learnt with suggestions for corrective measures;

Method and Process:

6. While performing above tasks, following activities shall be conducted by the consultant.

- a. Review relevant policies and action plans including feasibility studies and RAPs for road packages;
- b. Interactions with PMU and package level staffs of OWD including NGOs.
- c. Interactions with relevant state departments, agencies and stakeholders including the World Bank;
- d. Conduct field visit and focus group discussions with people in villages where houses and shops have been displaced; check and verify field level activities; key informant interviews.
- e. Prepare and submit review report after the completion of the assignment with recommendation for further interventions in continuing the skill enhancement of internal monitoring team in carrying out monitoring of resettlement activities to district and PCU.

Consultant Qualifications

7. The Consultant should have at least five years of relevant experience in monitoring and evaluation of social dimensions of infrastructure/development projects and significant experience in resettlement policy and implementation aspects. Further, work experience and familiarity with all aspects of resettlement operations would be desirable. The consulting firm or NGO or any agency involved in any aspect of the project will not be eligible for the application.

8. The consultant should have a higher degree in sociology, anthropology, political science, development studies, human geography, planning, or other relevant social sciences with practical experience in resettlement and in monitoring and evaluation. Preference shall be given to a candidate experienced in infrastructure project with social mobilization aspects with intensive field visits. Previous experience of work with ADB or WB projects will be a plus. Women candidates are encouraged to apply.

9. The consultant shall commence the work from the date of signing agreement. It is estimated that approximately 40 working days will be required for carrying out the services. Responsibilities of the consultant shall continue until the approval of the report by World Bank.

Payment

10. The payment shall be made on the lump sum basis for the assignment as follows:

- a. First payment after the approval of inception report along with the Work plan: 20%
- b. Second payment against presentation on the draft report for discussion and approval thereon : 20%
- c. Third payment submission of final draft report incorporating comments: 50%
- d. Final payment after approval of the report from the World bank: 10%


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Curriculum Vitae
Of
Consultant Facilitator


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CURRICULUM VITAE

Proposed role in the project: Social Safeguards Expert

Category:

Family name: Panda

First names: Abhash

Date of birth: 4th January 1959

Passport holder: India (K 2302192)

Civil Status: Married

Education:

Institution	Degree(s) or Diploma(s) obtained:
Jawaharlal Nehru University (JNU), New Delhi, India [1981-1983]	M.A. (Sociology)
School of Natural Resources and Environment, University of Michigan, U.S.A [1992-1993]	Mid-level Professional Graduate Programme in Natural Resources Management
Indian Institute of Technology (IIT), Kanpur, India [1984-1988]	Ph.D. (Sociology)

Language skills: [1: excellent / 5: basic]

Language	Reading	Speaking	Writing
<i>English</i>	1	1	1
Hindi	1	1	1
Oriya	1	1	1
Bengali	-	3	-

Membership of professional bodies:

- Founder member of Indian Carbon Market Group, New Delhi, India
- Research Committee (RC33) on Logic and Methodology of International Sociological Association, Paris.
- Indian Sociological Society, New Delhi.
- Forests Trees and People Network - Asia and the Pacific region, Australia.
- Visiting Professor at the Centre for Development Research and Training (CENDERET), Xavier Institute of Management (XIM), Bhubaneswar, India.


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Other skills: Fully computer literate, MS Office, SYSTAT and other standard packages

Present position: Managing Director, ERA Consultancy Private Limited, India

Years within the firm: 15 (Total professional experience 27 years)

Key qualifications:

- Social Safeguards Expert with experience in resettlement ; over 25 years of experience in appraisal, design, planning, implementation, monitoring, and evaluation/impact assessment of projects and programs, especially on ESIA (land acquisition & involuntary resettlement); gender & social inclusion; health & safety; child labour and HIV & AIDS etc.
- Experience in practicing social & environmental safeguards principles, policies and due diligence adhered by the World Bank, EU, EBRD, ADB, IFC, UN, MCC, USAID, AfDB and other donors
- Skills and experience of dealing with relocation of indigenous people and cultural sites
- Conduct socio-economic surveys , feasibility studies, project/program reviews and evaluation
- Skilled with LFA, application of RBM and theory of change (TOC)
- Experienced in conducting workshops and training/capacity building exercise for different clients including primary and secondary stakeholders in projects/programs
- Experienced with design and implementation of awareness raising and IEC activities, policy & advocacy, participatory approaches
- Experienced in coordination/management of multi-disciplinary teams for projects financed by the State, National Governments and International bilateral and multi-lateral agencies
- Provide implementation support to different aspects of project/program cycle management
- Work with a wide range of stakeholders, including national and state governments, NGOs and CBOs

Specific experience in the region:

Country	Date from – Date to
India	1997-tilldate
Afghanistan	2009-2012
Sudan	2012-

Professional experience:

Date [from- to]	Location	Company & Reference Name-Contact detail	Position	Description
Feb 2012 – June 2012	Sudan	World Bank/Ministry of Transport, Roads and Bridges, Sudan Mr. Modawi Tiraifi , Project Coordinator modawitiraifi@yahoo.com	International Environmental and Social Specialist	<i>Technical Assistance to the Environmental and Social Management Unit (ESMU) in MoT (NS Grant No. TF 057323) 2: National Emergency Transport Rehabilitation Project (NETREP), Ministry of Transport, Roads & Bridges, Republic of Sudan:</i> Implementing the ESMF and social inclusion strategy within the Ministry; guidance/mentorship to Ministry ESM team; support M&E of project activities and support integration of ESM practices into the Ministry's transport sector portfolio of projects.
June 2011 – Jan 2012	Afghanistan	AGOC, United Nations Organization for Project Services (UNOPS) Mr. Selwyn Heaton, Team Leader, NERAP selwynH@unops.org	International Environment and Social Management Advisor	<i>National Emergency Rural Access Project (NERAP).</i> Implementation Consultant to assist Government of Afghanistan in the rehabilitation of rural transport infrastructure for the Ministry of Public Works (MPW). Implementing the ESMF; gender & social inclusion strategy including health and safety within the MPW PIU; guidance/mentorship to MPW ESM team; support M&E of project activities and support integration of ESM practices into UNOPS transport sector portfolio of projects.
February 2011- May 2011	India	The Asian Development Bank (A DB)/ Scot-Wilson India Mr. B K Basu, Technical Director bkbasu@scotwilsonindia.com	R &R cum Social Development Expert	<i>Consultancy Services for Supervision of Civil Works for Bihar State Highway Project (Phase II: Bihita –Sarmera).</i> Supervising environmental and social safeguards including implementation and monitoring of resettlement plans. Impart training to the client, contractor and NGOs on implementation of LARP.
August 2010 - October 2010	Afghanistan	World Bank/ Islamic Republic of Afghanistan Mr. Mohammad Farhad farhad.noorzai@eirp-afg.org	International Environmental Consultant	<i>Ministry of Water & Energy (MEW), Afghanistan Emergency Irrigation Rehabilitation Project.</i> Preparation of Environmental Management Framework including environmental checklist/guidelines and environment management plan on canals, dams and on-farm water management; Training and capacity building of national and provincial staff; Institution strengthening at national and provincial level; Review of environmental policies and documents of the World Bank and Afghanistan and suggest country-specific features.

Date [from- to]	Location	Company & Reference Name-Contact detail	Position	Description
December 2009 - June 2010	India	The World Bank Group, India Ms. Neha Vyas nvyas@worldbank.org	Short Term Consultant	Orissa State Roads Project, Orissa, India. Professional advice and guidance to the OWD/PMU for successful implementation of EMP and participate in the Implementation Support Missions of the Bank.
August 2009- June 2010	India	UNDP/Planning & Coordination Department, Government of Orissa, India Dr. R V Singh rv_ms@yahoo.com	Project Coordinator and Team Leader	Preparation of Participatory Comprehensive District Plan (including BRGF Plan and CDAP) in the districts of Mayurbhanj (Tribal District) and Dhenkanal and Angul of Orissa, India. Planning and conducting stakeholder's workshops at the District, Block and Panchayat level, collection of sector-wise data and approval of plans at the District Planning Committee (DPC) and Planning Commission, Government of India and UNDP
June 2010 - August 2010	Afghanistan	World Bank/ Islamic Republic of Afghanistan Mr. Assad Zamir Assad.zamir@mail.gov.af	Environmental and Social Safeguards Expert	On-farm Irrigation Management – Ministry of Agriculture, Irrigation and Livestock (MAIL), Afghanistan. Prepare environment risk-mitigation measures and potential social impacts assessment plans of irrigation projects. Also impart training on environmental and social safeguards to the primary and secondary stakeholders.
December 2008- November 2009	India	The Asian Development Bank (ADB)/ Scot-Wilson India Mr. B K Basu, Technical Director bkbasu@scotwilsonindia.com	Resettlement and Rehabilitation cum Social Development Expert	Consultancy Services for Supervision of Civil Works for Bihar State Highway Project (Package 2 – South Bihar. Supervising environmental and social safeguards in rehabilitation action plans. Provided training on environmental and social management framework (ESMF) to highway engineers and concerned NGOs.
Sept –Oct 2009	India (Azerbaijan)	Asian Development Bank (ADB)/VisionRI International, India Sunil Chowkiker schoukiker@visionri.com	International Resettlement Expert	Janub Gas Powered Combined Cycle Power Plant Project, Azerbaijan. Prepared Land Acquisition and Due Diligence Report. Imparted training to local consultants on implementation of LARP.
March 2009 - August 2009	Afghanistan	World Bank/ Islamic Republic of Afghanistan Mr. Fazil Omar f.omar@nspafghanistan.org	Environmental and Social Safeguards Expert (International)	National Solidarity Program - Ministry of Rural Rehabilitation & Development Afghanistan (NSP-MRRD). Prepare environment risk-mitigation measures and potential environmental impacts assessment plans of NSP sub-projects. Also imparted training on environmental safeguards at CDC/FP level.

Date [from- to]	Location	Company & Reference Name-Contact detail	Position	Description
November - December 2008	India	European Commission/ Agrisystems Consortium, UK/Livelihoods Solution, India Mr. Girish Bharadwaj girish@livelihoodsolutions.org	Social Development Expert	<i>Mid-term evaluation of the Sustainable Community Based Approaches to Livelihood Enhancement (SCALE).</i> EU Contract No: IND/AIDCO/2001/0083-01, CRIS 2001/34385 for Agrisystems Consortium, UK. Examined and reviewed how LFA followed and result chains of project's process documented.
September 2008- December 2008	India	UNDP/ASCI, Hyderabad Prof. Anand Akundy, akundy@gmail.com	Impact Assessment/R&R Expert	<i>Study on Impact Assessment Study of Development Projects in Orissa.</i> Assessment of social impacts on project affected population due to setting up of development projects and R&R measures taken by the State Government. Provided training on R&R and social impacts to field researchers. Conducted series of stakeholder's workshops at the primary/secondary stakeholder's level to evaluate stakeholders' interests and expectations from the projects.
February 2006 – August 2008	India	World Bank / MSV International/Government of Jharkhand, India Mr. Pushkar Malik, Director pushkarmalik@rediffmail.com	Environmental / Social Safeguards Specialist	<i>Rural Road Construction Works for Jharkhand State Under PMGSY.</i> Coordination of environmental and social measures during pre-construction, construction and post-construction phase of the work. Prepared training manual on ESMF and imparted training to the field engineers and social mobilizers.
March – July 2007	India	World Bank / Government of Orissa The Chief Engineer, OSRP Orissa_State_Roads@worldbank.org	Independent Environment Monitor	<i>Orissa State Roads Project.</i> Independently monitoring of environmental impacts during design, pre-construction and constructions phase of roads under funding from the World Bank.
April – August 2008	India	Asian Development Bank (ADB) / Scot Wilson - Railways (UK)/ Ministry of Railways, Government of India Mr. B K Basu, Executive Director bkbasu@scottwilsonindia.com	Social/Environmental Impact Expert	<i>India Railway Sector Investment Programme, India TA 36330-02.</i> Doubling and electrification of rail network in 5 States of India. Examined possible impact on the environment and people due to construction of these works.
March – April 2008	India	European Commission / Agrifor Consult, Belgium / Haryana Forest Department, India Ms. Cristina Vicente Ruiz c.vicenteruiz@agrifor.be	Social Development Expert	<i>Final Evaluation of Community Forestry Project in Haryana, India.</i> Evaluation of sociological and community aspects and analysis of community perceptions from CDM and climate change perspective. As environment and social expert, critically evaluated the impact of forest development on the people and the climate.

Date [from- to]	Location	Company & Reference Name-Contact detail	Position	Description
				Examined and reviewed major components and sub-components as suggested in LFA and recommended measures for the 'exit'.
September 2007- August 2009	India	European Commission / BCEOM (France) / Government of Rajasthan, India Mr. Pramod Nikumbh, Tech Director p.nikumbh@bceomindia.com	Sustainable Water Campaign Expert	Technical Assistance for the EC Assisted State Partnership Programme – Rajasthan. Focus is on integrated water resource management. Communication strategy including mass media campaign to create awareness at the water users' level. Preparing training materials and IEC materials on water campaign and organizing capacity-building workshops for primary and secondary stakeholders.
July 2007- June 2008	India	ESSAR Steel Orissa Ltd./IDCO, Government of Orissa, India Mr. Kiran Panda kkpanda06@gmail.com	Environmental Consultant	Preparation of Diversion Proposal of 79.842 Ha of Forest Land for Non-Forest Purposes under the Forest Conservation Act, 1980 in Keonjhar District, Orissa. Coordination of the forest diversion proposal and environmental assessment work.
July 2007- October 2007	India	Asian Development Bank (ADB) / LEA Associates South Asia Pvt Ltd/Government of Assam, India Mr. R Viswanathan rv@lasaindia.com	Indigenous People's Specialist	North Eastern Region Urban Development (Phase II) Project under Jawaharlal Nehru National Urban Renewal Mission (JNNURM) , Assam. Development of urban infrastructure plan for the tribal and sensitize the affected community including tribal and vulnerable sections of the society.
December 2006 – July 2007	India	Reliance Industries Limited Mr. Kamal Kishore, Sr. Vice President Kamal.Kishore@ril.com	Project Coordinator	Social Impact Assessment Study for the Reliance Haryana SEZ Project in Gurgaon-Jhajjar , Haryana. The study is the basis for the design of R&R guidelines for the project. As Coordinator, examined the potential social and environmental impacts on the people and environment of the area and prepared the report.
May – July 2006	India	DFID / Rural Partnerships Limited (UK)/ Government of Madhya Pradesh Ms. An Hutton An_Hutton@coffey.com	Social Development Expert	Madhya Pradesh Rural Livelihoods Project (MPRLP) . Review of Micro-planning and capacity building process at Gram Sabha (PRI) level. Also reviewed theory of change; suggested modifications in the logical design (LFA) and reformulated micro-plan formats accordingly and looked in to role of NGOs as partners in programme management. Imparted training on micro-planning to the district level subject matter specialists.

Date [from- to]	Location	Company & Reference Name-Contact detail	Position	Description
December 2005 – November 2006	India	RV Associates and NHAI / Government of India Mr. K Prasad kprasad@aarvee.net	Environmental/Social Consultant	Widening of National Highway no. 215 in the State of Orissa. Coordination of environmental and social assessment work for NHAI funded project on NH 215 (Panikoili-Keonjhar-Rimuli). As environment and social impact expert, prepared environment impact assessment report and R&R action plan. Imparted training on R&R and EMP to field functionaries.
October 2005 – September 2006	India	POSCO-India (Korea) and M N Dastur & Company (Kolkata) Mr. Kalil Binash Deb KB.Deb@dasturco.in	Environmental Consultant	Forest diversion proposal preparation under Forest Conservation Act, 1980, Government of India. Coordination of environmental and social assessment work for the steel plant and captive port to be set up by POSCO at Paradeep, Orissa. Prepared forest diversion proposal including environment and social aspects.
October 2005 – March 2006	India	World Bank / TERI Ms. Suruchi Bhadwal suruchib@teri.res.in	Project Coordinator for Orissa State / Climate change expert	Addressing vulnerability to climate variability and change through assessment of adaptation issues and options. Analysis of climate change on agriculture and forestry sectors and their effect socio-economy on the coastal population of the state.
September 2005 – November 2005	India	World Bank / ERM India/ Government of Orissa Ms. Neena Singh neena.singh@erm.com	Social & Environmental Scientist	Orissa Growth and Environment Study. Analysis of policies, capacity and performance of various institutions responsible for regulating the mining and industry sector.
August 2005 – October 2005	India	DFID / URS Corporation Ltd., Australia/Government of Himachal Pradesh, India Ms. Elizabeth Siebert Elizabeth.Siebert@URSCorp.com	Social Development Expert	Mid-Term Review (MTR) Pre-appraisal of Himachal Pradesh Forest Sector Reform Project. National Team Member. Forest Sector Reforms include development of forests as well as strengthening of institutional aspects and participatory planning at different levels of the government. As social development expert, reviewed LFA, participatory planning and institution strengthening mechanism and suggested mid-course corrections.
April 2005 – June 2005	India	Japanese Bank for International Cooperation (JBIC) / Nippon Koei Co. Ltd., Japan/ Government of Orissa, India Mr. Seiji Koyanagi a2268@n-koei.co.jp	Community Forestry / Joint Forest Management Expert	Special Assistance for Project Formation (SAPROF) for Orissa Forestry Sector Development Project. National Team Leader. Formulation of project document for financial assistance from JBIC by Government of Orissa. As Team Leader, prepared forestry, planning

Date [from- to]	Location	Company & Reference Name-Contact detail	Position	Description
				and financial feasibility aspects of the project.
January 2005 – March 2005	India	European Commission / Consortium led by ECORYS and SOFRECO Ms. Ana Fernandez Ana.FERNANDEZ@sofreco.com	Social Expert	Strategic Review and Programming Mission – Country Strategy for India. Preparation of country profile on environment and climate change. Technical and financial programme management of EC funding in India.
December 2004 – May 2005	India	European Commission / Government of Orissa Project Director, PMU rath.biranchinarayan@gmail.com	Project Coordinator	Engineering Planning, Implementation and Supervision Support Unit of EC aided Minor Irrigation Project in Orissa. Coordination of the HR and socio-environmental aspects.
November 2004 – April 2005	India	European Commission / Government of Orissa Project Director, PMU rath.biranchinarayan@gmail.com	Project Coordinator	Community Organization support to MI Schemes located at Balugaon Cluster, Nayagarh Cluster, Berhampur Cluster & Phulbani Cluster of EC aided Minor Irrigation Project in Orissa. Coordination of the HR and socio-environmental aspects.
February 2004 – March 2004	India	World Bank / SMEC International/ Government of UP, India Mr. Pramod Kumar pramod@smecindia.com	Social Development Expert	Evaluation of PCU and ATMA under UP Diversified Agriculture Support programme (DASP). Examined participatory management approach and farmer's empowerment through self-help group formation, awareness raising and integration of Farmer's Field Schools and NGO input in the programme. Conducted stakeholders' workshops and evaluated theory of change and awareness raising.
Jan 2004 – July 2004	India	Mahendra Raj Consultants Private Limited / Government of India Mahendra Raj Consultants mrceci@vsnl.com	Environmental/Social Consultant	DPR for 4 Laning of NH-57 Section Muzaffarpur to Darbhanga (70 kms) under NHAI Programme. Coordination of environmental and social assessment (including preparation of Environmental Assessment Report (EAR), Environmental Management Plan (EMP) and Resettlement Action Plan (RAP)) work for NHAI funded project on Muzaffarpur to Darbhanga section of National Highway No.57. Imparted training on R&R and EMP to field functionaries.
Nov 2003 – March 2004	India	European Commission / Government of Orissa Project Director, PMU rath.biranchinarayan@gmail.com	Project Coordinator	Training on Operation & Maintenance of EC Aided "Minor Irrigation Projects in Orissa". Coordination of the project with a team of engineers and agronomist. Training to the Water Users Associations and concerned line

Date [from- to]	Location	Company & Reference Name-Contact detail	Position	Description
				department officials on operation and maintenance of the structures during Kharif and Rabi seasons. Closed interaction with civil society organizations in the field. Coordination of the HR and socio-environmental aspects.
March 2003 – September 2003	India	DFID / National Institute of Rural Development, Hyderabad, India / Government of Orissa Prof. R R Prasad rrprasad@vsnl.net	Social Development Expert	Review of Poverty Monitoring in Orissa. Responsible as a sociologist for assisting the Poverty Task Force (PTF), Government of Orissa. Development of a comprehensive poverty and human development monitoring system and capacity building of the Government to track progress of poverty reduction and assess impact of various policies on the poor. Also reviewed theory of change and designed parameters to monitor poverty from a holistic perspective.
February 2003 – March 03	India	European Commission / Government of Orissa Project Director, PMU rath.biranchinarayan@gmail.com	Project Coordinator	Preparation of Final Participatory Scheme Report for Phase II of EC aided “Minor Irrigation in Orissa” project. Coordination of the project with a team of Engineers and community organizers.
November 2001– December 2005	India	DFID / ERA, Consortium Partner of Natural Resources International (NRIL) / Government of Orissa Mr. Martin Stewart Martin.stewart@worlp.com	Member of project coordinating committee	Western Orissa Rural Livelihoods Project (WORLP). TC support to the project. Member of project coordinating committee responsible for making all strategic and management decisions regarding TC support to the project, and in particular advising on networking with the state government and local institutions. Social consultancy inputs to the project, including reviewing LFA, micro planning & monitoring process and training and capacity building etc.
July 2001 – June 2002	India	DFID / Government of Orissa / Verve Consulting Pvt. Ltd. Mr. Debasish Mohapatra debasis_751002@rediffmail.com	Social Development Expert	Orissa Lift Irrigation Corporation (OLIC). Responsible for NGO coordination, capacity building and training at the grassroots level and creating conducive atmosphere for formation of Water Users Associations (WUAs) by the community.
May 2001 – June 2001	India	European Commission / N R International, UK Ms. Bryony Johnston b.johnston@nrnt.co.uk	Community Development Specialist	Mid-term evaluation of EC funded Orissa Minor Irrigation Project. Assessment of the management capacity of Water User’s Associations based on the EC project cycle management and logical framework principles.
December 2000 –	India	World Bank / OSDMA, Government of Orissa	Environmental/Social Consultant	Orissa Cyclone Reconstruction Programme. Assessment of

Date [from- to]	Location	Company & Reference Name-Contact detail	Position	Description
July 2001				environmental screening models of sub-projects submitted by Line Departments to Orissa State Disaster Mitigation Authority (OSDMA) for funding in the Immediate/Short-term Phase. Imparted training on R&R and EMP to field functionaries.
September 2000 – August 2001	India	Meenakshi Power Limited	Environmental/social Consultant	Middle Kolab Micro-Hydel Project at Tentuliguma. Preparation of Environmental Impact Assessment and Forest Clearance Report for their Middle Kolab Micro-Hydel Project at Tentuliguma, Koraput, Orissa.
August 2000 – December 2000	India	DHV, JV & AARVEE / Government of India	Environmental/Social Consultant	Golden Quadrilateral Project. Coordination of environmental and social assessment (including preparation of R&R action plan) work for NHAI funded project on Khurda-Ichhapuram section of National Highway No.5. Imparted training on R&R and EMP to field functionaries.
October 99 – July 2001	India	SHELADIA/PBI/MRC / NHAI, Government of India	Environmental/Social Consultant	Golden Quadrilateral Project. Coordination of environmental and social assessment (including preparation of R&R action plan) work for NHAI funded project on Chandikhole-Jharpokhoria section of NH-5 and portion of NH-6 and NH-60. Imparted training on R&R and EMP to field functionaries.
December 1999 – April 2000	India	ERM India	R&R Consultant	Monitoring of R&R work in TISCO's Gopalpur project. Monitoring of R&R work in TISCO's Gopalpur project. Training of local NGOs.
April 1999 – September 2000	India	SMEC International / Government of Orissa	Environmental/R&R Expert	Orissa State Roads Project - Environmental and social assessment (includes identification of project affected persons and their rehabilitation) report for the first phase. Prepared the policy on R&R for roads projects in Orissa and the policy are under consideration for State Cabinet's approval. Preparation of the entitlement framework and rehabilitation action plan for the project-affected persons. Imparted training on R&R and EMP to field functionaries.
December 1997 – December 1998	India	SIDA / Government of Orissa	Training expert	Capacity building for participatory management of degraded forests in Orissa. Training, awareness raising and capacity building of lower and middle level field staff of the Forest

Date [from- to]	Location	Company & Reference Name-Contact detail	Position	Description
				Department, NGO/CBOs, village women and the village protection committees through manual GIS and PRA techniques.
1996 – 1997	India	ODA / Operations Research Group	Social Development Expert	Indo-British Rainfed Farming Project to develop methodology based on watersheds (Eastern India). Core team member of Centre for Development Studies, University of Wales, U.K.'s mission on Indo-British Rainfed Farming Project to develop methodology based on watersheds in rural Eastern India.
1996 – 1997	India	World Bank / Government of UP	Research Director	Community development in the plains of Uttar Pradesh. Coordinating the study on community institutions including Panchayatiraj institutions (PRIs) and NGOs/CBOs engaged with Joint Forest Management programmes in the plains of Uttar Pradesh, India.
1996 – 1997	India	SIDA / Government of Orissa	Research Director	Assessment of Phase I and Phase II Orissa State Social Forestry Project. Coordination of ISO - Swedforest funded Study on Assessment of Phase I and Phase II Orissa State Social Forestry Project. The project plantations were taken up mainly in common lands and common property resources under the control of village institutions.
1993 – 1996	India	Tata Energy Research Institute (TERI)	Fellow & Area Convenor	Involved as team leader/core member in all aspects of project cycle management, training and capacity building of primary and secondary stakeholders in participatory development, participatory monitoring and evaluation (PME), proposal preparation and liasoning, etc.
1990 – 1993	India	Tata Energy Research Institute (TERI) / Government of Haryana	Research Associate	Involved in preparation of micro-plan and policy regarding Joint/Participatory Forest Management (JFM) programs. Also prepared training materials and conducted training programs on JFM for the low and middle level field staff of Haryana State Forest Department.
1989 – 1990	India	Ford Foundation / Government of Haryana	Consultant	Responsible for preparation of beat, block and range profiles, training the low and middle level field staff of the Haryana State Forest Department, training and awareness raising of villagers for effective protection and

Date [from- to]	Location	Company & Reference Name-Contact detail	Position	Description
				management of forest resources, quantitative data collection, documentation, dissemination, and other related activities in order to enhance peoples' participation in the protection and management of forests under the Joint Forest Management Programme, Haryana.
1989	India	Centre for Science and Environment	Research Associate	Study for improving the Eco-system in Central Himalayas focusing on various aspects of environmental management of watersheds, floods, glaciers, tourism, van panchayats etc. and their impact on Garhwal region of Uttar Pradesh
1988 – 1989	India	Institute of Social Sciences	Research Coordinator	Studies for the development of irrigation potential for the tribal community of Chotanagpur area.

Other activities: Publications, training etc.

- *Publications in more than 25 in international/national journals*

TRAININGS IMPARTED:

- **The World Bank/Ministry of Water & Energy, Government of Afghanistan:** Imparted training on social and environmental safeguards to the primary and secondary stakeholders.
- **The World Bank/Ministry of Agriculture, Irrigation and Livestock, Government of Afghanistan:** Imparted training on social and environmental safeguards to the primary and secondary stakeholders
- **The World Bank/MRRD, Government of Afghanistan:** Imparted training on social and environmental safeguards to the concerned engineers and social mobilizers at the CDC/FP level.
- **UNDP:** Resource Person for training on Participatory Planning, Implementation, Monitoring and Evaluation at the District, Block and Village level in the States of Chhatisgarh, Jharkhand, Orissa, Tamil Nadu & U.P., organized by National Institute of Rural Development (NIRD), Hyderabad. The trainees included block level government officials, NGOs/CBOs and Panchayatiraj institutes (PRIs) functionaries.
- **DFID:** Facilitated training on Capacity building needs for participatory planning for the PIAs, project staff and WDTs under DFID funded Western Orissa Rural Livelihoods Project (WORLP).
- **DFID:** Facilitated training on PLA with for the PIAs, project staff and WDTs under DFID funded Western Orissa Rural Livelihoods Project (WORLP).
- **DFID/ASI:** Coordinated DFID/ASI funded project on communication plan for Orissa Lift Irrigation Corporation (OLIC) reforms, Government of Orissa. Main responsibility was NGO coordination, capacity building and training at the grassroots level and creating conducive atmosphere for formation of Water Users Associations (WUAs) by the community.

- **SIDA:** Consultant to Swedforest-AFN for SIDA supported project on capacity building for participatory management of degraded forests in Orissa, India to be implemented by Orissa Forest Department. Main responsibility was training and capacity building of lower and middle level field staff of the Forest Department, NGO/CBOs, village women and the village protection committees through manual GIS and PRA techniques.
- **ICEF:** Imparted training on PRA techniques and micro planning to the field level officers of Swaminathan Foundation for ICEF funded joint mangrove management project, Orissa.
- **WWF-India:** Coordinated WWF-India funded training programme on manual Geographic Information System (GIS) at the divisional level in 8 forest divisions of Orissa.
- **TISCO/ERM-India:** Consultant to ERM India for monitoring of R&R work in TISCO's Gopalpur project. Also responsible for training of local NGOs.
- **Govt. of Orissa:** Coordinated training programme on PRA for LVAWs, Directorate of Agriculture, Government of Orissa.
- **Govt. of Orissa:** Coordinated manual GIS training on JFM at Circle level, Orissa State Forest Department, Govt. of Orissa.
- **Ford Foundation:** Prepared research proposals on various aspects of Joint Forest Management (JFM), coordinated institutional aspects for the JFM operated areas, imparted training on PRA and micro-planning to the JFM trainees (both for the village community and the field staff of Haryana State Forest Department), conducted case studies on various aspects of JFM and coordinated process documentation of the field research findings.
- **ODA/TERI:** Associated as team leader in the ODA project on community participation in Van Panchayats of Kumaon region of Uttar Pradesh with the main objective to look at the participatory aspects of the village community through RRA/PRA techniques.
- **TERI:** Prepared training materials and conducted training programmes on JFM for the low and middle level field staff of Haryana State Forest Department.
- **Ford Foundation:** Prepared beat, block and range profiles, training the low and middle level field staff of the Haryana State Forest Department, orienting the villagers for effective protection and management of forest resources, quantitative data collection, documentation, dissemination, and other related activities under the Joint Forest Management (JFM) programme.

Expertise Statement of Dr. Abhash Panda

My Master's and Ph.D. Degrees in Sociology and professional degree in natural resource management have provided me with the theoretical knowledge to understand the nuances of rural livelihood and poverty. With more than twenty years of wide variety of exposure and experience of working at the grassroots level and dealing with social and environmental issues through environmental/social impact studies and Rehabilitation & Resettlement (R&R) policy and plans, I am well placed to deal with issues of involuntary resettlement, including indigenous people's development. Dealing with service delivery and institutional reforms at the local and national level and also issues of environmental management and displacement of the poor, especially the indigenous/tribal community has sharpened my understanding of policies on environment and R&R. I have a proven ability to work productively with senior government officers, civil society organizations and development agencies, especially in the social development sector through donor funded and Government of India funded projects. These projects have provided me with the knowledge and experience of dealing with the community and governance issues. Such skills and experience will enable me to effectively advise the donors on various aspects of development and project management issues.

I have worked as a Consultant to bilateral and multilateral agencies like the UN, ADB, DFID, SIDA, EU, The World Bank, JBIC, NORAD, Ford Foundation, Winrock International and MacArthur Foundation etc., dealing with rural community's lives and their everyday struggle for survival. It gives me an opportunity in dealing with all sections of society in India. I have also experience of dealing with India's environmental and R&R issues through consultancy input with private and government agencies. In recent years some of the key projects in which I have dealt with safeguard issues are as follows:

- **Ph D Thesis:**

- Involuntary displacement of indigenous population due to construction of Rihand dam and power plants in Singhrauli, Uttar Pradesh.

- **Design:**

- Resettlement and Rehabilitation cum Social Development Expert for Asian Development Bank's Consultancy Services for Supervision of Civil Works for Bihar State Highway Project (Package 2 – South Bihar). Supervising social safeguards including resettlement and rehabilitation action plans.
- Resettlement and Rehabilitation cum Social Development Expert for Asian Development Bank's Consultancy Services for Supervision of Civil Works for Uttarakhand State Highway Project. Supervising social safeguards including resettlement and rehabilitation action plans.
- Social Impact and R&R Expert for UNDP-India/ASCI's Study on Impact Assessment Study of Development Projects in Orissa. Assessment of social impacts on project affected population due to setting up of development projects and R&R measures taken by the State Government.
- Social & Environmental Expert for the World Bank's Orissa Cyclone Reconstruction Programme to design social and environmental screening formats for sub-projects submitted by Line Departments to Orissa State Disaster Mitigation Authority (OSDMA) for funding.
- Project Coordinator for Social Impact Assessment Study for the Reliance Haryana SEZ Project in Gurgaon-Jhajjar, Haryana. The study will help design R&R guidelines for the project.

- **Policy:**

- Social and Resettlement Specialist for the Asian Development Bank's India Railway Sector Investment Program, India TA 36330-02 to prepare poverty profile and recommended mitigation on adverse impacts.
- Social & Environmental Expert for the World Bank's Orissa Growth and Environment Study to analyse policies, capacity and performance of various institutions responsible for regulating the mining and industry sector.

- Social & Environmental Expert for the World Bank's Orissa State Roads Project to prepare Rehabilitation and Resettlement Policy and Plan Framework.
- **Planning:**
 - Environmental Consultant for the World Bank funded Emergency Irrigation Rehabilitation Project (EIRP). Designed Environmental and Social Management Framework (ESMF) for canals and dams.
 - Environmental and Social Safeguards Specialist (International) for the World Bank funded On-Farm Water Management, Ministry of Agriculture (Afghanistan). Prepared Environmental and Social Management Framework (ESMF) and Pest Management Plan.
 - Social and Environmental Safeguard Expert (International) for the World Bank funded National Solidarity Program, Afghanistan. Designed Environmental and Social Management Framework (ESMF) and Training Manual on safeguards for community infrastructure development projects.
 - Social & Environmental Expert for NHA funded Golden Quadrilateral Project to coordinate environmental and social assessment, including preparation of rehabilitation action plan in Khurda-Ichhapuram section of National Highway No.5.
 - Indigenous People's Specialist for the Asian Development Bank's North Eastern Region Urban Development (Phase II) Project, Assam.
 - Safeguards Specialist for the World Bank/Government of India's Prime Minister Gram Sadak Yojna in Jharkhand State to plan and coordinate environmental and social measures during pre-construction, construction and post-construction phase of the rural roads.
- **Implementation:**
 - Implementation Consultant to assist Government of Afghanistan in the rehabilitation of rural transport infrastructure for the Ministry of Public Works (MPW). Implementing the ESMF and social inclusion strategy within the MPW PIU; guidance/mentorship to MPW ESM team; support M&E of project activities and support integration of ESM practices into UNOPS transport sector portfolio of projects.
 - Livelihoods cum Resettlement Expert for ADB/Gol funded Construction of rural roads under PMGSY in the State of Odisha, India.
 - Project Manager for POSCO-India to coordinate environmental and social assessment work for the proposed steel plant and captive port at Paradip, Orissa.
 - Project Manager for ESSAR Steel Limited to coordinate environmental and social assessment work for the proposed steel plant, Orissa.
- **Monitoring & Evaluation:**
 - Sociologist for the World Bank's Kerala State Transport Project to review environmental and social assessment and rehabilitation action plan prepared for Phase I & II works.
 - Sociologist for ERM India's monitoring of R&R works in TISCO's Gopalpur Project.

As a Consultant and Managing Director of ERA I have participated actively at all stages of Programme and Project Cycle Management with a variety of the major development agencies. This leaves me well equipped to participate with the donors and its partners in the development design and oversight of programmes. My skills in monitoring and lesson learning are particularly relevant. Knowledge dissemination and learning of lessons from development programmes is particularly challenging for donors. Throughout my career I have been closely involved in preparation of extension materials and documentation in both English and local languages.

Data and Assistance to be provided by the client:

a. The PMU will provide the following to the Consultant: (a) relevant reports available with OWD; (b) access to necessary documents and data available with OWD or any other department, required for the performance of the consultant's services. The Consultant will be responsible for all other necessary facilities and logistical support, including accommodation, vehicle, transportation, office equipment, communications, utilities, office supplies and other miscellaneous requirements.

Reporting Arrangements

b. After signing of the Contract, the Consultant shall submit an Inception report. The consultant shall keep complete records relating to all aspects of the work covered by his staff or service contract if any, which shall be available for inspection by PMU. The reporting format shall be discussed with PMU and finalized. External safeguard review reports will be submitted directly to PMU/OWD with copies to the funding agency (World Bank). The Consultant shall submit at least 5 (five) copies of Reports. The consultant is also required to incorporate comments obtained through disclosure, consultation and World Bank. Upon approval of these documents; the Consultant is required to submit additional 5 (five) copies the DOR.

13. The Consultant will prepare PPT presentation on the alongside Draft Report in order to invoke active feedback from the PMU and other stakeholders before the report is finalized. Hence, the Consultant will make a presentation on the findings at the OWD-PMU. The findings of the external safeguard review will be public disclosed on the website and through a public consultation meeting before being finalized.


Consultant


Chief Engineer,
World Bank Projects, Odisha

**WORK PLAN
AND
METHODOLOGY**

**Work plan for independent review of the social management plans
and their implementation for the Phase-I and Phase-II roads**

1. Work plan:

Sl. No.	Activity	Week 1	Week 2	Week 3	Week 4	Week 5	Week 6	Week 7	Week 8	Key Outputs
1.	Desk review of social safeguards management documents like RAP, TDP, HIV/AIDS, road safety plan etc.									
2.	Review of progress in LA and R&R implementation									
3.	Review of payment of entitlements / alternative sites for displaced residential households / commercial units etc.									
4.	Review improvement in livelihoods/living standards of the affected households									
5.	Review relocation of affected community assets / cultural properties etc.									
6.	Review institutional capacity and social safeguards management capacity of the implementing agencies									
7.	Review role of NGOs on community mobilization and R&R implementation									
8.	Review public consultation mechanism and grievance redress strategies as implemented by the client									

Sl. No.	Activity	Week 1	Week 2	Week 3	Week 4	Week 5	Week 6	Week 7	Week 8	Key Outputs
9.	Review implementation of HIV/AIDS programmes by the client									
10.	Review implementation of HIV/AIDS awareness and road safety plans through stakeholders consultation									
11.	Review status and effectiveness of safeguards monitoring and reporting in OSRP									
12.	Review lessons learnt in LA and R&R policy and practice									

2. Methodology:

The following methods and procedures will be followed during the course of assignment:

- a) Desk review of all relevant policies and action plans including feasibility studies and RAPs of all road packages in PMU, OSRP.
- b) Individual interview with site engineers, safeguards focal points and NGO representatives during field visits.
- c) Key informant interviews with PMU representative; W.B. representative in Bhubaneswar and NGO representative; Land Acquisition Officer/s; concerned line department official/s; village/*panchayat* leader/s during field visits.
- d) Focus group discussions with affected communities including displaced; other affected; vulnerable groups; squatters; women and NGO workers.

**FINANCIAL
PROPOSAL**

3. FINANCIAL OFFER

SI No	Item	Cost (In Rupees)
1.	Remuneration:	
	a) Consultant's Fee – 40 days x @ Rs.6,000.00 per day	2,40,000.00
	Sub-Total (1)	2,40,000.00
2.	Reimbursable:	
	a) Field visits (5x 3)= 15 days	30,000.00
	b) Report preparation (lump sum)	10,000.00
	Sub-Total (2)	40,000.00
	Total (1+2)	2,80,000.00
	Service Tax @ 12.00%	33,600.00
	Education Cess @ 0.24%	672.00
	Higher Education Cess @ 0.12%	336.00
	G Total	3,14,608.00
	Say Grand Total	3,15,000.00

(Rupees Three lakh fifteen thousand only)

Payment Schedule:

- | | | |
|----|---|-----|
| a) | First payment after signing the contract | 20% |
| b) | Second payment after approval of work plan | 20% |
| c) | Third payment submission of final draft report incorporating comments | 50% |
| d) | Final payment after approval of the report from the World Bank: | 10% |

N.B.: This offer is valid for 60 days w.e.f. October 01, 2012.



(Abhash Chandra Panda)

B-21, BJB Nagar,
Bhubaneswar-751014



Consultant



Chief Engineer,
World Bank Projects, Odisha

BANK POLICY
- CORRUPT AND FRAUDULENT PRACTICES

Bank Policy – Corrupt and Fraudulent Practices

Bank Policy – Corrupt and Fraudulent Practices

Guidelines for Selection and Employment of Consultants under IBRD Loans and IDA Credits & Grants by World Bank Borrowers, dated January 2011:

“Fraud and Corruption

1.23 It is the Bank’s policy to require that Borrowers (including beneficiaries of Bank loans), consultants, and their agents (whether declared or not), sub-contractors, sub-consultants, service providers, or suppliers, and any personnel thereof, observe the highest standard of ethics during the selection and execution of Bank-financed contracts [footnote: In this context, any action taken by a consultant or any of its personnel, or its agents, or its sub-consultants, sub-contractors, services providers, suppliers, and/or their employees, to influence the selection process or contract execution for undue advantage is improper.]. In pursuance of this policy, the Bank:

(a) defines, for the purposes of this provision, the terms set forth below as follows:

- (i) “corrupt practice” is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party¹;
- (ii) “fraudulent practice” is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation²;
- (iii) “collusive practices” is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party³;
- (iv) “coercive practices” is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party⁴;
- (v) “obstructive practice” is

¹ For the purpose of this sub-paragraph, “another party” refers to a public official acting in relation to the selection process or contract execution. In this context “public official” includes World Bank staff and employees of other organizations taking or reviewing selection decisions.

² For the purpose of this sub-paragraph, “party” refers to a public official; the terms “benefit” and “obligation” relate to the selection process or contract execution; and the “act or omission” is intended to influence the selection process or contract execution.

³ For the purpose of this sub-paragraph, “parties” refers to participants in the procurement or selection process (including public officials) attempting either themselves, or through another person or entity not participating in the procurement or selection process, to simulate competition or to establish prices at artificial, non-competitive levels, or are privy to each other’s bid prices or other conditions.

⁴For the purpose of this sub-paragraph, “party” refers to a participant in the selection process or contract execution.

- (aa) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation, or
- (bb) acts intended to materially impede the exercise of the Bank's inspection and audit rights;
- (b) will reject a proposal for award if it determines that the consultant recommended for award or any of its personnel, or its agents, or its sub-consultants, sub-contractors, services providers, suppliers, and/or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- (c) will declare mis-procurement and cancel the portion of the Loan allocated to a contract if it determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the Loan were engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the selection process or the implementation of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner they knew of the practices;
- (d) will sanction a firm or an individual at any time, in accordance with prevailing Bank's sanctions procedures⁵, including by publicly declaring such firm or an ineligible, either indefinitely or for a stated period of time: (i) to be awarded a Bank-financed contract, and (ii) to be a nominated⁶ sub-consultant, supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract.

⁵ A firm or an individual may be declared ineligible to be awarded a Bank-financed contract upon (i) completion of the Bank's sanctions proceedings as per its sanctions procedures, including inter alia: cross-debarment as agreed with other International Financial Institutions, including Multilateral Development Banks, and through the application of the World Bank Group corporate administrative procurement sanctions procedures for fraud and corruption; and (ii) as a result of temporary suspension or early temporary suspension in connection with an ongoing sanctions proceedings. See footnote 12 and paragraph 8 of Appendix 1 of these Guidelines.

⁶ A nominated sub-consultant, supplier, or service provider is one which has been either (i) included by the consultant in its proposal because it brings specific and critical experience and know-how that are accounted for in the technical evaluation of the consultant's proposal for the particular services; or (ii) appointed by the Borrower.

AWARD OF CONTRACT

OFFICE OF THE ENGINEER-IN-CHIEF (CIVIL), ODISHA,
NIRMAN SOUDHA, KESHARI NAGAR, UNIT-V, BHUBANESWAR

File No: PMU (WB) 37/2012

35115

Date:

11.10.12

From

Er. Nalinikanta Pradhan
Chief Engineer, World Bank Projects, Odisha
Tel: - 0674-2396783, Fax: 0674-2390080
Email: pmuosrp@gmail.com, website: - osrp.gov.in

To

Dr. Abhash Panda
ERA Consultancy Pvt. Ltd
B-21, BJB Nagar
Bhubaneswar- 751014
Phone No.- 0674-2432490
Mobile- 9437033420

Sub: - Hiring of Individual Consultant for Independent Social Safeguards Reviews for Odisha State Roads Project in P-01,P-02 and P-03.

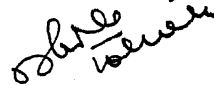
Ref:- 1. Request for proposal sought vide this office letter No. 31026 dt.13.09.2012.
2. Your proposal dt. 21.09.12.

Sir,

In inviting a reference to your aforesaid offer and the approval of the Evaluation Committee held on 09.10.2012, this is to intimate that the contract for the aforesaid consultancy services is hereby awarded to you for an amount of ₹ 2, 80, 000/- (Rupees Two Lakhs Eighty Thousand) + Applicable taxes.

You are requested to attend this office within one week of issuance of this letter for finalizing the formal contract.

Yours faithfully



Chief Engineer

World Bank Projects, Odisha.

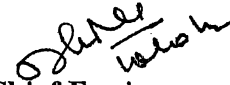
Memo No.

35116

dt.

11.10.12

Copy submitted to the Engineer-in-Chief -Cum-Secretary, Works Department, Government of Odisha for favour of kind information.



Chief Engineer

World Bank Projects, Odisha

Memo No.

35117

dt.

11.10.12

Copy to Superintending Engineer, Jeypur (R&B) Circle, Cuttack/ Executive Engineer, Kalahandi (R&B) Division/ Executive Engineer, Khariar (R&B) Division / Executive Engineer, Ganjam (R&B) Division-I / Executive Engineer, Keonjhar (R&B) Division / Executive Engineer, Bhdarak (R&B) Division / Executive Engineer cum Employer's Representative- P-01/P-02/P-03/ Deputy Commissioner (LA)/ Spl .LAO, PMU/SDS, PMU /DFO, PMU for information and necessary action.



Chief Engineer

World Bank Projects, Odisha